#### (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

#### (19) World Intellectual Property Organization

International Bureau



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# (43) International Publication Date 13 January 2005 (13.01.2005)

#### **PCT**

# (10) International Publication Number WO 2005/002572 A2

- (51) International Patent Classification<sup>7</sup>: A61K 31/407, A61P 35/00, 35/02, 29/00, 19/02, 11/06, 25/00, 17/06, 9/10, 33/00, 33/02, 33/06
- (21) International Application Number:

PCT/US2004/019543

- (22) International Filing Date: 18 June 2004 (18.06.2004)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:

60/480,270 20 June 2003 (20.06.2003) US 60/566,952 30 April 2004 (30.04.2004) US

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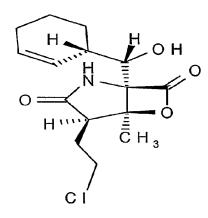
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- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

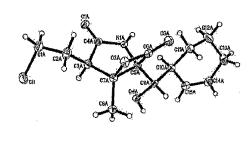
#### **Declaration under Rule 4.17:**

— of inventorship (Rule 4.17(iv)) for US only

[Continued on next page]

#### (54) Title: METHODS OF USING [3.2.0] HETEROCYCLIC COMPOUNDS AND ANALOGS THEREOF





2005/002572 A3

(57) Abstract: Disclosed are methods of treating cancer, inflammatory conditions, and/or infectious disease in an animal comprising: administering to the animal, a therapeutically effective amount of a heterocyclic compound. The animal is a mammal, preferably a human or a rodent.

## WO 2005/002572 A2



#### Published:

 without international search report and to be republished upon receipt of that report For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

# METHODS OF USING [3.2.0] HETEROCYCLIC COMPOUNDS AND ANALOGS THEREOF

#### Background of the Invention

#### Field of the Invention

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[0001] The present invention relates to certain compounds and to methods for the preparation and the use of certain compounds in the fields of chemistry and medicine. Embodiments of the invention disclosed herein relate to methods of using heterocyclic compounds. In some embodiments, the compounds are used as proteasome inhibitors. In other embodiments, the compounds are used to treat inflammation, cancer, and infectious diseases.

#### Description of the Related Art

[0002] Cancer is a leading cause of death in the United States. Despite significant efforts to find new approaches for treating cancer, the primary treatment options remain surgery, chemotherapy and radiation therapy, either alone or in combination. Surgery and radiation therapy, however, are generally useful only for fairly defined types of cancer, and are of limited use for treating patients with disseminated disease. Chemotherapy is the method that is generally useful in treating patients with metastatic cancer or diffuse cancers such as leukemias. Although chemotherapy can provide a therapeutic benefit, it often fails to result in cure of the disease due to the patient's cancer cells becoming resistant to the chemotherapeutic agent. Due, in part, to the likelihood of cancer cells becoming resistant to a chemotherapeutic agent, such agents are commonly used in combination to treat patients.

[0003] Similarly, infectious diseases caused, for example, by bacteria, fungi and protozoa are becoming increasingly difficult to treat and cure. For example, more and more bacteria, fungi and protozoa are developing resistance to current antibiotics and chemotherapeutic agents. Examples of such microbes include *Bacillus*, *Leishmania*, *Plasmodium* and *Trypanosoma*.

[0004] Furthermore, a growing number of diseases and medical conditions are classified as inflammatory diseases. Such diseases include conditions such as asthma to cardiovascular diseases. These diseases continue to affect larger and larger numbers of people worldwide despite new therapies and medical advances.

PCT/US2004/019543

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WO 2005/002572

[0005] Therefore, a need exists for additional chemotherapeutics, anti-microbial agents, and anti-inflammatory agents to treat cancer, inflammatory diseases and infectious disease. A continuing effort is being made by individual investigators, academia and companies to identify new, potentially useful chemotherapeutic and anti-microbial agents.

Marine-derived natural products are a rich source of potential new anti-[0006] cancer agents and anti-microbial agents. The oceans are massively complex and house a diverse assemblage of microbes that occur in environments of extreme variations in pressure, salinity, and temperature. Marine microorganisms have therefore developed unique metabolic and physiological capabilities that not only ensure survival in extreme and varied habitats, but also offer the potential to produce metabolites that would not be observed from terrestrial microorganisms (Okami, Y. 1993 J Mar Biotechnol 1:59). Representative structural classes of such metabolites include terpenes, peptides, polyketides, and compounds with mixed biosynthetic origins. Many of these molecules have demonstrable anti-tumor, anti-bacterial, anti-fungal, anti-inflammatory immunosuppressive activities (Bull, A.T. et al. 2000 Microbiol Mol Biol Rev 64:573; Cragg, G.M. & D.J. Newman 2002 Trends Pharmacol Sci 23:404; Kerr, R.G. & S.S. Kerr 1999 Exp Opin Ther Patents 9:1207; Moore, B.S 1999 Nat Prod Rep 16:653; Faulkner, D.J. 2001 Nat Prod Rep 18:1; Mayer, A. M. & V.K. Lehmann 2001 Anticancer Res 21:2489), validating the utility of this source for isolating invaluable therapeutic agents. Further, the isolation of novel anti-cancer and anti-microbial agents that represent alternative mechanistic classes to those currently on the market will help to address resistance concerns, including any mechanism-based resistance that may have been engineered into pathogens for bioterrorism purposes.

#### Summary of the Invention

[0007] The embodiments disclosed herein generally relate to chemical compounds, including heterocyclic compounds and analogs thereof. Some embodiments are directed to the use of compounds as proteasome inhibitors.

[0008] In other embodiments, the compounds are used to treat neoplastic diseases, for example, to inhibit the growth of tumors, cancers and other neoplastic tissues. The methods of treatment disclosed herein may be employed with any patient suspected of carrying tumorous growths, cancers, or other neoplastic growths, either benign or malignant ("tumor" or "tumors" as used herein encompasses tumors, cancers, disseminated neoplastic cells and localized neoplastic growths). Examples of such growths include but are not

limited to breast cancers; osteosarcomas, angiosarcomas, fibrosarcomas and other sarcomas; leukemias; sinus tumors; ovarian, uretal, bladder, prostate and other genitourinary cancers; colon, esophageal and stomach cancers and other gastrointestinal cancers; lung cancers; lymphomas; myelomas; pancreatic cancers; liver cancers; kidney cancers; endocrine cancers; skin cancers; melanomas; angiomas; and brain or central nervous system (CNS) cancers. In general, the tumor or growth to be treated may be any tumor or cancer, primary or secondary. Certain embodiments relate to methods of treating neoplastic diseases in animals. The method can include, for example, administering an effective amount of a compound to a patient in need thereof. Other embodiments relate to the use of compounds in the manufacture of a pharmaceutical or medicament for the treatment of a neoplastic disease. The compounds can be administered in combination with a chemotherapeutic agent.

[0009] In still other embodiments, the compounds are used to treat inflammatory conditions. Certain embodiments relate to methods of treating inflammatory conditions in animals. The method can include, for example, administering an effective amount of a compound to a patient in need thereof. Other embodiments relate to the use of compounds in the manufacture of a pharmaceutical or medicament for the treatment of inflammation.

[0010] In certain embodiments, the compounds are used to treat infectious diseases. The infectious agent can be a microbe, for example, bacteria, fungi, protozoans, and microscopic algae, or viruses. Further, the infectious agent can be *B. anthracis* (anthrax). In some embodiments the infectious agent is a parasite. For example, the infectious agent can be *Plasmodium*, *Leishmania*, and *Trypanosoma*. Certain embodiments relate to methods of treating infectious agents in animals. The method can include, for example, administering an effective amount of a compound to a patient in need thereof. Other embodiments relate to the use of compounds in the manufacture of a pharmaceutical or medicament for the treatment of infectious agents.

[0011] Some embodiments relate to uses of a compound having the structure of Formula I, and pharmaceutically acceptable salts and pro-drug esters thereof:

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$$E_1$$
 $E_2$ 
 $E_3$ 
 $E_4$ 
 $E_4$ 

Formula I

[0012] wherein the dashed lines represent a single or a double bond, wherein R1 may be separately selected from the group consisting of a hydrogen, a halogen, monosubstituted, poly-substituted or unsubstituted variants of the following residues: saturated  $C_1$ - $C_{24}$  alkyl, unsaturated  $C_2$ - $C_{24}$  alkenyl or  $C_2$ - $C_{24}$  alkynyl, acyl, acyloxy, alkyloxycarbonyloxy, aryloxycarbonyloxy, cycloalkyl, cycloalkenyl, alkoxy, cycloalkoxy, aryl, heteroaryl, arylalkoxy carbonyl, alkoxy carbonylacyl, amino, aminocarbonyl, aminocarboyloxy, nitro, azido, phenyl, cycloalkylacyl, hydroxy, alkylthio, arylthio, oxysulfonyl, carboxy, cyano, and halogenated alkyl including polyhalogenated alkyl, where n is equal to 1 or 2, and if n is equal to 2, then  $R_1$  can be the same or different;

[0013] wherein R<sub>2</sub>, may be selected from the group consisting of hydrogen, a halogen, mono-substituted, poly-substituted or unsubstituted variants of the following residues: saturated C<sub>1</sub>-C<sub>24</sub> alkyl, unsaturated C<sub>2</sub>-C<sub>24</sub> alkenyl or C<sub>2</sub>-C<sub>24</sub> alkynyl, acyl, acyloxy, alkyloxycarbonyloxy, aryloxycarbonyloxy, cycloalkyl, cycloalkenyl (including, for example, cyclohexylcarbinol), alkoxy, cycloalkoxy, aryl, heteroaryl, arylalkoxy carbonyl, alkoxy carbonylacyl, amino, aminocarbonyl, aminocarboyloxy, nitro, azido, phenyl, cycloalkylacyl, hydroxy, alkylthio, arylthio, oxysulfonyl, carboxy, cyano, and halogenated alkyl including polyhalogenated alkyl;

[0014] wherein  $R_3$  may be selected from the group consisting of a halogen, mono-substituted, poly-substituted or unsubstituted variants of the following residues: saturated  $C_1$ - $C_{24}$  alkyl, unsaturated  $C_2$ - $C_{24}$  alkenyl or  $C_2$ - $C_{24}$  alkynyl, acyl, acyloxy, alkyloxycarbonyloxy, aryloxycarbonyloxy, cycloalkyl, cycloalkenyl, alkoxy, cycloalkoxy, aryl, heteroaryl, arylalkoxy carbonyl, alkoxy carbonylacyl, amino, aminocarbonyl, aminocarboyloxy, nitro, azido, phenyl, cycloalkylacyl, hydroxy, alkylthio, arylthio, oxysulfonyl, carboxy, cyano, and halogenated alkyl including polyhalogenated alkyl; and wherein each of  $E_1$ ,  $E_2$ ,  $E_3$  and  $E_4$  is a substituted or unsubstituted heteroatom; in the treatment of cancer, inflammation, and infectious disease.

[0015] Other embodiments relate to methods of treating a neoplastic disease in an animal. The methods can include, for example, administering to the animal, a therapeutically effective amount of a compound of a formula selected from Formulae I-V, and pharmaceutically acceptable salts and pro-drug esters thereof.

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- [0016] Further embodiments relate to pharmaceutical compositions which include a compound of a formula selected from Formulae I-V. The pharmaceutical compositions can further include an anti-microbial agent.
- [0017] Still further embodiments relate to methods of inhibiting the growth of a cancer cell. The methods can include, for example, contacting a cancer cell with a compound of a formula selected from Formulae I-V, and pharmaceutically acceptable salts and pro-drug esters thereof.
- [0018] Other embodiments relate to methods of inhibiting proteasome activity that include the step contacting a cell with a compound of a formula selected from Formulae I-V, and pharmaceutically acceptable salts and pro-drug esters thereof.
- [0019] Other embodiments relate to methods of inhibiting NF-κB activation including the step contacting a cell with a compound of a formula selected from Formulae I-V, and pharmaceutically acceptable salts and pro-drug esters thereof.
- [0020] Some embodiments relate to methods for treating an inflammatory condition, including administering an effective amount of a compound of a formula selected from Formulae I-V to a patient in need thereof.
- [0021] Further embodiments relate to methods for treating a microbial illness including administering an effective amount of a compound of a formula selected from Formulae I-V to a patient in need thereof.

#### Brief Description of the Drawings

- [0022] The accompanying drawings, which are incorporated in and form part of the specification, merely illustrate certain preferred embodiments of the present invention. Together with the remainder of the specification, they are meant to serve to explain preferred modes of making certain compounds of the invention to those of skilled in the art. In the drawings:
  - [0023] FIG. 1 shows the chemical structure of Salinosporamide A.
- [0024] FIG. 2 shows the pan-tropical distribution of the *Salinospora*. "X" denotes *Salinospora* collection sites.
  - [0025] FIG. 3 shows colonies of Salinospora.

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[0026] FIG. 4 shows the typical 16S rDNA sequence of the *Salinospora*. Bars represent characteristic signature nucleotides of the *Salinospora* that separate them from their nearest relatives.

- [0027] FIG. 5 shows Omuralide, a degradation product of the microbial metabolite Lactacystin. Also shown is a compound of Formula II-16, also referred to as Salinosporamide A.
- [0028] FIG. 6 illustrates lethal toxin-mediated macrophage cytotoxicity. NPI-0052 represents the compound of Formula II-16.
- [0029] FIG. 7 depicts the 1H NMR spectrum of a compound having structure Formula II-20.
- [0030] FIG. 8 depicts the 1H NMR spectrum of a compound having structure Formula II-24C.
- [0031] FIG. 9 depicts the 1H NMR spectrum of a compound having structure Formula II-19.
- [0032] FIG. 10 depicts the 1H NMR spectrum of a compound having structure Formula II-2.
- [0033] FIG. 11 depicts the mass spectrum of a compound having structure Formula II-2.
- [0034] FIG. 12 depicts the 1H NMR spectrum of a compound having structure Formula  $\Pi$ -3.
- [0035] FIG. 13 depicts the mass spectrum of a compound having structure Formula II-3.
- [0036] FIG. 14 depicts the 1H NMR spectrum of a compound having structure Formula II-4.
- [0037] FIG. 15 depicts the mass spectrum of a compound having structure Formula II-4.
- [0038] FIG. 16 depicts the 1H NMR spectrum of a compound having structure Formula II-5A.
- [0039] FIG. 17 depicts the mass spectrum of a compound having structure Formula II-5A.
- [0040] FIG. 18 depicts the 1H NMR spectrum of a compound having structure Formula II-5B.

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WO 2005/002572 PCT/US2004/019543

- [0041] FIG. 19 depicts the mass spectrum of a compound having structure Formula II-5B.
- [0042] FIG. 20 depicts the 1H NMR spectrum of a compound having structure Formula IV-3C in DMSO- $d_6$ .
- [0043] FIG. 21 depicts the 1H NMR spectrum of a compound having structure Formula IV-3C in  $C_6D_6/DMSO-d_6$ .
- [0044] FIG. 22 depicts the 1H NMR spectrum of a compound having structure Formula II-13C.
- [0045] FIG. 23 depicts the 1H NMR spectrum of a compound having structure Formula II-8C.
- [0046] FIG. 24 depicts the 1H NMR spectrum of a compound having structure Formula II-25.
- [0047] FIG. 25 depicts the 1H NMR spectrum of a compound having structure Formula II-21.
- [0048] FIG. 26 depicts the 1H NMR spectrum of a compound having structure Formula II-22.
- [0049] FIG. 27 shows inhibition of the chymotrypsin-like activity of rabbit, muscle proteasomes.
- [0050] FIG. 28 shows inhibition of the PGPH activity of rabbit muscle proteasomes.
- [0051] FIG. 29 shows inhibition of the chymotrypsin-like activity of human erythrocyte proteasomes.
- [0052] FIG. 30 shows the effect of II-16 treatment on chymotrypsin-mediated cleavage of LLVY-AMC substrate.
- [0053] FIG. 31 shows NF-kB/luciferase activity and cytotoxicity profiles of II-
- [0054], FIG. 32 shows reduction of IκBα degradation and retention of phosphorylated IκBα by II-16 in HEK293 cells (A) and the HEK293 NF-κB/Luciferase reporter clone (B).
- [0055] FIG. 33 shows accumulation of cell cycle regulatory proteins, p21 and p27, by II-16 treatment of HEK293 cells (A) and the HEK293 NF-κB/Luciferase reporter clone (B).

[0056] FIG. 34 shows activation of Caspase-3 by II-16 in Jurkat cells.

[0057] FIG. 35 shows PARP cleavage by II-16 in Jurkat cells.

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[0058] FIG. 36 shows inhibition of LeTx-induced cytotoxicity by II-16 in RAW264.7 cells.

[0059] FIG. 37 shows the effects of II-16 treatment on PARP and Pro-Caspase 3 cleavage in RPMI 8226 and PC-3 cells.

[0060] FIG. 38 shows II-16 treatment of RPMI 8226 results in a dose-dependent cleavage of PARP and Pro-Caspase 3.

[0061] FIG. 39 shows in vitro proteasome inhibition by II-16, II-17, and II-18.

[0062] FIG. 40 shows proteasomal activity in PWBL prepared from II-16 treated mice.

[0063] FIG. 41 shows epoxomic in treatment in the PWBL assay.

[0064] FIG. 42 shows intra-assay comparison.

[0065] FIG. 43 shows decreased plasma TNF levels in mice treated with LPS.

[0066] FIG. 44 depicts assay results showing the effect of Formula II-2, Formula II-3 and Formula II-4 on NF-κB mediated luciferase activity in HEK293 NF-κB/Luc Cells.

[0067] FIG. 45 depicts assay results showing the effect of Formula II-5A and Formula II-5B on NF-κB mediated luciferase activity in HEK293 NF-κB/Luc Cells

[0068] FIG. 46 depicts assay results showing the effect of Formula II-2, Formula II-3, and Formula II-4 on the chymotrypsin-like activity of rabbit 20S proteasome.

[0069] FIG. 47 depicts the effect of Formula II-5A and Formula II-5B on the chymotrypsin-like activity of rabbit 20S proteasome.

[0070] FIG. 48 depicts the effect of Formulae II-2, II-3, and II-4 against LeTx-mediated cytotoxicity.

[0071] FIG. 49 depicts the 1H NMR spectrum of a compound having structure Formula II-17.

[0072] FIG. 50 depicts the 1H NMR spectrum of a compound having structure Formula II-18.

## Detailed Description of the Preferred Embodiment

[0073] Embodiments of the invention include, but are not limited to, providing a method for the preparation of compounds, including compounds, for example, those

described herein and analogs thereof, and to providing a method for producing pharmaceutically acceptable anti-microbial, anti-cancer. and anti-inflammatory compositions, for example. The methods can include the compositions in relatively high yield, wherein the compounds and/or their derivatives are among the active ingredients in Other embodiments relate to providing novel compounds not these compositions. obtainable by currently available methods. Furthermore, embodiments relate to methods of treating cancer, inflammation, and infectious diseases, particularly those affecting humans. The methods may include, for example, the step of administering an effective amount of a member of a class of new compounds. Preferred embodiments relate to the compounds and methods of making and using such compounds disclosed herein, but not necessarily in all embodiments of the present invention, these objectives are met.

[0074] For the compounds described herein, each stereogenic carbon may be of R or S configuration. Although the specific compounds exemplified in this application may be depicted in a particular configuration, compounds having either the opposite stereochemistry at any given chiral center or mixtures thereof are also envisioned. When chiral centers are found in the derivatives of this invention, it is to be understood that the compounds encompasses all possible stereoisomers.

### Compounds of Formula I

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[0075] Some embodiments provide compounds, and methods of producing a class of compounds, pharmaceutically acceptable salts and pro-drug esters thereof, wherein the compounds are represented by Formula I:

$$E_1$$
 $E_2$ 
 $E_3$ 
 $E_4$ 
 $E_4$ 

Formula I

[0076] In certain embodiments the substituent(s) R<sub>1</sub>, R<sub>2</sub>, and R<sub>3</sub> separately may include a hydrogen, a halogen, a mono-substituted, a poly-substituted or an unsubstituted variant of the following residues: saturated C<sub>1</sub>-C<sub>24</sub> alkyl, unsaturated C<sub>2</sub>-C<sub>24</sub> alkenyl or C<sub>2</sub>-C<sub>24</sub> alkynyl, acyl, acyloxy, alkyloxycarbonyloxy, aryloxycarbonyloxy, cycloalkyl (including for example, cyclohexylcarbinol), cycloalkenyl, alkoxy, cycloalkoxy, aryl, heteroaryl, arylalkoxy carbonyl, alkoxy carbonylacyl, amino, aminocarbonyl, aminocarboyloxy, nitro,

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azido, phenyl, cycloalkylacyl, hydroxy, alkylthio, arylthio, oxysulfonyl, carboxy, cyano, and halogenated alkyl including polyhalogenated alkyl. Further, in certain embodiments, each of  $E_1$ ,  $E_2$ ,  $E_3$  and  $E_4$  may be a substituted or unsubstituted heteroatom, for example, a heteroatom separately selected from the group consisting of nitrogen, sulfur and oxygen.

[0077] In some embodiments n may be equal to 1 or equal to 2. When n is equal to 2, the substituents can be the same or can be different. Furthermore, in some embodiments  $R_3$  is not a hydrogen.

[0078] Preferably,  $R_2$  may be a formyl. For example, the compound may have the following structure I-1:

Formula I-1

[0079] R<sub>8</sub> may include, for example, hydrogen, fluorine, chlorine, bromine and iodine.

[0080] Preferably, the structure of Formula I-1 may have the following stereochemistry:

[0081]  $R_8$  may include, for example, hydrogen, fluorine, chlorine, bromine and iodine.

[0082] Preferably,  $R_2$  may be a carbinol. For example, the compound may have the following structure I-2:

#### Formula I-2

[0083]  $R_8$  may include, for example, hydrogen, fluorine, chlorine, bromine and iodine.

[0084] As an example, the structure of Formula I-2 may have the following stereochemistry:

[0085] R<sub>8</sub> may include, for example, hydrogen, fluorine, chlorine, bromine and iodine.

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[0086] As exemplary compound of Formula I may be the compound having the following structure I-3:

Formula I-3

[0087] R<sub>8</sub> may include, for example, hydrogen, fluorine, chlorine, bromine and iodine.

[0088] The compound of Formula I-3 may have the following stereochemical structure:

[0089]  $R_8$  may include, for example, hydrogen, fluorine, chlorine, bromine and iodine.

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[0090] Another exemplary compound Formula I may be the compound having the following structure I-4:

Formula I-4

[0091] R<sub>8</sub> may include, for example, hydrogen, fluorine, chlorine, bromine and iodine.

[0092] Preferably, the compound of Formula I-4 may have the following stereochemical structure:

[0093]  $R_8$  may include, for example, hydrogen, fluorine, chlorine, bromine and iodine.

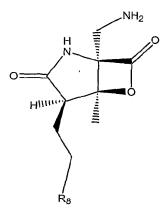
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[0094] Still a further exemplary compound of Formula I is the compound having the following structure I-5:

Formula I-5

[0095]  $R_8$  may include, for example, hydrogen, fluorine, chlorine, bromine and iodine.

[0096] For example, the compound of Formula I-5 may have the following stereochemistry:



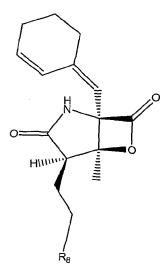
[0097] R<sub>8</sub> may include, for example, hydrogen, fluorine, chlorine, bromine and iodine.

[0098] In some embodiments, R<sub>2</sub> of Formula I may be, for example, a 3-methylenecyclohexene. For example, the compound may have the following structure of Formula I-6:

Formula I-6

[0099] R<sub>8</sub> may include, for example, hydrogen, fluorine, chlorine, bromine and iodine.

[0100] Preferably, the compound of Formula I-6 may have the following stereochemistry:



[0101]  $R_8$  may include, for example, hydrogen, fluorine, chlorine, bromine and iodine.

[0102] In other embodiments, R<sub>2</sub> may be a cyclohexylalkylamine.

[0103] Also, in other embodiments,  $R_2$  may be a C-Cyclohexylmethyleneamine. In others,  $R_2$  may be a cyclohexanecarbaldehyde O-oxime.

[0104] Furthermore, in some embodiments, R<sub>2</sub> may be a cycloalkylacyl.

#### Compounds of Formula II

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[0105] Other embodiments provide compounds, and methods of producing a class of compounds, pharmaceutically acceptable salts and pro-drug esters thereof, wherein the compounds are represented by Formula II:

$$E_1$$
 $E_2$ 
 $E_3$ 
 $E_4$ 
 $E_4$ 
 $E_3$ 

Formula II

[0106] In certain embodiments the substituent(s)  $R_1$ ,  $R_3$ , and  $R_4$  separately may include a hydrogen, a halogen, a mono-substituted, a poly-substituted or an unsubstituted variant of the following residues: saturated  $C_1$ - $C_{24}$  alkyl, unsaturated  $C_2$ - $C_{24}$  alkenyl or  $C_2$ - $C_{24}$  alkynyl, acyl, acyloxy, alkyloxycarbonyloxy, aryloxycarbonyloxy, cycloalkyl, cycloalkenyl, alkoxy, cycloalkoxy, aryl, heteroaryl, arylalkoxy carbonyl, alkoxy carbonylacyl, amino, aminocarbonyl, aminocarboyloxy, nitro, azido, phenyl, cycloalkylacyl, hydroxy, alkylthio, arylthio, oxysulfonyl, carboxy, cyano, and halogenated alkyl including polyhalogenated alkyl. Further, in certain embodiments, each of  $E_1$ ,  $E_2$ ,  $E_3$  and  $E_4$  may be a substituted or unsubstituted heteroatom, for example, a heteroatom or substituted heteroatom selected from the group consisting of nitrogen, sulfur and oxygen.

[0107] In some embodiments n may be equal to 1, while in others it may be equal to 2. When n is equal to 2, the substituents can be the same or can be different. Furthermore, in some embodiments  $R_3$  is not a hydrogen. m can be equal to 1 or 2, and when m is equal to 2,  $R_4$  can be the same or different.

[0108] E<sub>5</sub> may be, for example, OH, O, OR<sub>10</sub>, S, SR<sub>11</sub>, SO<sub>2</sub>R<sub>11</sub>, NH, NH<sub>2</sub>, NOH, NHOH, NR<sub>12</sub>, and NHOR<sub>13</sub>, wherein R<sub>10-13</sub> may separately include, for example, hydrogen, a substituted or unsubstituted of any of the following: alkyl, an aryl, a heteroaryl, and the like. Also, R<sub>1</sub> may be CH<sub>2</sub>CH<sub>2</sub>X, wherein X may be, for example, H, F, Cl, Br, and I. R<sub>3</sub> may be methyl. Furthermore, R<sub>4</sub> may include a cyclohexyl. Also, each of E<sub>1</sub>, E<sub>3</sub> and E<sub>4</sub> may be O and E<sub>2</sub> may be NH. Preferably, R<sub>1</sub> may be CH<sub>2</sub>CH<sub>2</sub>X, wherein X is selected from the group consisting of H, F, Cl Br, and I; wherein R<sub>4</sub> may include a cyclohexyl; wherein R<sub>3</sub> may be methyl; and wherein each of E<sub>1</sub>, E<sub>3</sub> and E<sub>4</sub> separately may be O and E<sub>2</sub> may be NH.

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[0109] For example, an exemplary compound of Formula  $\Pi$  has the following structure  $\Pi$ -1:

Formula II-1

[0110] R<sub>8</sub> may include, for example, hydrogen, fluorine, chlorine, bromine and iodine.

[0111] Exemplary stereochemistry may be as follows:

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[0112] In preferred embodiments, the compound of Formula II has any of the following structures:

[0113] The following is exemplary stereochemistry for compounds having the structures II-2, II-3, and II-4, respectively:

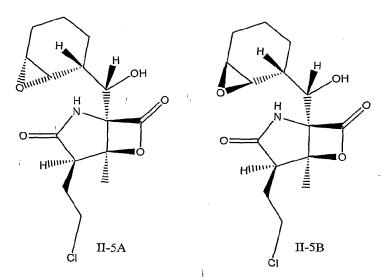
[0114] In other embodiments wherein  $R_4$  may include a 7-oxabicyclo[4.1.0]hept-2-yl). An exemplary compound of Formula II is the following structure II-5:

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Formula II-5

[0115] R<sub>8</sub> may include, for example, hydrogen, fluorine, chlorine, bromine and iodine.

[0116] The following are examples of compounds having the structure of Formula II-5:



Formulae II-5A and II-5B

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[0117] In still further embodiments, at least one  $R_4$  may include a substituted or an unsubstituted branched alkyl. For example, a compound of Formula II may be the following structure II-6:

Formula II-6

[0118]  $R_8$  may include, for example, hydrogen, fluorine, chlorine, bromine and iodine.

[0119] The following is exemplary stereochemistry for a compound having the structure of Formula II-6:

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[0120] As another example, the compound of Formula II may be the following structure II-7:

Formula II-7

[0121]  $R_8$  may include, for example, hydrogen, fluorine, chlorine, bromine and iodine.

[0122] The following is exemplary stereochemistry for a compound having the structure of Formula II-7:

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[0123] In other embodiments, at least one  $R_4$  may be a cycloalkyl and  $E_5$  may be an oxygen. An exemplary compound of Formula II may be the following structure II-8:

Formula II-8

[0124] R<sub>8</sub> may include, for example, hydrogen (II-8A), fluorine (II-8B), chlorine (II-8C), bromine (II-8D) and iodine (II-8E).

[0125] The following is exemplary stereochemistry for a compound having the structure of Formula II-8:

[0126] In some embodiments E5 may be an amine oxide, giving rise to an oxime. An exemplary compound of Formula II has the following structure II-9:

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Formula II-9

[0127] R<sub>8</sub> may include, for example, hydrogen, fluorine, chlorine, bromine and iodine; R may be hydrogen, and a substituted or unsubstituted alkyl, aryl, or heteroaryl, and the like.

[0128] The following is exemplary stereochemistry for a compound having the structure of Formula II-9:

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[0129] A further exemplary compound of Formula II has the following structure II-10:

Formula II-10

[0130]  $R_8$  may include, for example, hydrogen, fluorine, chlorine, bromine and iodine.

[0131] The following is exemplary stereochemistry for a compound having the structure of Formula II-10:

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[0132] In some embodiments,  $E_5$  may be  $NH_2$ . An exemplary compound of Formula II has the following structure II-11:

Formula II-11

[0133]  $R_8$  may include, for example, hydrogen, fluorine, chlorine, bromine and iodine.

[0134] The following is exemplary stereochemistry for a compound having the structure of Formula II-11:

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[0135] In some embodiments, at least one  $R_4$  may include a cycloalkyl and  $E_5$  may be  $NH_2$ . An exemplary compound of Formula II has the following structure II-12:

Formula II-12

[0136] R<sub>8</sub> may include, for example, hydrogen, fluorine, chlorine, bromine and iodine.

[0137] The following is exemplary stereochemistry for a compound having the structure of Formula II-12:

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[0138] A further exemplary compound of Formula II has the following structure II-13:

Formula II-13

[0139]  $R_8$  may include, for example, hydrogen (II-13A), fluorine (II-13B), chlorine (II-13C), bromine (II-13D) and iodine (II-13E).

[0140] The following is exemplary stereochemistry for a compound having the structure of Formula II-13:

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[0141] A still further exemplary compound of Formula II has the following structure II-14:

Formula II-14

[0142]  $R_8$  may include, for example, hydrogen, fluorine, chlorine, bromine and iodine.

[0143] The following is exemplary stereochemistry for a compound having the structure of Formula II-14:

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[0144] In some embodiments, the compounds of Formula II, may include as  $R_4$  at least one cycloalkene, for example. Furthermore, in some embodiments, the compounds may include a hydroxy at  $E_5$ , for example. A further exemplary compound of Formula II has the following structure II-15:

Formula II-15

[0145] R<sub>8</sub> may include, for example, hydrogen, fluorine, chlorine, bromine and iodine.

[0146] Exemplary stereochemistry may be as follows:

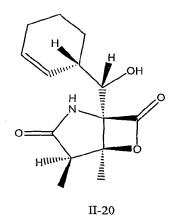
[0147] The following is exemplary stereochemistry for compounds having the structures II-16, II-17, II-18, and II-19, respectively:

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[0148] The compounds of Formulae II-16, II-17, II-18 and II-19 may be obtained by fermentation, synthesis, or semi-synthesis and isolated/purified as set forth below. Furthermore, the compounds of Formulae II-16, II-17, II-18 and II-19 may be used, and are referred to, as "starting materials" to make other compounds described herein.

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[0149] In some embodiments, the compounds of Formula II, may include a methyl group as  $R_l$ , for example. A further exemplary compound, Formula II-20, has the following structure and stereochemistry:



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[0150] In some embodiments, the compounds of Formula II, may include hydroxyethyl as  $R_1$ , for example. A further exemplary compound, Formula II-21, has the following structure and stereochemistry:

[0151] In some embodiments, the hydroxyl group of Formula II-21 may be esterified such that  $R_1$  may include ethylpropionate, for example. An exemplary compound, Formula II-22, has the following structure and stereochemistry:

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[0152] In some embodiments, the compounds of Formula II may include an ethyl group as  $R_3$ , for example. A further exemplary compound of Formula II has the following structure II-23:

[0153] R<sub>8</sub> may include, for example, hydrogen, fluorine, chlorine, bromine and iodine. Exemplary stereochemistry may be as follows:

[0154] In some embodiments, the compounds of Formula II-23 may have the following structure and stereochemistry, exemplified by Formula II-24C, where  $R_8$  is chlorine:

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[0155] In some embodiments, the compounds of Formula II-15 may have the following stereochemistry, exemplified by the compound of Formula II-25, where  $R_8$  is chlorine:

#### Compounds of Formula III

http://www.patentlens.net/

WO 2005/002572

[0156] Other embodiments provide compounds, and methods of producing a class of compounds, pharmaceutically acceptable salts and pro-drug esters thereof, wherein the compounds are represented by Formula  $\rm III$ :

$$(R_4)_{111}$$
  $E_5$   $E_8$   $E_4$   $E_4$   $E_4$   $E_4$ 

Formula III

[0157] In certain embodiments, the substituent(s) R<sub>1</sub> separately may include, for example, a hydrogen, a halogen, a mono-substituted, a poly-substituted or an unsubstituted variant of the following residues: saturated C<sub>1</sub>-C<sub>24</sub> alkyl, unsaturated C<sub>2</sub>-C<sub>24</sub> alkenyl or C<sub>2</sub>-C<sub>24</sub> alkynyl, acyl, acyloxy, alkyloxycarbonyloxy, aryloxycarbonyloxy, cycloalkyl, cycloalkenyl, alkoxy, cycloalkoxy, aryl, heteroaryl, arylalkoxy carbonyl, alkoxy carbonylacyl, amino, aminocarbonyl, aminocarboyloxy, nitro, azido, phenyl, hydroxy, alkylthio, arylthio, oxysulfonyl, carboxy, cyano, and halogenated alkyl including polyhalogenated alkyl. For example, n can be equal to 1 or 2.

[0158] In certain embodiments,  $R_4$  may be, for example, a hydrogen, a halogen, a mono-substituted, a poly-substituted or an unsubstituted variants of the following residues: saturated  $C_1$ - $C_{24}$  alkyl, unsaturated  $C_2$ - $C_{24}$  alkenyl or  $C_2$ - $C_{24}$  alkynyl, acyl, acyloxy, alkyloxycarbonyloxy, aryloxycarbonyloxy, cycloalkyl, cycloalkenyl, alkoxy, cycloalkoxy, aryl, heteroaryl, arylalkoxy carbonyl, alkoxy carbonylacyl, amino, aminocarbonyl, aminocarboyloxy, nitro, azido, phenyl, hydroxy, alkylthio, arylthio, oxysulfonyl, carboxy, cyano, and halogenated alkyl including polyhalogenated alkyl. In some embodiments m can be equal to 1 or 2, and where m is equal to 2, the substituents can the same or different. Also, each of  $E_1$ ,  $E_2$ ,  $E_3$ ,  $E_4$  and  $E_5$  may be, for example, a substituted or unsubstituted heteroatom. For example, the heteroatom may be nitrogen, sulfur or oxygen.

## Compounds of Formula IV

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[0159] Other embodiments provide compounds, and methods of producing a class of compounds, pharmaceutically acceptable salts and pro-drug esters thereof, wherein the compounds are represented by Formula IV:

http://www.patentlens.net/

WO 2005/002572

$$(R_5)m$$
 $E_2$ 
 $E_3$ 
 $(R_1)_n$ 
 $E_3$ 

Formula IV

[0160] In certain embodiments, the substituent(s) R<sub>1</sub> R<sub>3</sub>, and R<sub>5</sub> may separately include a hydrogen, a halogen, a mono-substituted, a poly-substituted or an unsubstituted variants of the following residues: saturated C<sub>1</sub>-C<sub>24</sub> alkyl, unsaturated C<sub>2</sub>-C<sub>24</sub> alkenyl or C<sub>2</sub>-C<sub>24</sub> alkynyl, acyl, acyloxy, alkyloxycarbonyloxy, aryloxycarbonyloxy, cycloalkyl, cycloalkenyl, alkoxy, cycloalkoxy, aryl, heteroaryl, arylalkoxy carbonyl, alkoxy carbonylacyl, amino, aminocarbonyl, aminocarboyloxy, nitro, azido, phenyl, hydroxy, alkylthio, arylthio, oxysulfonyl, carboxy, cyano, and halogenated alkyl including polyhalogenated alkyl. Also, each of E<sub>1</sub>, E<sub>2</sub>, E<sub>3</sub>, E<sub>4</sub> and E<sub>5</sub> may be a heteroatom or substituted heteroatom, for example, nitrogen, sulfur or oxygen. In some embodiments, R<sub>3</sub> is not a hydrogen. n is equal to 1 or 2. When n is equal to 2, the substituents can be the same or can be different. Also, m can be 0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, or 11. When m is greater than 1, the substituents can be the same or different.

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[0161] In some embodiments  $R_5$  may give rise to a di-substituted cyclohexyl. An exemplary compound of Formula IV is the following structure IV-1, with and without exemplary stereochemistry:

Formula IV-1

[0162] R<sub>8</sub> may include, for example, hydrogen, fluorine, chlorine, bromine and iodine. The substituent(s) R<sub>6</sub> and R<sub>7</sub> may separately include a hydrogen, a halogen, a mono-substituted, a poly-substituted or an unsubstituted variants of the following residues: saturated C<sub>1</sub>-C<sub>24</sub> alkyl, unsaturated C<sub>2</sub>-C<sub>24</sub> alkenyl or C<sub>2</sub>-C<sub>24</sub> alkynyl, acyl, acyloxy, alkyloxycarbonyloxy, aryloxycarbonyloxy, cycloalkyl, cycloalkenyl, alkoxy, cycloalkoxy, aryl, heteroaryl, arylalkoxy carbonyl, alkoxy carbonylacyl, amino, aminocarbonyl, aminocarboyloxy, nitro, azido, phenyl, hydroxy, alkylthio, arylthio, oxysulfonyl, carboxy, cyano, and halogenated alkyl including polyhalogenated alkyl. Further, R<sub>6</sub> and R<sub>7</sub> both may be the same or different.

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[0163] For example, an exemplary compound of Formula IV has the following structure IV-2:

Formula IV-2

[0164] R<sub>8</sub> may include, for example, hydrogen, fluorine, chlorine, bromine and iodine.

[0165] Exemplary stereochemistry may be as follows:

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[0166] For example, an exemplary compound of Formula IV has the following structure IV-3:

Formula IV-3

[0167] R<sub>8</sub> may include, for example, hydrogen (IV-3A), fluorine (IV-3B), chlorine (IV-3C), bromine (IV-3D) and iodine (IV-3E).

[0168] Exemplary structure and stereochemistry may be as follows:

[0169] Additional exemplary structure and stereochemistry may be as follows:

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Formula IV-3C

[0170] For example, an exemplary compound of Formula IV has the following structure IV-4:

Formula IV-4

[0171] R<sub>8</sub> may include, for example, hydrogen, fluorine, chlorine, bromine and iodine.

[0172] Exemplary stereochemistry may be as follows:

## Compounds of Formula V

http://www.patentlens.net/

[0173] Some embodiments provide compounds, and methods of producing a class of compounds, pharmaceutically acceptable salts and pro-drug esters thereof, wherein the compounds are represented by Formula V:

$$(R_5)m$$
 $E_2$ 
 $(R_1)$ 
 $E_3$ 
 $(R_1)$ 
 $E_4$ 

Formula V

[0174] In certain embodiments, the substituent(s)  $R_1$  and  $R_5$  may separately include a hydrogen, a halogen, a mono-substituted, a poly-substituted or unsubstituted variants of the following residues: saturated  $C_1$ - $C_{24}$  alkyl, unsaturated  $C_2$ - $C_{24}$  alkenyl or  $C_2$ - $C_{24}$  alkynyl, acyl, acyloxy, alkyloxycarbonyloxy, aryloxycarbonyloxy, cycloalkyl, cycloalkenyl, alkoxy, cycloalkoxy, aryl, heteroaryl, arylalkoxy carbonyl, alkoxy carbonylacyl, amino, aminocarbonyl, aminocarboyloxy, nitro, azido, phenyl, hydroxy, alkylthio, arylthio, oxysulfonyl, carboxy, cyano, and halogenated alkyl including polyhalogenated alkyl. In certain embodiments, each of  $E_1$ ,  $E_2$ ,  $E_3$ ,  $E_4$  and  $E_5$  may be a heteroatom or substituted heteroatom, for example, nitrogen, sulfur or oxygen. n can be equal to 1 or 2, and when n is equal to 2, the substituents can be the same or different.

Preferably, m may be, for example, 0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, or 11. When m is greater than 1,  $R_5$  may be the same or different.

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- [0175] Certain embodiments also provide pharmaceutically acceptable salts and pro-drug esters of the compound of Formulae I-V, and provide methods of obtaining and purifying such compounds by the methods disclosed herein.
- [0176] The term "pro-drug ester," especially when referring to a pro-drug ester of the compound of Formula I synthesized by the methods disclosed herein, refers to a chemical derivative of the compound that is rapidly transformed *in vivo* to yield the compound, for example, by hydrolysis in blood or inside tissues. The term "pro-drug ester" refers to derivatives of the compounds disclosed herein formed by the addition of any of several ester-forming groups that are hydrolyzed under physiological conditions. Examples of pro-drug ester groups include pivoyloxymethyl, acetoxymethyl, phthalidyl, indanyl and methoxymethyl, as well as other such groups known in the art, including a (5-R-2-oxo-1,3-dioxolen-4-yl)methyl group. Other examples of pro-drug ester groups can be found in, for example, T. Higuchi and V. Stella, in "Pro-drugs as Novel Delivery Systems", Vol. 14, A.C.S. Symposium Series, American Chemical Society (1975); and "Bioreversible Carriers in Drug Design: Theory and Application", edited by E. B. Roche, Pergamon Press: New York, 14-21 (1987) (providing examples of esters useful as prodrugs for compounds containing carboxyl groups).
- [0177] The term "pro-drug ester," as used herein, also refers to a chemical derivative of the compound that is rapidly transformed *in vivo* to yield the compound, for example, by hydrolysis in blood.
- [0178] The term "pharmaceutically acceptable salt," as used herein, and particularly when referring to a pharmaceutically acceptable salt of a compound, including Formulae I-V, and Formula I-V as produced and synthesized by the methods disclosed herein, refers to any pharmaceutically acceptable salts of a compound, and preferably refers to an acid addition salt of a compound. Preferred examples of pharmaceutically acceptable salt are the alkali metal salts (sodium or potassium), the alkaline earth metal salts (calcium or magnesium), or ammonium salts derived from ammonia or from pharmaceutically acceptable organic amines, for example  $C_1$   $C_7$  alkylamine, cyclohexylamine, triethanolamine, ethylenediamine or tris-(hydroxymethyl)-aminomethane. With respect to compounds synthesized by the method of this embodiment that are basic amines, the preferred examples of pharmaceutically acceptable salts are acid addition salts of

pharmaceutically acceptable inorganic or organic acids, for example, hydrohalic, sulfuric, phosphoric acid or aliphatic or aromatic carboxylic or sulfonic acid, for example acetic, succinic, lactic, malic, tartaric, citric, ascorbic, nicotinic, methanesulfonic, p-toluensulfonic or naphthalenesulfonic acid.

- [0179] Preferred pharmaceutical compositions disclosed herein include pharmaceutically acceptable salts and pro-drug esters of the compound of Formulae I-V obtained and purified by the methods disclosed herein. Accordingly, if the manufacture of pharmaceutical formulations involves intimate mixing of the pharmaceutical excipients and the active ingredient in its salt form, then it is preferred to use pharmaceutical excipients which are non-basic, that is, either acidic or neutral excipients.
- [0180] It will be also appreciated that the phrase "compounds and compositions comprising the compound," or any like phrase, is meant to encompass compounds in any suitable form for pharmaceutical delivery, as discussed in further detail herein. For example, in certain embodiments, the compounds or compositions comprising the same may include a pharmaceutically acceptable salt of the compound.
- [0181] In one embodiment the compounds may be used to treat microbial diseases, cancer, and inflammation. Disease is meant to be construed broadly to cover infectious diseases, and also autoimmune diseases, non-infectious diseases and chronic conditions. In a preferred embodiment, the disease is caused by a microbe, such as a bacterium, a fungi, and protozoa, for example. The methods of use may also include the steps of administering a compound or composition comprising the compound to an individual with an infectious disease or cancer. The compound or composition can be administered in an amount effective to treat the particular infectious disease, cancer or inflammatory condition.
- [0182] The infectious disease may be, for example, one caused by *Bacillus*, such as *B. anthracis* and *B. cereus*. The infectious disease may be one caused by a protozoa, for example, a *Leishmania*, a *Plasmodium* or a *Trypanosoma*. The compound or composition may be administered with a pharmaceutically acceptable carrier, diluent, excipient, and the like.
- [0183] The cancer may be, for example, a multiple myeloma, a colorectal carcinoma, a prostate carcinoma, a breast adenocarcinoma, a non-small cell lung carcinoma, an ovarian carcinoma, a melanoma, and the like.

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WO 2005/002572

[0184] The inflammatory condition may be, for example, rheumatoid arthritis, asthma, multiple sclerosis, psoriasis, stroke, myocardial infarction, and the like.

- [0185] The term "halogen atom," as used herein, means any one of the radiostable atoms of column 7 of the Periodic Table of the Elements, *i.e.*, fluorine, chlorine, bromine, or iodine, with bromine and chlorine being preferred.
- [0186] The term "alkyl," as used herein, means any unbranched or branched, substituted or unsubstituted, saturated hydrocarbon, with C<sub>1</sub>-C<sub>6</sub> unbranched, saturated, unsubstituted hydrocarbons being preferred, with methyl, ethyl, isobutyl, and tert-butylpropyl, and pentyl being most preferred. Among the substituted, saturated hydrocarbons, C<sub>1</sub>-C<sub>6</sub> mono- and di- and per-halogen substituted saturated hydrocarbons and amino-substituted hydrocarbons are preferred, with perfluromethyl, perchloromethyl, perfluoro-tert-butyl, and perchloro-tert-butyl being the most preferred.
- The term "substituted" has its ordinary meaning, as found in numerous [0187] contemporary patents from the related art. See, for example, U.S. Patent Nos. 6,509,331; 6,506,787; 6,500,825; 5,922,683; 5,886,210; 5,874,443; and 6,350,759. Specifically, the definition of substituted is as broad as that provided in U.S. Patent No. 6,509,331, which defines the term "substituted alkyl" such that it refers to an alkyl group, preferably of from 1 to 10 carbon atoms, having from 1 to 5 substituents, and preferably 1 to 3 substituents, selected from the group consisting of alkoxy, substituted alkoxy, cycloalkyl, substituted cycloalkyl, cycloalkenyl, substituted cycloalkenyl, acyl, acylamino, acyloxy, amino, substituted amino, aminoacyl, aminoacyloxy, oxyacylamino, cyano, halogen, hydroxyl, carboxyl, carboxylalkyl, keto, thioketo, thiol, thioalkoxy, substituted thioalkoxy, aryl, heteroaryloxy, hydroxyamino, heterocyclic, heterocyclooxy, aryloxy, heteroaryl, alkoxyamino, nitro, --SO-alkyl, --SO-substituted alkyl, --SO-aryl, --SO-heteroaryl, --SO<sub>2</sub>alkyl, --SO<sub>2</sub>-substituted alkyl, --SO<sub>2</sub>-aryl and -SO<sub>2</sub>-heteroaryl. The other above-listed patents also provide standard definitions for the term "substituted" that are well-understood by those of skill in the art.
- [0188] The term "cycloalkyl" refers to any non-aromatic hydrocarbon ring, preferably having five to twelve atoms comprising the ring. The term "acyl" refers to alkyl or aryl groups derived from an oxoacid, with an acetyl group being preferred.
- [0189] The term "alkenyl," as used herein, means any unbranched or branched, substituted or unsubstituted, unsaturated hydrocarbon including polyunsaturated hydrocarbons, with  $C_1$ - $C_6$  unbranched, mono-unsaturated and di-unsaturated, unsubstituted

hydrocarbons being preferred, and mono-unsaturated, di-halogen substituted hydrocarbons being most preferred. The term "cycloalkenyl" refers to any non-aromatic hydrocarbon ring, preferably having five to twelve atoms comprising the ring.

[0190] The terms "aryl," "substituted aryl," "heteroaryl," and "substituted heteroaryl," as used herein, refer to aromatic hydrocarbon rings, preferably having five, six, or seven atoms, and most preferably having six atoms comprising the ring. "Heteroaryl" and "substituted heteroaryl," refer to aromatic hydrocarbon rings in which at least one heteroatom, e.g., oxygen, sulfur, or nitrogen atom, is in the ring along with at least one carbon atom. The term "heterocycle" or "heterocyclic" refer to any cyclic compound containing one or more heteroatoms. The substituted aryls, heterocycles and heteroaryls can be substituted with any substituent, including those described above and those known in the art.

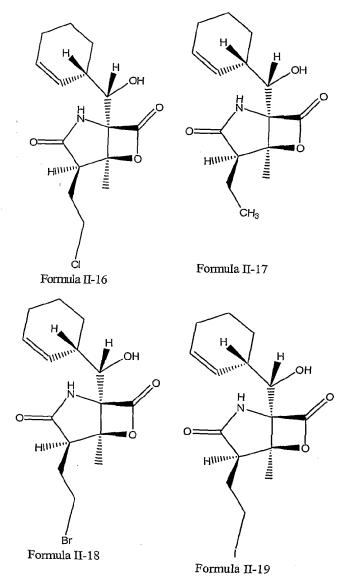
[0191] The term "alkoxy" refers to any unbranched, or branched, substituted or unsubstituted, saturated or unsaturated ether, with C<sub>1</sub>-C<sub>6</sub> unbranched, saturated, unsubstituted ethers being preferred, with methoxy being preferred, and also with dimethyl, diethyl, methyl-isobutyl, and methyl-tert-butyl ethers also being preferred. The term "cycloalkoxy" refers to any non-aromatic hydrocarbon ring, preferably having five to twelve atoms comprising the ring. The term "alkoxy carbonyl" refers to any linear, branched, cyclic, saturated, unsaturated, aliphatic or aromatic alkoxy attached to a carbonyl group. The examples include methoxycarbonyl group, ethoxycarbonyl group, propyloxycarbonyl group, isopropyloxycarbonyl group, butoxycarbonyl group, secbutoxycarbonyl group, tert-butoxycarbonyl group, cyclopentyloxycarbonyl group, cyclohexyloxycarbonyl group, benzyloxycarbonyl group, allyloxycarbonyl group, phenyloxycarbonyl group, pyridyloxycarbonyl group, and the like.

[0192] The terms "pure," "purified," "substantially purified," and "isolated" as used herein refer to the compound of the embodiment being free of other, dissimilar compounds with which the compound, if found in its natural state, would be associated in its natural state. In certain embodiments described as "pure," "purified," "substantially purified," or "isolated" herein, the compound may comprise at least 0.5%, 1%, 5%, 10%, or 20%, and most preferably at least 50% or 75% of the mass, by weight, of a given sample.

[0193] The terms "derivative," "variant," or other similar term refers to a compound that is an analog of the other compound.

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[0194] Certain of the compounds of Formula I-V may be obtained and purified or may be obtained via semi-synthesis from purified compounds as set forth herein. Generally, without being limited thereto, the compounds of Formula II-15, preferably, Formulae II-16, II-17, II-18 and II-19, may be obtained synthetically or by fermentation. Exemplary fermentation procedures are provided below. Futher, the compounds of Formula II-15, preferably, Formulae II-16, II-17, II-18 and II-19 may be used as starting compounds in order to obtain/synthesize various of the other compounds described herein. Exemplary non-limiting syntheses are provided herein.



[0195] Formula II-16 is currently produced through a high-yield saline fermentation (~200 mg/L) and modifications of the conditions has yielded new analogs in

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the fermentation extracts. FIG. 1 shows the chemical structure of II-16. Additional analogs can be generated through directed biosynthesis. Directed biosynthesis is the modification of a natural product by adding biosynthetic precursor analogs to the fermentation of producing microorganisms (Lam, et al., J Antibiot (Tokyo) 44:934 (1991), Lam, et al., J Antibiot (Tokyo) 54:1 (2001)).

- [0196] Exposing the producing culture to analogs of acetic acid, phenylalanine, valine, butyric acid, shikimic acid, and halogens, preferably, other than chlorine, can lead to the formation of new analogs. The new analogs produced can be easily detected in crude extracts by HPLC and LC-MS. For example, after manipulating the medium with different concentrations of sodium bromide, a bromo-analog, Formula II-18, was successfully produced in shake-flask culture at a titer of 14 mg/L.
- [0197] A second approach to generate new analogs is through biotransformation. Biotransformation reactions are chemical reactions catalyzed by enzymes or whole cells containing these enzymes. Zaks, A., Curr Opin Chem Biol 5:130 (2001). Microbial natural products are ideal substrates for biotransformation reactions as they are synthesized by a series of enzymatic reactions inside microbial cells. Riva, S., Curr Opin Chem Biol 5:106 (2001).
- [0198] Given the structure of the described compounds, including those of Formula II-15, for example, the possible biosynthetic origins are acetyl-CoA, ethylmalonyl-CoA, phenylalanine and chlorine. Ethylmalonyl-CoA is derived from butyryl-CoA, which can be derived either from valine or crotonyl-CoA. Liu, et al., Metab Eng 3:40 (2001). Phenylalanine is derived from shikimic acid.

## Production of Compounds of Formulae II-16, II-17, and II-18

- [0199] The production of compounds of Formulae II-16, II-17, and II-18 may be carried out by cultivating strain CNB476 in a suitable nutrient medium under conditions described herein, preferably under submerged aerobic conditions, until a substantial amount of compounds are detected in the fermentation; harvesting by extracting the active components from the fermentation broth with a suitable solvent; concentrating the solvent containing the desired components; then subjecting the concentrated material to chromatographic separation to isolate the compounds from other metabolites also present in the cultivation medium.
- [0200] FIG. 2 shows some collection sites worldwide for the culture (CNB476), which is also referred to as Salinospora. FIG. 3 shows colonies of *Salinospora*. FIG. 4

shows the typical 16S rDNA sequence of the *Salinospora*. Bars represent characteristic signature nucleotides of the *Salinospora* that separate them from their nearest relatives.

[0201] The culture (CNB476) was deposited on June 20, 2003 with the American Type Culture Collection (ATCC) in Rockville, MD and assigned the ATCC patent deposition number PTA-5275. The ATCC deposit meets all of the requirements of the Budapest treaty. The culture is also maintained at and available from Nereus Pharmaceutical Culture Collection at 10480 Wateridge Circle, San Diego, CA 92121. In addition to the specific microorganism described herein, it should be understood that mutants, such as those produced by the use of chemical or physical mutagens including X-rays, etc. and organisms whose genetic makeup has been modified by molecular biology techniques, may also be cultivated to produce the starting compounds of Formulae II-16, II-17, and II-18.

## Fermentation of strain CNB476

[0202] Production of compounds can be achieved at temperature conducive to satisfactory growth of the producing organism, e.g. from 16 degree C to 40 degree C, but it is preferable to conduct the fermentation at 22 degree C to 32 degree C. The aqueous medium can be incubated for a period of time necessary to complete the production of compounds as monitored by high pressure liquid chromatography (HPLC), preferably for a period of about 2 to 10 days, on a rotary shaker operating at about 50 rpm to 400 rpm, preferably at 150 rpm to 250 rpm, for example.

[0203] Growth of the microorganisms may be achieved by one of ordinary skill of the art by the use of appropriate medium. Broadly, the sources of carbon include glucose, fructose, mannose, maltose, galactose, mannitol and glycerol, other sugars and sugar alcohols, starches and other carbohydrates, or carbohydrate derivatives such as dextran, cerelose, as well as complex nutrients such as oat flour, corn meal, millet, corn, and the like. The exact quantity of the carbon source that is utilized in the medium will depend in part, upon the other ingredients in the medium, but an amount of carbohydrate between 0.5 to 25 percent by weight of the medium can be satisfactorily used, for example. These carbon sources can be used individually or several such carbon sources may be combined in the same medium, for example. Certain carbon sources are preferred as hereinafter set forth.

[0204] The sources of nitrogen include amino acids such as glycine, arginine, threonine, methionine and the like, ammonium salt, as well as complex sources such as

yeast extracts, corn steep liquors, distiller solubles, soybean meal, cotttonseed meal, fish meal, peptone, and the like. The various sources of nitrogen can be used alone or in combination in amounts ranging from 0.5 to 25 percent by weight of the medium, for example.

[0205] Among the nutrient inorganic salts, which can be incorporated in the culture media, are the customary salts capable of yielding sodium, potassium, magnesium, calcium, phosphate, sulfate, chloride, carbonate, and like ions. Also included are trace metals such as cobalt, manganese, iron, molybdenum, zinc, cadmium, and the like.

## Biological Activity and Uses of Compounds

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- [0206] Some embodiments relate to methods of treating cancer, inflammation, and infectious diseases, particularly those affecting humans. The methods may include, for example, the step of administering an effective amount of a member of a class of new compounds. Thus, the compounds disclosed herein may be used to treat cancer, inflammation, and infectious disease.
- [0207] The compounds have various biological activities. For example, the compounds have chemosensitizing activity, anti-microbial, anti-inflammation, and anti-cancer activity.
- [0208] The compounds have proteasome inhibitory activity. The proteasome inhibitory activity may, in whole or in part, contribute to the ability of the compounds to act as anti-cancer, anti-inflammatory, and anti-microbial agents.
- [0209] The proteasome is a multisubunit protease that degrades intracellular proteins through its chymotrypsin-like, trypsin-like and peptidylglutamyl-peptide hydrolyzing (PGPH; and also know as the caspase-like activity) activities. The 26S proteasome contains a proteolytic core called the 20S proteasome and two 19S regulatory subunits. The 20S proteasome is responsible for the proteolytic activity against many substrates including damaged proteins, the transcription factor NF-κB and its inhibitor IκB, signaling molecules, tumor suppressors and cell cycle regulators. There are three distinct protease activities within the proteasome: 1) chymotrypsin-like; 2) trypsin-like; and the 3) peptidyl glutamyl peptide hydrolyzing (PGPH) activity.
- [0210] As an example, compounds of Formula II-16 were more potent (EC<sub>50</sub> 2nM) at inhibiting the chymotrypsin-like activity of rabbit muscle proteasomes than Omuralide (EC<sub>50</sub> 52 nM) and also inhibited the chymotrypsin-like activity of human erythrocyte derived proteasomes (EC<sub>50</sub>  $\sim$ 250pM). FIG. 5 shows omuralide, which is a

degradation product of Lactacystin, and it shows a compound of Formula II-16. Compounds of Formula II-16 exhibit a significant preference for inhibiting chymotrypsin-like activity of the proteasome over inhibiting the catalytic activity of chymotrypsin. Compounds of Formula II-16 also exhibit low nM trypsin-like inhibitory activity ( $\sim$ 10 nM), but are less potent at inhibiting the PGPH activity of the proteasome (EC<sub>50</sub>  $\sim$ 350 nM).

[0211] Additional studies have characterized the effects of compounds described herein, , including studies of Formula II-16 on the NF-κB/ IκB signaling pathway. Treatment of HEK293 cells (human embryonic kidney) with Tumor Necrosis Factor-alpha (TNF-α) induces phosphorylation and proteasome-mediated degradation of IκBα followed by NF-κB activation. To confirm proteasome inhibition, HEK293 cells were pre-treated for 1 hour with compounds of Formula II-16 followed by TNF-α stimulation. Treatment with compounds of Formula II-16 promoted the accumulation of phosphorylated IκBα suggesting that the proteasome-mediated IκBα degradation was inhibited.

[0212] Furthermore, a stable HEK293 clone (NF-κB/Luc 293) was generated carrying a luciferase reporter gene under the regulation of 5x NF-κB binding sites. Stimulation of NF-κB/Luc 293 cells with TNF-α increases luciferase activity as a result of NF-κB activation while pretreatment with compounds of Formula II-16 decreases activity. Western blot analyses demonstrated that compounds of Formula II-16 promoted the accumulation of phosphorylated-IκBα and decreased the degradation of total IκBα in the NF-κB/Luc 293 cells. Compounds of Formula II-16 were also shown to increase the levels of the cell cycle regulatory proteins, p21 and p27.

[0213] Tumor cells may be more sensitive to proteasome inhibitors than normal cells. Moreover, proteasome inhibition increases the sensitivity of cancer cells to anticancer agents. The cytotoxic activity of the compounds described herein, including Formula II-16, were examined for cytotoxic activity against various cancer cell lines. Formula II-16 was examined, for example, in the National Cancer Institute screen of 60 human tumor cell lines. Formula II-16 exhibited selective cytotoxic activity with a mean GI<sub>50</sub> value (the concentration to achieve 50% growth inhibition) of less than 10 nM. The greatest potency was observed against SK-MEL-28 melanoma and MDA-MB-235 breast cancer cells [both with LC<sub>50</sub> (the concentration with 50% cell lethality) <10 nM].

[0214] A panel of cell lines including human colorectal (HT-29 and LoVo), prostate (PC3), breast (MDA-MB-231), lung (NCI-H292), ovarian (OVCAR3), acute T-cell leukemia (Jurkat), murine melanoma (B16-F10) and normal human fibroblasts (CCD-27sk) was treated with Salinosporamide A for 48h to assess cytotoxic activity. HT-29, LoVo, PC3, MDA-MB-231, NCI-H292, OVCAR3, Jurkat, and B16-F10 cells were sensitive with EC<sub>50</sub> values of 47, 69, 78, 67, 97, 69, 10, and 33 nM, respectively. In contrast, the EC<sub>50</sub> values for CCD-27sk cells were 196 nM. Treatment of Jurkat cells with Salinosporamide A at the approximate EC<sub>50</sub> resulted in Caspase-3 activation and cleavage of PARP confirming the induction of apoptosis.

[0215] The anti-anthrax activity of the described compounds was evaluated using an *in vitro* LeTx induced cytotoxicity assay. As one example, the results indicate that Formula II-16 is a potent inhibitor of LeTx-induced cytotoxicity of murine macrophage-like RAW264.7 cells. Treatment of RAW264.7 cells with Formula II-16 resulted in a 10-fold increase in the viability of LeTx-treated cells compared to LeTx treatment alone (average  $EC_{50}$  of <4 nM).

## Potential Chemosensitizing Effects of Formula II-16

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Additional studies have characterized the effects of the compounds [0216] described herein on the NF-κB/ IκB signaling pathway (see the Examples). In unstimulated cells, the transcription factor nuclear factor-kappa B (NF- $\kappa$ B) resides in the cytoplasm in an inactive complex with the inhibitory protein IκB (inhibitor of NF-κB). Various stimuli can cause IkB phosphorylation by IkB kinase, followed by ubiquitination and degradation by the proteasome. Following the degradation of IκB, NF-κB translocates to the nucleus and regulates gene expression, affecting many cellular processes including inhibition of apoptosis. Chemotherapy agents such as CPT-11 (Irinotecan) can activate NF-κB in human colon cancer cell lines including LoVo cells, resulting in a decreased ability of these cells to undergo apoptosis. Painter, R.B. Cancer Res 38:4445 (1978). Velcade™ is a dipeptidyl boronic acid that inhibits the chymotrypsin-like activity of the proteasome (Lightcap, et al., Clin Chem 46:673 (2000), Adams, et al., Cancer Res 59:2615 (1999), Adams, Curr Opin Oncol 14:628 (2002)) while enhancing the trypsin and PGPH activities. Recently approved as a proteasome inhibitor, Velcade<sup>TM</sup>, (PS-341; Millennium Pharmaceuticals, Inc.) has been shown to be directly toxic to cancer cells and also enhance the cytotoxic activity of CPT-11 in LoVo cells in vitro and in a LoVo xenograft model by inhibiting IkB degradation by the proteasome. Blum, et al., Ann Intern Med 80:249 (1974). In addition, Velcade<sup>TM</sup> was

found to inhibit the expression of proangiogenic chemokines/cytokines Growth Related Oncogene-alpha (GRO-α) and Vascular Endothelial Growth Factor (VEGF) in squamous cell carcinoma, presumably through inhibition of the NF-κB pathway. Dick, et al., J Biol Chem 271:7273 (1996). These data suggest that proteasome inhibition may not only decrease tumor cell survival and growth, but also angiogenesis.

## Anti-Anthrax Activity

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recent studies on the biodefense Category A agent *B. anthracis* (anthrax). Anthrax spores are inhaled and lodge in the lungs where they are ingested by macrophages. Within the macrophage, spores germinate, the organism replicates, resulting ultimately in killing of the cell. Before killing occurs, however, infected macrophages migrate to the lymph nodes where, upon death, they release their contents allowing the organism to enter the bloodstream, further replicate, and secrete lethal toxins. Hanna, *et al.*, *Proc Natl Acad Sci U S A* 90:10198 (1993). Anthrax toxins are responsible for the symptoms associated with anthrax. Two proteins that play a key role in the pathogenesis of anthrax are protective antigen (PA, 83 kDa) and lethal factor (LF, 90 kDa) which are collectively known as lethal toxin (LeTx). LF has an enzymatic function, but requires PA to achieve its biological effect. Neither PA or LF cause death individually; however, when combined they cause death when injected intravenously in animals. Kalns, *et al.*, *Biochem Biophys Res Commun* 297:506 (2002), Kalns, *et al.*, *Biochem Biophys Res Commun* 292:41 (2002).

[0218] Protective antigen, the receptor-binding component of anthrax toxin, is responsible for transporting lethal factor into the host cell. PA oligomerizes into a ring-shaped heptamer (see FIG. 6). Each heptamer, bound to its receptor on the surface of a cell, has the ability to bind up to three molecules of LF. The complex formed between the PA heptamer and LF is taken into the cell by receptor-mediated endocytosis. Following endocytosis, LF is released into the cytosol where it attacks various cellular targets. Mogridge, et al., Biochemistry 41:1079 (2002), Lacy, et al., J Biol Chem 277:3006 (2002), Bradley, et al., Nature 414:225 (2001).

[0219] Lethal factor is a zinc dependent metalloprotease, which in the cytosol can cleave and inactivate signaling proteins of the mitogen-activated protein kinase kinase family (MAPKK). Duesbery, et al., Science 280:734 (1998), Bodart, et al., Cell Cycle 1:10 (2002), Vitale, et al., J Appl Microbiol 87:288 (1999), Vitale, et al., Biochem J 352 Pt 3:739 (2000). Of the seven different known MAPK kinases, six have been shown to be

cleaved by LF. Within the cell, MAPK kinase pathways transduce various signals involved in cell death, proliferation, and differentiation making these proteins highly significant targets. However, certain inhibitors that prevent LeTx-induced cell death, do not prevent MAPKK cleavage by LF suggesting that this activity is not sufficient for induction of cell death. Kim, et al., J Biol Chem 278:7413 (2003), Lin, et al., Curr Microbiol 33:224 (1996).

- [0220] Studies have suggested that inhibition of the proteasome can prevent LeTx-induced cell death. Tang, et al., Infect Immun 67:3055 (1999). Data have shown that proteasome activity is required for LeTx-mediated killing of RAW264.7 macrophage-like cells and that proteasome inhibitors protect RAW264.7 cells from LeTx. Proteasome inhibition did not block MEK1 cleavage, suggesting the LeTx pathway is not blocked upstream of MEK1 cleavage in these studies. Additionally, there is no increase in proteasome activity in cells treated with LeTx. These data suggested that a novel, potent proteasome inhibitor like the compounds described herein, may also prevent LeTx-induced cell death as illustrated in FIG. 6.
- [0221] The receptor for PA has been identified and is expressed by many cell types. Escuyer, et al., Infect Immun 59:3381 (1991). Lethal toxin is active in a few cell culture lines of macrophages causing cell death within a few hours. Hanna, et al., Proc Natl Acad Sci U S A 90:10198 (1993), Kim, et al., J Biol Chem 278:7413 (2003), Lin, et al., Curr Microbiol 33:224 (1996). LeTx can induce both necrosis and apoptosis in mouse macrophage-like RAW264.7 and J774A.1 cells upon in vitro treatment.
- [0222] The results indicate that the compounds described herein act as a potent inhibitor of LeTx-induced cytotoxicity of murine macrophage-like RAW264.7 cells. Treatment of RAW264.7 cells with, for example, compounds of Formula II-16, resulted in a 10-fold increase in the viability of LeTx-treated cells compared to LeTx treatment alone (average EC<sub>50</sub> of <4 nM) and therefore provide a valuable therapy for anthrax infections. Formula II-16, for example, promoted survival of RAW264.7 macrophage-like cells in the presence of LeTx indicating that this compound and its derivatives provide a valuable clinical therapeutic for anthrax infection.

## Pharmaceutical Compositions

[0223] In one embodiment, the compounds disclosed herein are used in pharmaceutical compositions. The compounds preferably can be produced by the methods disclosed herein. The compounds can be used, for example, in pharmaceutical

compositions comprising a pharmaceutically acceptable carrier prepared for storage and subsequent administration. Also, embodiments relate to a pharmaceutically effective amount of the products and compounds disclosed above in a pharmaceutically acceptable carrier or diluent. Acceptable carriers or diluents for therapeutic use are well known in the pharmaceutical art, and are described, for example, in Remington's Pharmaceutical Sciences, Mack Publishing Co. (A.R. Gennaro edit. 1985). Preservatives, stabilizers, dyes and even flavoring agents may be provided in the pharmaceutical composition. For example, sodium benzoate, ascorbic acid and esters of p-hydroxybenzoic acid may be added as preservatives. In addition, antioxidants and suspending agents may be used.

[0224] The compositions, particularly those of Formulae I-V, may be formulated and used as tablets, capsules, or elixirs for oral administration; suppositories for rectal administration; sterile solutions, suspensions for injectable administration; patches for transdermal administration, and sub-dermal deposits and the like. Injectables can be prepared in conventional forms, either as liquid solutions or suspensions, solid forms suitable for solution or suspension in liquid prior to injection, or as emulsions. Suitable excipients are, for example, water, saline, dextrose, mannitol, lactose, lecithin, albumin, sodium glutamate, cysteine hydrochloride, and the like. In addition, if desired, the injectable pharmaceutical compositions may contain minor amounts of nontoxic auxiliary substances, such as wetting agents, pH buffering agents, and the like. If desired, absorption enhancing preparations (for example, liposomes), may be utilized.

[0225] Pharmaceutical formulations for parenteral administration include aqueous solutions of the active compounds in water-soluble form. Additionally, suspensions of the active compounds may be prepared as appropriate oily injection suspensions. Suitable lipophilic solvents or vehicles include fatty oils such as sesame oil, or other organic oils such as soybean, grapefruit or almond oils, or synthetic fatty acid esters, such as ethyl oleate or triglycerides, or liposomes. Aqueous injection suspensions may contain substances that increase the viscosity of the suspension, such as sodium carboxymethyl cellulose, sorbitol, or dextran. Optionally, the suspension may also contain suitable stabilizers or agents that increase the solubility of the compounds to allow for the preparation of highly concentrated solutions.

[0226] Pharmaceutical preparations for oral use can be obtained by combining the active compounds with solid excipient, optionally grinding a resulting mixture, and processing the mixture of granules, after adding suitable auxiliaries, if desired, to obtain

http://www.patentlens.net/

WO 2005/002572

tablets or dragee cores. Suitable excipients are, in particular, fillers such as sugars, including lactose, sucrose, mannitol, or sorbitol; cellulose preparations such as, for example, maize starch, wheat starch, rice starch, potato starch, gelatin, gum tragacanth, methyl cellulose, hydroxypropylmethyl-cellulose, sodium carboxymethylcellulose, and/or polyvinylpyrrolidone (PVP). If desired, disintegrating agents may be added, such as the cross-linked polyvinyl pyrrolidone, agar, or alginic acid or a salt thereof such as sodium alginate. Dragee cores are provided with suitable coatings. For this purpose, concentrated sugar solutions may be used, which may optionally contain gum arabic, talc, polyvinyl pyrrolidone, carbopol gel, polyethylene glycol, and/or titanium dioxide, lacquer solutions, and suitable organic solvents or solvent mixtures. Dyestuffs or pigments may be added to the tablets or dragee coatings for identification or to characterize different combinations of active compound doses. For this purpose, concentrated sugar solutions may be used, which may optionally contain gum arabic, talc, polyvinyl pyrrolidone, carbopol gel, polyethylene glycol, and/or titanium dioxide, lacquer solutions, and suitable organic solvents or solvent mixtures. Dyestuffs or pigments may be added to the tablets or dragee coatings for identification or to characterize different combinations of active compound doses. Such formulations can be made using methods known in the art (see, for example, U.S. Patent Nos. 5,733,888 (injectable compositions); 5,726,181 (poorly water soluble compounds); 5,707,641 (therapeutically active proteins or peptides); 5,667,809 (lipophilic agents); 5,576,012 (solubilizing polymeric agents); 5,707,615 (anti-viral formulations); 5,683,676 (particulate medicaments); 5,654,286 (topical formulations); 5,688,529 (oral suspensions); 5,445,829 (extended release formulations); 5,653,987 (liquid formulations); 5,641,515 (controlled release formulations) and 5,601,845 (spheroid formulations).

[0227] Further disclosed herein are various pharmaceutical compositions well known in the pharmaceutical art for uses that include intraocular, intranasal, and intraauricular delivery. Pharmaceutical formulations include aqueous ophthalmic solutions of the active compounds in water-soluble form, such as eyedrops, or in gellan gum (Shedden et al., Clin. Ther., 23(3):440-50 (2001)) or hydrogels (Mayer et al., Ophthalmologica, 210(2):101-3 (1996)); ophthalmic ointments; ophthalmic suspensions, such as microparticulates, drug-containing small polymeric particles that are suspended in a liquid carrier medium (Joshi, A. 1994 J Ocul Pharmacol 10:29-45), lipid-soluble formulations (Alm et al., Prog. Clin. Biol. Res., 312:447-58 (1989)), and microspheres (Mordenti, Toxicol. Sci., 52(1):101-6 (1999)); and ocular inserts. Such suitable

pharmaceutical formulations are most often and preferably formulated to be sterile, isotonic and buffered for stability and comfort. Pharmaceutical compositions may also include drops and sprays often prepared to simulate in many respects nasal secretions to ensure maintenance of normal ciliary action. As disclosed in Remington's Pharmaceutical Sciences (Mack Publishing, 18<sup>th</sup> Edition), and well-known to those skilled in the art, suitable formulations are most often and preferably isotonic, slightly buffered to maintain a pH of 5.5 to 6.5, and most often and preferably include anti-microbial preservatives and appropriate drug stabilizers. Pharmaceutical formulations for intraauricular delivery include suspensions and ointments for topical application in the ear. Common solvents for such aural formulations include glycerin and water.

[0228] When used as an anti-cancer, anti-inflammatory or anti-microbial compound, for example, the compounds of Formulae I-V or compositions including Formulae I-V can be administered by either oral or non-oral pathways. When administered orally, it can be administered in capsule, tablet, granule, spray, syrup, or other such form. When administered non-orally, it can be administered as an aqueous suspension, an oily preparation or the like or as a drip, suppository, salve, ointment or the like, when administered via injection, subcutaneously, intraperitoneally, intravenously, intramuscularly, or the like.

[0229] In one embodiment, the anti-cancer, anti-inflammatory or anti-microbial can be mixed with additional substances to enhance their effectiveness. In one embodiment, the anti-microbial is combined with an additional anti-microbial. In another embodiment, the anti-microbial is combined with a drug or medicament that is helpful to a patient that is taking anti-microbials.

## Methods of Administration

http://www.patentlens.net/

WO 2005/002572

[0230] In an alternative embodiment, the disclosed chemical compounds and the disclosed pharmaceutical compositions are administered by a particular method as an antimicrobial. Such methods include, among others, (a) administration though oral pathways, which administration includes administration in capsule, tablet, granule, spray, syrup, or other such forms; (b) administration through non-oral pathways, which administration includes administration as an aqueous suspension, an oily preparation or the like or as a drip, suppository, salve, ointment or the like; administration via injection, subcutaneously, intraperitoneally, intravenously, intramuscularly, intradermally, or the like; as well as (c)

http://www.patentlens.net/

WO 2005/002572

administration topically, (d) administration rectally, or (e) administration vaginally, as deemed appropriate by those of skill in the art for bringing the compound of the present embodiment into contact with living tissue; and (f) administration via controlled released formulations, depot formulations, and infusion pump delivery. As further examples of such modes of administration and as further disclosure of modes of administration, disclosed herein are various methods for administration of the disclosed chemical compounds and pharmaceutical compositions including modes of administration through intraocular, intranasal, and intraauricular pathways.

[0231] The pharmaceutically effective amount of the compositions that include the described compounds, including those of Formulae I-V, required as a dose will depend on the route of administration, the type of animal, including human, being treated, and the physical characteristics of the specific animal under consideration. The dose can be tailored to achieve a desired effect, but will depend on such factors as weight, diet, concurrent medication and other factors which those skilled in the medical arts will recognize.

[0232] In practicing the methods of the embodiment, the products or compositions can be used alone or in combination with one another, or in combination with other therapeutic or diagnostic agents. These products can be utilized *in vivo*, ordinarily in a mammal, preferably in a human, or *in vitro*. In employing them *in vivo*, the products or compositions can be administered to the mammal in a variety of ways, including parenterally, intravenously, subcutaneously, intramuscularly, colonically, rectally, vaginally, nasally or intraperitoneally, employing a variety of dosage forms. Such methods may also be applied to testing chemical activity *in vivo*.

dosage to be administered and the particular mode of administration will vary depending upon the age, weight and mammalian species treated, the particular compounds employed, and the specific use for which these compounds are employed. The determination of effective dosage levels, that is the dosage levels necessary to achieve the desired result, can be accomplished by one skilled in the art using routine pharmacological methods. Typically, human clinical applications of products are commenced at lower dosage levels, with dosage level being increased until the desired effect is achieved. Alternatively, acceptable *in vitro* studies can be used to establish useful doses and routes of administration of the compositions identified by the present methods using established pharmacological methods.

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--- WO 2005/002572

[0234] In non-human animal studies, applications of potential products are commenced at higher dosage levels, with dosage being decreased until the desired effect is no longer achieved or adverse side effects disappear. The dosage may range broadly, depending upon the desired affects and the therapeutic indication. Typically, dosages may be between about 10 microgram/kg and 100 mg/kg body weight, preferably between about 100 microgram/kg and 10 mg/kg body weight. Alternatively dosages may be based and calculated upon the surface area of the patient, as understood by those of skill in the art. Administration is preferably oral on a daily or twice daily basis.

by the individual physician in view of the patient's condition. See for example, Fingl et al., in The Pharmacological Basis of Therapeutics, 1975. It should be noted that the attending physician would know how to and when to terminate, interrupt, or adjust administration due to toxicity, or to organ dysfunctions. Conversely, the attending physician would also know to adjust treatment to higher levels if the clinical response were not adequate (precluding toxicity). The magnitude of an administrated dose in the management of the disorder of interest will vary with the severity of the condition to be treated and to the route of administration. The severity of the condition may, for example, be evaluated, in part, by standard prognostic evaluation methods. Further, the dose and perhaps dose frequency, will also vary according to the age, body weight, and response of the individual patient. A program comparable to that discussed above may be used in veterinary medicine.

[0236] Depending on the specific conditions being treated, such agents may be formulated and administered systemically or locally. A variety of techniques for formulation and administration may be found in Remington's Pharmaceutical Sciences, 18th Ed., Mack Publishing Co., Easton, PA(1990). Suitable administration routes may include oral, rectal, transdermal, vaginal, transmucosal, or intestinal administration; parenteral delivery, including intramuscular, subcutaneous, intramedullary injections, as well as intrathecal, direct intraventricular, intravenous, intraperitoneal, intranasal, or intraocular injections.

[0237] For injection, the agents of the embodiment may be formulated in aqueous solutions, preferably in physiologically compatible buffers such as Hanks' solution, Ringer's solution, or physiological saline buffer. For such transmucosal administration, penetrants appropriate to the barrier to be permeated are used in the formulation. Such penetrants are generally known in the art. Use of pharmaceutically acceptable carriers to

http://www.patentlens.net/

formulate the compounds herein disclosed for the practice of the embodiment into dosages suitable for systemic administration is within the scope of the embodiment. With proper choice of carrier and suitable manufacturing practice, the compositions disclosed herein, in particular, those formulated as solutions, may be administered parenterally, such as by intravenous injection. The compounds can be formulated readily using pharmaceutically acceptable carriers well known in the art into dosages suitable for oral administration. Such carriers enable the compounds of the embodiment to be formulated as tablets, pills, capsules, liquids, gels, syrups, slurries, suspensions and the like, for oral ingestion by a patient to be treated.

[0238] Agents intended to be administered intracellularly may be administered using techniques well known to those of ordinary skill in the art. For example, such agents may be encapsulated into liposomes, then administered as described above. All molecules present in an aqueous solution at the time of liposome formation are incorporated into the aqueous interior. The liposomal contents are both protected from the external microenvironment and, because liposomes fuse with cell membranes, are efficiently delivered into the cell cytoplasm. Additionally, due to their hydrophobicity, small organic molecules may be directly administered intracellularly.

[0239] Determination of the effective amounts is well within the capability of those skilled in the art, especially in light of the detailed disclosure provided herein. In addition to the active ingredients, these pharmaceutical compositions may contain suitable pharmaceutically acceptable carriers comprising excipients and auxiliaries which facilitate processing of the active compounds into preparations which can be used pharmaceutically. The preparations formulated for oral administration may be in the form of tablets, dragees, capsules, or solutions. The pharmaceutical compositions may be manufactured in a manner that is itself known, for example, by means of conventional mixing, dissolving, granulating, dragee-making, levitating, emulsifying, encapsulating, entrapping, or lyophilizing processes.

[0240] Compounds disclosed herein can be evaluated for efficacy and toxicity using known methods. For example, the toxicology of a particular compound, or of a subset of the compounds, sharing certain chemical moieties, may be established by determining *in vitro* toxicity towards a cell line, such as a mammalian, and preferably human, cell line. The results of such studies are often predictive of toxicity in animals, such as mammals, or more specifically, humans. Alternatively, the toxicity of particular

WO 2005/002572

http://www.patentlens.net/

PCT/US2004/019543

compounds in an animal model, such as mice, rats, rabbits, dogs or monkeys, may be determined using known methods. The efficacy of a particular compound may be established using several art recognized methods, such as *in vitro* methods, animal models, or human clinical trials. Art-recognized *in vitro* models exist for nearly every class of condition, including the conditions abated by the compounds disclosed herein, including cancer, cardiovascular disease, and various immune dysfunction, and infectious diseases. Similarly, acceptable animal models may be used to establish efficacy of chemicals to treat such conditions. When selecting a model to determine efficacy, the skilled artisan can be guided by the state of the art to choose an appropriate model, dose, and route of administration, and regime. Of course, human clinical trials can also be used to determine the efficacy of a compound in humans.

[0241] When used as an anti-microbial, anti-cancer, or anti-inflammatory agent, the compounds disclosed herein may be administered by either oral or a non-oral pathways. When administered orally, it can be administered in capsule, tablet, granule, spray, syrup, or other such form. When administered non-orally, it can be administered as an aqueous suspension, an oily preparation or the like or as a drip, suppository, salve, ointment or the like, when administered via injection, subcutaneously, intraperitoneally, intravenously, intramuscularly, intradermally, or the like. Controlled release formulations, depot formulations, and infusion pump delivery are similarly contemplated.

also comprise a pharmaceutically acceptable carrier. Such compositions may be prepared for storage and for subsequent administration. Acceptable carriers or diluents for therapeutic use are well known in the pharmaceutical art, and are described, for example, in Remington's Pharmaceutical Sciences, Mack Publishing Co. (A.R. Gennaro edit. 1985). For example, such compositions may be formulated and used as tablets, capsules or solutions for oral administration; suppositories for rectal or vaginal administration; sterile solutions or suspensions for injectable administration. Injectables can be prepared in conventional forms, either as liquid solutions or suspensions, solid forms suitable for solution or suspension in liquid prior to injection, or as emulsions. Suitable excipients include, but are not limited to, saline, dextrose, mannitol, lactose, lecithin, albumin, sodium glutamate, cysteine hydrochloride, and the like. In addition, if desired, the injectable pharmaceutical compositions may contain minor amounts of nontoxic auxiliary substances,

http://www.patentlens.net/

WO 2005/002572

such as wetting agents, pH buffering agents, and the like. If desired, absorption enhancing preparations (for example, liposomes), may be utilized.

[0243] The pharmaceutically effective amount of the composition required as a dose will depend on the route of administration, the type of animal being treated, and the physical characteristics of the specific animal under consideration. The dose can be tailored to achieve a desired effect, but will depend on such factors as weight, diet, concurrent medication and other factors which those skilled in the medical arts will recognize.

[0244] The products or compositions of the embodiment, as described above, may be used alone or in combination with one another, or in combination with other therapeutic or diagnostic agents. These products can be utilized *in vivo* or *in vitro*. The useful dosages and the most useful modes of administration will vary depending upon the age, weight and animal treated, the particular compounds employed, and the specific use for which these composition or compositions are employed. The magnitude of a dose in the management or treatment for a particular disorder will vary with the severity of the condition to be treated and to the route of administration, and depending on the disease conditions and their severity, the compositions may be formulated and administration may be found in Remington's Pharmaceutical Sciences, 18th ed., Mack Publishing Co., Easton, PA (1990).

[0245] To formulate the compounds of Formulae I-V as an anti-microbial, an anti-cancer, or an anti-inflammatory agent, known surface active agents, excipients, smoothing agents, suspension agents and pharmaceutically acceptable film-forming substances and coating assistants, and the like may be used. Preferably alcohols, esters, sulfated aliphatic alcohols, and the like may be used as surface active agents; sucrose, glucose, lactose, starch, crystallized cellulose, mannitol, light anhydrous silicate, magnesium aluminate, magnesium methasilicate aluminate, synthetic aluminum silicate, calcium carbonate, sodium acid carbonate, calcium hydrogen phosphate, calcium carboxymethyl cellulose, and the like may be used as excipients; magnesium stearate, talc, hardened oil and the like may be used as smoothing agents; coconut oil, olive oil, sesame oil, peanut oil, soya may be used as suspension agents or lubricants; cellulose acetate phthalate as a derivative of a carbohydrate such as cellulose or sugar, or methylacetatemethacrylate copolymer as a derivative of polyvinyl may be used as suspension agents. In

http://www.patentlens.net/

WO 2005/002572

addition to the foregoing preferred ingredients, sweeteners, fragrances, colorants, preservatives and the like may be added to the administered formulation of the compound produced by the method of the embodiment, particularly when the compound is to be administered orally.

[0246] The compounds and compositions may be orally or non-orally administered to a human patient in the amount of about 0.001 mg/kg/day to about 10,000 mg/kg/day of the active ingredient, and more preferably about 0.1 mg/kg/day to about 100 mg/kg/day of the active ingredient at, preferably, one time per day or, less preferably, over two to about ten times per day. Alternatively and also preferably, the compound produced by the method of the embodiment may preferably be administered in the stated amounts continuously by, for example, an intravenous drip. Thus, for the example of a patient weighing 70 kilograms, the preferred daily dose of the active or anti-infective ingredient would be about 0.07 mg/day to about 700 gm/day, and more preferable, 7 mg/day to about 7 grams/day. Nonetheless, as will be understood by those of skill in the art, in certain situations it may be necessary to administer the anti-cancer, anti-inflammatory or the anti-infective compound of the embodiment in amounts that excess, or even far exceed, the above-stated, preferred dosage range to effectively and aggressively treat particularly advanced cancerss or infections.

[0247] In the case of using the anti-microbial produced by methods of the embodiment as a biochemical test reagent, the compound produced by methods of the embodiment inhibits the progression of the disease when it is dissolved in an organic solvent or hydrous organic solvent and it is directly applied to any of various cultured cell systems. Usable organic solvents include, for example, methanol, methylsulfoxide, and the like. The formulation can, for example, be a powder, granular or other solid inhibitor, or a liquid inhibitor prepared using an organic solvent or a hydrous organic solvent. While a preferred concentration of the compound produced by the method of the embodiment for use as an anti-microbial, anticancer or anti-tumor compound is generally in the range of about 1 to about 100  $\mu$ g/ml, the most appropriate use amount varies depending on the type of cultured cell system and the purpose of use, as will be appreciated by persons of ordinary skill in the art. Also, in certain applications it may be necessary or preferred to persons of ordinary skill in the art to use an amount outside the foregoing range.

[0248] In one embodiment, the method of using a compound as an antimicrobial, anti-cancer or anti-inflammatory involves administering an effective amount of any of the compounds of Formulae I-V or compositions of those compounds. In a preferred embodiment, the method involves administering the compound represented by Formula II, to a patient in need of an anti-microbial, until the need is effectively reduced or more preferably removed.

As will be understood by one of skill in the art, "need" is not an absolute [0249] term and merely implies that the patient can benefit from the treatment of the antimicrobial, the anti-cancer, or anti-inflammatory in use. By "patient" what is meant is an organism that can benefit by the use of an anti-microbial, anti-cancer or anti-inflammatory For example, any organism with B. anthracis, Plasmodium, Leishmania, Trypanosoma, and the like, may benefit from the application of an anti-microbial that may in turn reduce the amount of microbes present in the patient. As another example, any organism with cancer, such as, a colorectal carcinoma, a prostate carcinoma, a breast adenocarcinoma, a non-small cell lung carcinoma, an ovarian carcinoma, multiple myelomas, a melanoma, and the like, may benefit from the application of an anti-cancer agent that may in turn reduce the amount of cancer present in the patient. Furthermore, any organism with an inflammatory conditions, such as, rheumatoid arthritis, asthma, multiple sclerosis, psoriasis, stroke, myocardial infarction, and the like, may benefit from the application of an anti-inflammatory that may in turn reduce the amount of cells associated with the inflammatory response present in the patient. In one embodiment, the patient's health may not require that an anti-microbial, anti-cancer, or anti-inflammatory be administered, however, the patient may still obtain some benefit by the reduction of the level of microbes, cancer cells, or inflammatory cells present in the patient, and thus be in need. In one embodiment, the anti-microbial or anti-cancer agent is effective against one type of microbe or cancer, but not against other types; thus, allowing a high degree of selectivity in the treatment of the patient. In other embodiments, the anti-inflammatory may be effective against inflammatory conditions characterized by different cells associated with the inflammation. In choosing such an anti-microbial, anti-cancer or anti-inflammatory agent, the methods and results disclosed in the Examples may be useful. In an alternative embodiment, the anti-microbial may be effective against a broad spectrum of microbes, preferably a broad spectrum of foreign, and, more preferably, harmful bacteria, to the host In embodiments, the anti-cancer and/or anti-inflammatory agent may be organism. effective against a broad spectrum of cancers and inflammatory conditions/cells/substances. In yet another embodiment, the anti-microbial is effective against all microbes, even those http://www.patentlens.net/

native to the host. Examples of microbes that may be targets of anti-microbials, include, but are not limited to, *B. anthracis*, *Plasmodium*, *Leishmania*, *Trypanosoma*, and the like. In still further embodiments, the anti-cancer agent is effective against a broad spectrum of cancers or all cancers. Examples of cancers, against which the compounds may be effective include a colorectal carcinoma, a prostate carcinoma, a breast adenocarcinoma, a non-small cell lung carcinoma, an ovarian carcinoma, multiple myelomas, a melanoma, and the like. Exemplary inflammatory conditions against which the agents are effective include rheumatoid arthritis, asthma, multiple sclerosis, psoriasis, stroke, myocardial infarction, and the like.

"Therapeutically effective amount," "pharmaceutically effective amount," or similar term, means that amount of drug or pharmaceutical agent that will result in a biological or medical response of a cell, tissue, system, animal, or human that is being sought. In a preferred embodiment, the medical response is one sought by a researcher, veterinarian, medical doctor, or other clinician.

"Anti-microbial" refers to a compound that reduces the likelihood of survival of microbes, or blocks or alleviates the deleterious effects of a microbe. In one embodiment, the likelihood of survival is determined as a function of an individual microbe; thus, the anti-microbial will increase the chance that an individual microbe will die. embodiment, the likelihood of survival is determined as a function of a population of microbes; thus, the anti-microbial will increase the chances that there will be a decrease in the population of microbes. In one embodiment, anti-microbial means antibiotic or other similar term. Such anti-microbials are capable of blocking the harmful effects, destroying or suppressing the growth or reproduction of microorganisms, such as bacteria. For example, such antibacterials and other anti-microbials are described in Antibiotics, Chemotherapeutics and Antibacterial Agents for Disease Control (M. Grayson, editor, 1982), and E. Gale et al., The Molecular Basis of Antibiotic Action 2d edition (1981). In another embodiment, an anti-microbial will not change the likelihood of survival, but will change the chances that the microbes will be harmful to the host in some way. For instance, if the microbe secretes a substance that is harmful to the host, the anti-microbial may act upon the microbe to stop the secretion or may counteract or block the harmful effect. In one embodiment, an anti-microbial, while, increasing the likelihood that the microbe(s) will die, is minimally harmful to the surrounding, non-microbial, cells. In an alternative embodiment, it is not important how harmful the anti-microbial is to

http://www.patentlens.net/

WO 2005/002572

surrounding, nonmicrobial, cells, as long as it reduces the likelihood of survival of the microbe.

"Anti-cancer agent" refers to a compound or composition including the compound that reduces the likelihood of survival of a cancer cell. In one embodiment, the likelihood of survival is determined as a function of an individual cancer cell; thus, the anti-cancer agent will increase the chance that an individual cancer cell will die. In one embodiment, the likelihood of survival is determined as a function of a population of cancer cells; thus, the anti-cancer agent will increase the chances that there will be a decrease in the population of cancer cells. In one embodiment, anti-cancer agent means chemotherapeutic agent or other similar term.

A "chemotherapeutic agent" is a chemical compound useful in the [0250] treatment of a neoplastic disease, such as cancer. Examples of chemotherapeutic agents include alkylating agents, such as a nitrogen mustard, an ethyleneimine and a methylmelamine, an alkyl sulfonate, a nitrosourea, and a triazene, folic acid antagonists, anti-metabolites of nucleic acid metabolism, antibiotics, pyrimidine analogs, 5-fluorouracil, cisplatin, purine nucleosides, amines, amino acids, triazol nucleosides, corticosteroids, a natural product such as a vinca alkaloid, an epipodophyllotoxin, an antibiotic, an enzyme, a taxane, and a biological response modifier; miscellaneous agents such as a platinum coordination complex, an anthracenedione, an anthracycline, a substituted urea, a methyl hydrazine derivative, or an adrenocortical suppressant; or a hormone or an antagonist such as an adrenocorticosteroid, a progestin, an estrogen, an antiestrogen, an androgen, an antiandrogen, or a gouadotropin-releasing hormone analog. Specific examples include Adriamycin, Doxorubicin. 5-Fluorouracil, Cytosine arabinoside ("Ara-C"), Cyclophosphamide, Thiotepa, Busulfan, Cytoxin, Taxol, Toxotere, Methotrexate, Cisplatin, Melphalan, Vinblastine, Bleomycin, Etoposide, Ifosfamide, Mitomycin C, Mitoxantrone, Vincreistine, Vinorelbine, Carboplatin, Teniposide, Daunomycin, Carminomycin, Aminopterin, Dactinomycin, Mitomycins, Esperamicins, Melphalan, and other related nitrogen mustards. Also included in this definition are hormonal agents that act to regulate or inhibit hormone action on tumors, such as tamoxifen and onapristone.

[0251] The anti-cancer agent may act directly upon a cancer cell to kill the cell, induce death of the cell, to prevent division of the cell, and the like. Alternatively, the anti-cancer agent may indirectly act upon the cancer cell by limiting nutrient or blood supply to the cell, for example. Such anti-cancer agents are capable of destroying or suppressing the

WO 2005/002572 ...... PCT/US2004/019543

growth or reproduction of cancer cells, such as a colorectal carcinoma, a prostate carcinoma, a breast adenocarcinoma, a non-small cell lung carcinoma, an ovarian carcinoma, multiple myelomas, a melanoma, and the like.

[0252] A "neoplastic disease" or a "neoplasm" refers to a cell or a population of cells, including a tumor or tissue (including cell suspensions such as bone marrow and fluids such as blood or serum), that exhibits abnormal growth by cellular proliferation greater than normal tissue. Neoplasms can be benign or malignant.

[0253] An "inflammatory condition" includes, for example, conditions such as ischemia, septic shock, autoimmune diseases, rheumatoid arthritis, inflammatory bowel disease, systemic lupus eythematosus, multiple sclerosis, asthma, osteoarthritis, osteoporosis, fibrotic diseases, dermatosis, including psoriasis, atopic dermatitis and ultraviolet radiation (UV)-induced skin damage, psoriatic arthritis, alkylosing spondylitis, tissue and organ rejection, Alzheimer's disease, stroke, atherosclerosis, restenosis, diabetes, glomerulonephritis, cancer, Hodgkins disease, cachexia, inflammation associated with infection and certain viral infections, including acquired immune deficiency syndrome (AIDS), adult respiratory distress syndrome and Ataxia Telangiestasia.

In one embodiment, a described compound, preferably a compound [0254] having the Formulae I-V, including those as described herein, is considered an effective anti-microbial, anti-cancer, or anti-inflammatory if the compound can influence 10% of the microbes, cancer cells, or inflammatory cells, for example. In a more preferred embodiment, the compound is effective if it can influence 10 to 50% of the microbes, cancer cells, or inflammatory cells. In an even more preferred embodiment, the compound is effective if it can influence 50-80% of the microbes, cancer cells, or inflammatory cells. In an even more preferred embodiment, the compound is effective if it can influence 80-95% of the microbes, cancer cells, or inflammatory cells. In an even more preferred embodiment, the compound is effective if it can influence 95-99% of the microbes, cancer cells, or inflammatory cells. "Influence" is defined by the mechanism of action for each compound. Thus, for example, if a compound prevents the reproduction of microbes, then influence is a measure of prevention of reproduction. Likewise, if a compound destroys microbes, then influence is a measure of microbe death. Also, for example, if a compound prevents the division of cancer cells, then influence is a measure of prevention of cancer Further, for example, if a compound prevents the proliferation of cell division. inflammatory cells, then influence is a measure of prevention of inflammatory cell

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proliferation. Not all mechanisms of action need be at the same percentage of effectiveness. In an alternative embodiment, a low percentage effectiveness may be desirable if the lower degree of effectiveness is offset by other factors, such as the specificity of the compound, for example. Thus a compound that is only 10% effective, for example, but displays little in the way of harmful side-effects to the host, or non-harmful microbes or cells, can still be considered effective.

In one embodiment, the compounds described herein are administered [0255]simply to remove microbes, cancer cells or inflammatory cells, and need not be administered to a patient. For example, in situations where microbes can present a problem, such as in food products, the compounds described herein can be administered directly to the products to reduce the risk of microbes in the products. Alternatively, the compounds can be used to reduce the level of microbes present in the surrounding environment, such working surfaces. As another example, the compounds can be administered ex vivo to a cell sample, such as a bone marrow or stem cell transplant to ensure that only non-cancerous cells are introduced into the recipient. After the compounds are administered they may optionally be removed. This may be particularly desirable in situations where work surfaces or food products may come into contact with other surfaces or organisms that could risk being harmed by the compounds. In an alternative embodiment, the compounds may be left in the food products or on the work surfaces to allow for a more protection. Whether or not this is an option will depend upon the relative needs of the situation and the risks associated with the compound, which in part can be determined as described in the Examples below.

[0256] The following non-limiting examples are meant to describe the preferred embodiments of the methods. Variations in the details of the particular methods employed and in the precise chemical compositions obtained will undoubtedly be appreciated by those of skill in the art.

## **EXAMPLES**

## Example 1

# Fermentation of Compound of Formulae II-16, II-20, and II-24C

[0257] Strain CNB476 was grown in a 500-ml flask containing 100 ml of vegetative medium consisting of the following per liter of deionized water: glucose, 4 g; Bacto tryptone, 3 g; Bacto casitone, 5 g; and synthetic sea salt (Instant Ocean, Aquarium Systems), 30 g. The first seed culture was incubated at 28 degree C for 3 days on a rotary

http://www.patentlens.net/

WO 2005/002572 PCT/US2004/019543

shaker operating at 250 rpm. Four ml each of the first seed culture was inoculated into three 500-ml flasks containing of 100 ml of the vegetative medium. The second seed cultures were incubated at 28 degree C and 250 rpm on a rotary shaker for 2 days. Four ml each of the second seed culture was inoculated into thirty-five 500-ml flasks containing of 100 ml of the vegetative medium. The third seed cultures were incubated at 28 degree and 250 rpm on a rotary shaker for 2 days. Four ml each of the third seed culture was inoculated into four hundred 500-ml flasks containing 100 ml of the production medium consisting of the following per liter of deionized water: starch, 10 g; yeast extract, 4 g; Hy-Soy, 4 g; ferric sulfate, 40 mg; potassium bromide, 100 mg; calcium carbonate, 1 g; and synthetic sea salt (Instant Ocean, Aquarium Systems), 30 g. The production cultures were incubated at 28 degree C and 250 rpm on roatry shakers for 1 day. Approximately 2 to 3 grams of sterile Amberlite XAD-7 resin were added to the production cultures. The production cultures were further incubated at 28 degree C and 250 rpm on rotary shakers for 5 days. The culture broth was filtered through cheese cloth to recover the Amberlite XAD-7 resin. The resin was extracted with 2 times 6 liters ethyl acetate followed by 1 time 1.5 liters ethyl acetate. The combined extracts were dried in vacuo. The dried extract, containing 3.8 grams the compound of Formula II-16 and lesser quantities of compounds of formulae II-20 and II-24C, was then processed for the recovery of the compounds of Formula II-16, II-20 and II-24C.

## Example 2

# Purification of Compound of Formulae II-16, II-20 and II-24C

[0258] The pure compounds of Formulae II-16, II-20 and II-24C were obtained by flash chromatography followed by HPLC. Eight grams crude extract containing 3.8 grams of the compound of Formula II-16 and lesser quantities of II-20 and II-24C was processed by flash chromatography using Biotage Flash40i system and Flash 40M cartridge (KP-Sil Silica, 32-63  $\mu$ m, 90 grams). The flash chromatography was developed by the following step gradient:

- 1. Hexane (1L)
- 2. 10% Ethyl acetate in hexane (1L)
- 3. 20% Ethyl acetate in hexane, first elution (1L)
- 4. 20% Ethyl acetate in hexane, second elution (1L)
- 5. 20% Ethyl acetate in hexane, third elution (1L)
- 6. 25% Ethyl acetate in hexane (1L)

- 7. 50% Ethyl acetate in hexane (1L)
- 8. Ethyl acetate (1L)

[0259] Fractions containing the compound of Formula II-16 in greater or equal to 70% UV purity by HPLC were pooled and subject to HPLC purification, as described below, to obtain II-16, along with II-20 and II-24C, each as pure compounds

Column	Phenomenex Luna 10u Silica
Dimensions	25 cm X 21.2 mm ID
Flow rate	25 ml/min
Detection	ELSD
Solvent	Gradient of 24% EtOAc/hexane for 19 min,
	24% EtOAc/hexane to 100%EtOAc in 1
	min, then 100% EtOAc for 4 min

[0260] The fraction enriched in compound of Formula II-16 (described above; ~ 70% pure with respect to II-16) was dissolved in acetone (60mg/ml). Aliquots (950 ul) of this solution were injected onto a normal-phase HPLC column using the conditions described above. The compound of Formula II-16 eluted at about 14 minutes, and minor compounds II-24C and II-20 eluted at 11 and 23 minutes, respectively. Fractions containing II-16, II-24C, and II-20 were pooled based on composition of compound present. Fractions containing the desired compounds were concentrated under reduced pressure to yield pure compound of Formula II-16, as well as separate fractions containing II-24C and II-20, which were further purified as described below.

[0261] Sample containing II-24C (70 mg) was dissolved in acetonitrile at a concentration of 10 mg/ml, and 500 µl was loaded on an HPLC column of dimensions 21 mm i.d. by 15 cm length containing Eclipse XDB-C18 support. The solvent gradient increased linearly from 15% acetonitrile /85% water to 100% acetonitrile over 23 minutes at a flow rate of 14.5 ml/min. The solvent composition was held at 100% acetonitrile for 3 minutes before returning to the starting solvent mixture. Compound II-24C eluted at 19 minutes as a pure compound under these conditions.

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[0262] To obtain pure compound  $\Pi$ -20, the enriched samples generated from the preparative HPLC method described above were triturated with EtOAc to remove minor lipophilic impurities. The resulting sample contained compound  $\Pi$ -20 in >95% purity.

- [0263] Compound of Formula II-16: UV (Acetonitrile/  $H_2O$ )  $\lambda_{max}$  225(sh) nm. Low Res. Mass: m/z 314 (M+H), 336 (M+Na).
- [0264] Compound of Formula II-20: UV (Acetonitrile/  $H_2O$ )  $\lambda_{max}$  225(sh) nm. Low Res. Mass: m/z 266 (M+H). FIG. 7 depicts the 1H NMR spectrum of a compound having the structure of Formula II-20.
- [0265] Compound of Formula II-24C: UV (Acetonitrile/  $H_2O$ )  $\lambda_{max}$  225(sh) nm. Low Res. Mass: m/z 328 (M+H), 350 (M+Na). FIG. 8 depicts the 1H NMR spectrum of a compound having the structure of Formula II-24C.

## Example 3

## Fermentation of Compounds of Formulae II-17 and II-18

Strain CNB476 was grown in a 500-ml flask containing 100 ml of the [0266] first vegetative medium consisting of the following per liter of deionized water: glucose, 4 g; Bacto tryptone, 3 g; Bacto casitone, 5 g; and synthetic sea salt (Instant Ocean, Aquarium Systems), 30 g. The first seed culture was incubated at 28 degree C for 3 days on a rotary shaker operating at 250 rpm. Five ml of the first seed culture was inoculated into a 500-ml flask containing 100 ml of the second vegetative medium consisting of the following per liter of deionized water: starch, 10 g; yeast extract, 4 g; peptone, 2 g; ferric sulfate, 40 mg; potassium bromide, 100 mg; calcium carbonate, 1 g; and sodium bromide, 30 g. The second seed cultures were incubated at 28°C for 7 days on a rotary shaker operating at 250 rpm. Approximately 2 to 3 gram of sterile Amberlite XAD-7 resin were added to the second seed culture. The second seed culture was further incubated at 28°C for 2 days on a rotary shaker operating at 250 rpm. Five ml of the second seed culture was inoculated into a 500-ml flask containing 100 ml of the second vegetative medium. The third seed culture was incubated at 28°C for 1 day on a rotary shaker operating at 250 rpm. Approximately 2 to 3 gram of sterile Amberlite XAD-7 resin were added to the third seed culture. The third seed culture was further incubated at 28°C for 2 days on a rotary shaker operating at 250 rpm. Five ml of the third culture was inoculated into a 500-ml flask containing 100 ml of the second vegetative medium. The fourth seed culture was incubated at 28°C for 1 day on a rotary shaker operating at 250 rpm. Approximately 2 to 3 gram of sterile Amberlite XAD-7 resin were added to the fourth seed culture. The fourth seed culture was further

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#### PCT/US2004/019543

incubated at 28°C for 1 day on a rotary shaker operating at 250 rpm. Five ml each of the fourth seed culture was inoculated into ten 500-ml flasks containing 100 ml of the second vegetative medium. The fifth seed cultures were incubated at 28°C for 1 day on a rotary shaker operating at 250 rpm. Approximately 2 to 3 grams of sterile Amberlite XAD-7 resin were added to the fifth seed cultures. The fifth seed cultures were further incubated at 28°C for 3 days on a rotary shaker operating at 250 rpm. Four ml each of the fifth seed culture was inoculated into one hundred and fifty 500-ml flasks containing 100 ml of the production medium having the same composition as the second vegetative medium. Approximately 2 to 3 grams of sterile Amberlite XAD-7 resin were also added to the production culture. The production cultures were incubated at 28°C for 6 day on a rotary shaker operating at 250 rpm. The culture broth was filtered through cheese cloth to recover the Amberlite XAD-7 resin. The resin was extracted with 2 times 3 liters ethyl acetate followed by 1 time 1 liter ethyl acetate. The combined extracts were dried in vacuo. The dried extract, containing 0.42 g of the compound Formula II-17 and 0.16 gram the compound of Formula II-18, was then processed for the recovery of the compounds.

#### Example 4

#### Purification of Compounds of Formula II-17 and II-18

[0267] The pure compounds of Formula II-17 and II-18 were obtained by reversed-phase HPLC as described below:

Column	ACE 5 C18-HL			
Dimensions	15 cm X 21 mm ID			
Flow rate	14.5 ml/min			
Detection	214 nm			
Solvent	Gradient of 35% Acetonitrile/65%			
	H <sub>2</sub> O to 90% Acetonitrile/10% H <sub>2</sub> O			
	over 15 min			

[0268] Crude extract (100 mg) was dissolved in 15 ml of acetonitrile. Aliquots (900 ul) of this solution were injected onto a reversed-phase HPLC column using the conditions described above. Compounds of Formulae II-17 and II-18 eluted at 7.5 and 9 minutes, respectively. Fractions containing the pure compounds were first concentrated using nitrogen to remove organic solvent. The remaining solution was then frozen and lyophilized to dryness.

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PCT/US2004/019543

[0269] Compound of Formula II-17: UV (Acetonitrile/  $H_2O$ )  $\lambda_{max}$  225(sh) nm. High Res. Mass (APCI): m/z 280.156 (M+H),  $\Delta_{calc}$ =2.2 ppm,  $C_{15}H_{22}NO_4$ . FIG. 49 depicts the <sup>1</sup>H NMR spectrum of a compound having the structure of Formula II-17.

[0270] Compound of Formula II-18: UV (Acetonitrile/  $H_2O$ )  $\lambda_{max}$  225(sh) nm. High Res. Mass (APCI): m/z 358.065 (M+H),  $\Delta_{calc}$ = -1.9 ppm,  $C_{15}H_{21}NO_4Br$ . FIG. 50 depicts the <sup>1</sup>H NMR spectrum of a compound having the structure of Formula II-18.

#### Example 5

#### Preparation of Compound of Formula II-19 from II-16

[0271] A sample of compound of Formula II-16 (250 mg) was added to an acetone solution of sodium iodide (1.5 g in 10 ml) and the resulting mixture stirred for 6 days. The solution was then filtered through a 0.45 micron syringe filter and injected directly on a normal phase silica HPLC column (Phenomenex Luna 10u Silica, 25 cm x 21.2 mm) in 0.95 ml aliquots. The HPLC conditions for the separation of compound formula II-19 from unreacted II-16 employed an isocratic HPLC method consisting of 24% ethyl acetate and 76% hexane, in which the majority of compound II-19 eluted 2.5 minutes before compound II-16. Equivalent fractions from each of 10 injections were pooled to yield 35 mg compound II-19. Compound II-19: UV (Acetonitrile/H<sub>2</sub>O) 225 (sh), 255 (sh) nm; ESMS, m/z 406.0 (M+H); H NMR in DMSO-d<sub>6</sub> (see FIG. 9).

Example 6

#### Synthesis of the Compounds of Formulae II-2, II-3, and II-4

[0272] Compounds of Formulae II-2, II-3 and II-4 can be synthesized from compounds of Formulae II-16, II-17 and II-18, respectively, by catalytic hydrogenation.

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#### PCT/US2004/019543

#### **Exemplary Depiction of Synthesis**

#### Example 6A: Catalytic Hydrogenation of Compound of Formula II-16

[0273] Compound of Formula II-16 (10 mg) was dissolved in acetone (5 mL) in a scintillation vial (20 mL) to which was added the 10% (w/w) Pd/C (1-2mg) and a magnetic stirrer bar. The reaction mixture was stirred in a hydrogen atmosphere at room temperature for about 15 hours. The reaction mixture was filtered through a 3 cc silica column and washed with acetone. The filtrate was filtered again through 0.2  $\mu$ m Gelman Acrodisc to remove any traces of catalyst. The solvent was evaporated off from filtrate under reduced pressure to yield the compound of Formula II-2 as a pure white powder: UV (acetonitrile/H<sub>2</sub>O):  $\lambda_{max}$  225 (sh) nm. FIG. 10 depicts the NMR spectrum of the compound of Formula II-2 in DMSO-d6. FIG. 11 depicts the low resolution mass spectrum of the compound of Formula II-2: m/z 316 (M+H), 338 (M+Na).

#### Example 6B: Catalytic Hydrogenation of Compound of Formula II-17

[0274] Compound of Formula II-17 (5 mg) was dissolved in acetone (3 mL) in a scintillation vial (20 mL) to which was added the 10% (w/w) Pd/C (about 1mg) and a magnetic stirrer bar. The reaction mixture was stirred in a hydrogen atmosphere at room temperature for about 15 hours. The reaction mixture was filtered through a 0.2 µm Gelman Acrodisc to remove the catalyst. The solvent was evaporated off from filtrate to yield the compound of Formula II-3 as a white powder which was purified by normal phase HPLC using the following conditions:

http://www.patentlens.net/

WO 2005/002572 PCT/US2004/019543

Column:

Phenomenex Luna 10u Silica

Dimensions:

25 cm x 21.2 mm ID

Flow rate:

14.5 ml/min

Detection:

**ELSD** 

Solvent:

5% to 60% EtOAc/Hex for 19 min, 60 to 100% EtOAc in 1

min, then 4 min at 100% EtOAc

[0275] Compound of Formula II-3 eluted at 22.5 min as a pure compound: UV (acetonitrile/ $H_2O$ ):  $\lambda_{max}$  225 (sh) nm. FIG. 12 depicts the NMR spectrum of the compound of Formula II-3 in DMSO-d6. FIG. 13 depicts the low resolution mass spectrum of the compound of Formula II-3: m/z 282 (M+H), 304 (M+Na).

# Example 6C: Catalytic Hydrogenation of Compound of Formula II-18

[0276] 3.2 mg of compound of Formula II-18 was dissolved in acetone (3 mL) in a scintillation vial (20 mL) to which was added the 10% (w/w) Pd/C (about 1 mg) and a magnetic stirrer bar. The reaction mixture was stirred in hydrogen atmosphere at room temperature for about 15 hours. The reaction mixture was filtered through a 0.2 μm Gelman Acrodisc to remove the catalyst. The solvent was evaporated off from filtrate to yield the compound of Formula II-4 as a white powder which was further purified by normal phase HPLC using the following conditions:

Column:

Phenomenex Luna 10u Silica

Dimensions:

25 cm x 21.2 mm ID

Flow rate:

14.5 ml/min

Detection:

**ELSD** 

Solvent:

5% to 80% EtOAc/Hex for 19 min, 80 to 100% EtOAc in 1

min, then 4 min at 100% EtOAc

[0277] Compound of Formula II-4 eluted at 16.5 min as a pure compound: UV (acetonitrile/ $H_2O$ ):  $\lambda_{max}$  225 (sh) nm. FIG. 14 depicts the NMR spectrum of the compound of Formula II-4 in DMSO-d6. FIG. 15 depicts the low resolution mass of the compound of Formula II-4: m/z 360 (M+H), 382 (M+Na).

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# Synthesis of the Compounds of Formulae II-5A and II-5B

Compounds of Formula II-5A and Formula II-5B can be synthesized from compound of Formula II-16 by epoxidation with mCPBA.

[0278] Compound of Formula II-16 (101 mg, 0.32 mmole) was dissolved in methylenechloride (30 mL) in a 100 ml of round bottom flask to which was added 79 mg (0.46 mmole) of meta-chloroperbenzoic acid (mCPBA) and a magnetic stir bar. The reaction mixture was stirred at room temperature for about 18 hours. The reaction mixture was poured onto a 20 cc silica flash column and eluted with 120 ml of CH<sub>2</sub>Cl<sub>2</sub>, 75 ml of 1:1 ethyl acetate/hexane and finally with 40 ml of 100% ethyl acetate. The 1:1 ethyl acatete/hexane fractions yield a mixture of diastereomers of epoxyderivatives, Formula II-5A and II-5B, which were separated by normal phase HPLC using the following conditions:

Column	Phenomenex Luna 10u Silica				
Dimensions	25 cm x 21.2 mm ID				
Flow rate	14.5 ml/min				
Detection	ELSD				
Solvent	25% to 80% EtOAc/Hex over 19 min, 80 to 100% EtOAc in 1 min, then 5 min at 100% EtOAc				

[0279] Compound Formula II-5A (major product) and II-5B (minor product) eluted at 21.5 and 19 min, respectively, as pure compounds. Compound II-5B was further chromatographed on a 3cc silica flash column to remove traces of chlorobenzoic acid reagent.

41mi WO 2005/002572 ..... .... ... ... ... ... ...

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#### Chemical Structures:

#### Structural Characterization

[0280] Formula II-5A: UV (Acetonitrile/H<sub>2</sub>O)  $\lambda_{max}$  225 (sh) nm. Low Res. Mass: m/z 330 (M+H), 352 (M+Na). FIGS. 16-17, respectively depict the 1H NMR spectrum of Formula II-5A and the mass spectrum of Formula II-5A.

[0281] Formula II-5B: UV (Acetonitrile/H<sub>2</sub>O)  $\lambda_{max}$  225 (sh) nm. Low Res. Mass: m/z 330 (M+H), 352 (M+Na). FIGS. 18-19, respectively depict the 1H NMR spectrum of II-5B and the mass spectrum of II-5B.

#### Example 8

Synthesis of the Compounds of Formulae IV-1, IV-2, IV-3 and IV-4
Synthesis of diol derivatives (Formula IV-2)

[0282] Diols may be synthesized by Sharpless dihydroxylation using AD mix-α and β: AD mix-α is a premix of four reagents, K<sub>2</sub>OsO<sub>2</sub>(OH)<sub>4</sub>; K<sub>2</sub>CO<sub>3</sub>; K<sub>3</sub>Fe(CN)<sub>6</sub>; (DHQ)<sub>2</sub>-PHAL [1,4-bis(9-O-dihydroquinine)phthalazine] and AD mix-β is a premix of K<sub>2</sub>OsO<sub>2</sub>(OH)<sub>4</sub>; K<sub>2</sub>CO<sub>3</sub>; K<sub>3</sub>Fe(CN)<sub>6</sub>; (DHQD)<sub>2</sub>-PHAL [1,4-bis(9-O-dihydroquinidine)phthalazine] which are commercially available from Aldrich. Diol can also be synthesized by acid or base hydrolysis of epoxy compounds (Formula II-5A and II-5B) which may be different to that of products obtained in Sharpless dihydroxylation in their stereochemistry at carbons bearing hydroxyl groups

#### Sharpless Dihydroxylation of Compounds II-16, II-17 and II-18

[0283] Any of the compounds of Formulae II-16, II-17 and II-18 may be used as the starting compound. In the example below, compound of Formula II-16 is used. The starting compound is dissolved in t-butanol/water in a round bottom flask to which is added AD mix- $\alpha$  or  $\beta$  and a magnetic stir bar. The reaction is monitored by silica TLC as well as mass spectrometer. The pure diols are obtained by usual workup and purification by flash chromatography or HPLC. The structures are confirmed by NMR spectroscopy and mass spectrometry. In this method both hydroxyl groups are on same side.

#### Nucleophilic ring opening of epoxy compounds (II-5):

[0284] The epoxy ring is opened with various nucleophiles like NaCN, NaN<sub>3</sub>, NaOAc, HBr, HCl, etc. to creat various substituents on the cyclohexane ring, including a hydroxyl substituent.

#### Examples:

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[0285] The epoxy is opened with HCl to make Formula IV-3:

Formula II-5 Formula IV-3

[0286] Compound of Formula II-5A (3.3 mg) was dissolved in acetonitrile (0.5 ml) in a 1 dram vial to which was added 5% HCl (500 ul) and a magnetic stir bar. The reaction mixture was stirred at room temperature for about an hour. The reaction was monitored by mass spectrometry. The reaction mixture was directly injected on normal phase HPLC to obtain compound of Formula IV-3C as a pure compound without any work up. The HPLC conditions used for the purification were as follows: Phenomenex Luna 10u Silica column (25 cm x 21.2 mm ID) with a solvent gradient of 25% to 80% EtOAc/Hex over 19 min, 80 to 100% EtOAc in 1 min, then 5 min at 100% EtOAc at a flow rate of 14.5 ml/min. An ELSD was used to monitor the purification process. Compound of Formula IV-3C eluted at about 18 min (2.2 mg). Compound of Formula IV-3C: UV (Acetonitrile/H<sub>2</sub>O)  $\lambda_{\text{max}}$  225 (sh) nm; ESMS, m/z 366 (M+H), 388 (M+Na); <sup>1</sup>H NMR in DMSO-d<sub>6</sub> (FIG. 20) The stereochemistry of the compound of Formula IV-3C was determined based on coupling constants observed in the cyclohexane ring in 1:1 C<sub>6</sub>D<sub>6</sub>/DMSO-d<sub>6</sub> (FIG. 21)

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[0287] Reductive ring opening of epoxides (II-5): The compound of Formula is treated with metalhydrides like BH<sub>3</sub>-THF complex to make compound of Formula IV-4.

Example 9

#### Synthesis of the Compounds of Formulae II-13C and II-8C

[0288] Compound of Formula II-16 (30 mg) was dissolved in CH<sub>2</sub>Cl<sub>2</sub> (6 ml) in a scintillation vial (20 ml) to which Dess-Martin Periodinane (122 mg) and a magnetic stir bar were added. The reaction mixture was stirred at room temperature for about 2 hours. The progress of the reaction was monitored by TLC (Hex:EtOAc, 6:4) and analytical HPLC. From the reaction mixture, the solvent volume was reduced to one third, absorbed on silica gel, poured on top of a 20 cc silica flash column and eluted in 20 ml fractions

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using a gradient of Hexane/EtOAc from 10 to 100%. The fraction eluted with 30% EtOAc in Hexane contained a mixture of rotamers of Formula II-13C in a ratio of 1.5:8.5. The mixture was further purified by normal phase HPLC using the Phenomenex Luna 10u Silica column (25 cm x 21.2 mm ID) with a solvent gradient of 25% to 80% EtOAc/Hex over 19 min, 80 to 100% EtOAc over 1 min, holding at 100% EtOAc for 5 min, at a flow rate of 14.5 ml/min. An ELSD was used to monitor the purification process. Compound of Formula II-13C eluted at 13.0 and 13.2 mins as a mixture of rotamers with in a ratio of 1.5:8.5 (7 mg). Formula II-13C: UV (Acetonitrile/H<sub>2</sub>O)  $\lambda_{max}$  226 (sh) & 300 (sh) nm; ESMS, m/z 312 (M+H)<sup>+</sup>, 334 (M+Na)<sup>+</sup>; <sup>1</sup>H NMR inDMSO-d<sub>6</sub> (see FIG. 22).

[0289] The rotamer mixture of Formula II-13C (4 mg) was dissolved in acetone (1 ml) in a scintillation vial (20 ml) to which a catalytic amount (0.5 mg) of 10% (w/w) Pd/C and a magnetic stir bar were added. The reaction mixture was stirred in a hydrogen atmosphere at room temperature for about 15 hours. The reaction mixture was filtered through a 0.2 μm Gelman Acrodisc to remove the catalyst. The solvent was evaporated from the filtrate to yield compound of Formula II-8C as a colorless gum which was further purified by normal phase HPLC using a Phenomenex Luna 10u Silica column (25 cm x 21.2 mm ID) with a solvent gradient of 25% to 80% EtOAc/Hex over 19 min, 80 to 100% EtOAc over 1 min, holding at 100% EtOAc for 5 min, at a flow rate of 14.5 ml/min. An ELSD was used to monitor the purification process. Compound of Formula II-8C (1 mg) eluted at 13.5 min as a pure compound. Formula II-8C: UV (Acetonitrile/H<sub>2</sub>O) λ<sub>max</sub> 225 (sh) nm; ESMS, m/z 314 (M+H)<sup>+</sup>, 336 (M+Na)<sup>+</sup>; <sup>1</sup>H NMR inDMSO-d<sub>6</sub> (See FIG. 23).

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#### Example 10

## Synthesis of the Compound of Formulae II-25 from II-13C

The rotamer mixture of Formula II-13C (5 mg) was dissolved in [0290] dimethoxy ethane (monoglyme; 1.5 ml) in a scintillation vial (20 ml) to which water (15  $\mu$ l (1% of the final solution concentration)) and a magnetic stir bar were added. The above solution was cooled to -78°C on a dry ice-acetone bath, and a sodium borohydride solution (3.7 mg of NaBH<sub>4</sub> in 0.5 ml of monoglyme (created to allow for slow addition)) was added drop-wise. The reaction mixture was stirred at -78°C for about 14 minutes. The reaction mixture was acidified using 2 ml of 4% HCl solution in water and extracted with CH<sub>2</sub>Cl<sub>2</sub>. The organic layer was evaporated to yield mixture of compound of formulae II-25 and II-16 in a 9.5:0.5 ratio as a white solid, which was further purified by normal phase HPLC using a Phenomenex Luna 10u Silica column (25 cm x 21.2 mm ID). The mobile phase was 24% EtOAc/76% Hexane, which was held isocratic for 19 min, followed by a linear gradient of 24% to 100% EtOAc over 1 min, and held at 100% EtOAc for 3 min; the flow rate was 25 ml/min. An ELSD was used to monitor the purification process. Compound of formula II-25 (1.5 mg) eluted at 11.64 min as a pure compound. Compound of Formula II-25: UV (Acetonitrile/H<sub>2</sub>O)  $\lambda_{max}$  225 (sh) nm; ESMS, m/z 314 (M+H)<sup>+</sup>, 336 (M+Na)<sup>+</sup>; <sup>1</sup>H NMR in DMSO-d<sub>6</sub> (see FIG. 24).

Example 11

## Synthesis of the Compound of Formulae II-21 from II-19

[0291] Acetone (7.5 ml) was vigorously mixed with 5 N NaOH (3 ml) and the resulting mixture evaporated to a minimum volume *in vacuo*. A sample of 100 µl of this

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solution was mixed with compound of Formula II-19 (6.2 mg) in acetone (1 ml) and the resulting biphasic mixture vortexed for 2 minutes. The reaction solution was immediately subjected to preparative C18 HPLC. Conditions for the purification involved a linear gradient if 10% acetonitrile/90% water to 90% acetonitrile/ 10% water over 17 minutes using an Ace 5  $\mu$  C18 HPLC column of dimensions 22 mm id by 150 mm length. Compound of Formula II-21 eluted at 9.1 minutes under these conditions to yield 0.55 mg compound. Compound of Formula II-21: UV (Acetonitrile/H<sub>2</sub>O) 225 (sh), ESMS, m/z 296.1 (M+H); <sup>1</sup>H NMR in DMSO-d<sub>6</sub> (see FIG. 25).

Example 12

#### Synthesis of the Compound of Formulae II-22 from II-19

[0292] A sample of 60 mg sodium propionate was added to a solution of compound of Formula II-19 (5.3 mg) in DMSO (1 ml) and the mixture sonicated for 5 minutes, though the sodium propionate did not completely dissolve. After 45 minutes, the solution was filtered through a 0.45 μ syringe filter and purified directly using HPLC. Conditions for the purification involved a linear gradient if 10% acetonitrile/90% water to 90% acetonitrile/ 10% water over 17 minutes using an Ace 5 μ C18 HPLC column of dimensions 22 mm id by 150 mm length. Under these conditions, compound of Formula II-22 eluted at 12.3 minutes to yield 0.7 mg compound (15% isolated yield). UV (Acetonitrile/H<sub>2</sub>O) 225 (sh), ESMS, *m/z* 352.2 (M+H); <sup>1</sup>H NMR in DMSO-d<sub>6</sub> (see FIG. 26).

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Example 13

# Oxidation of secondary hydroxyl group in Compounds of Formulae Π-16, Π-17 and Π-18 and reaction with hydroxy or methoxy amines:

[0293] Any of the compounds of Formulae II-16, II-17 and II-18 may be used as the starting compound. The secondary hydroxyl group in the starting compound is oxidized using either of the following reagents: pyridinium dichromate (PDC), pyridinium chlorochromate (PCC), Dess-Martin periodinane or oxalyl chloride (Swern oxidation) (Ref: Organic Syntheses, collective volumes I-VIII). Preferably, Dess-Martin periodinane may be used as a reagent for this reaction. (Ref: Fenteany G. et al. Science, 1995, 268, 726-73). The resulting keto compound is treated with hydroxylamine or methoxy amine to generate oximes.

Examples:

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Example 14

#### Reductive amination of keto-derivative

[0294] The keto derivatives, for example Formula II-8 and II-13, are treated with sodium cyanoborohydride (NaBH<sub>3</sub>CN) in the presence of various bases to yield amine derivatives of the starting compounds which are subsequently hydrogenated with 10%Pd/C, H<sub>2</sub> to reduce the double bond in the cyclohexene ring. Example:

Cyclohexene ring opening:

[0295] Any compound of Formulae II-16, II-17 and II-18 may be used as a starting compound. The starting compound is treated with  $OsO_4$  and  $NaIO_4$  in THF-H<sub>2</sub>O solution to yield dial derivatives which are reduced to alcohol with NaBH<sub>4</sub> in the same pot.

Example:

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Example 16

Dehydration of alcohol followed by aldehyde formation at lactone-lactam ring junction

[0296] A starting compound of any of Formulae II-16, II-17 or II-18 is treated with mesylchloride in the presence of base to yield a dehydrated derivative. The resulting dehydrated compound is treated with OsO<sub>4</sub> and NaIO<sub>4</sub> in THF-H<sub>2</sub>O to yield an aldehyde group at the lactone-lactam ring junction.

Example 17

#### Various reactions on aldehyde derivatives I-1

[0297] Wittig reactions are performed on the aldehyde group using various phosphorus ylides [e.g., (triphenylphosphoranylidene)ethane] to yield an olefin. The double bond in the side chain is reduced by catalytic hydrogenation.

Example:

[0298] Reductive amination is performed on the aldehyde group using various bases (eg. NH<sub>3</sub>) and sodium cyanoborohydride to yield amine derivatives. Alternatively, the aldehyde is reduced with NaBH<sub>4</sub> to form alcohols in the side chain. Example:

[0299] Organometallic addition reactions to the aldehyde carbonyl, such as Grignard reactions, may be performed using various alkyl magnesium bromide or chloride reagents (eg. isopropylmagnesium bromide, phenylmagnesium bromide) to yield various substituted secondary alcohols.

#### Example:

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#### Example 18

#### In Vitro Biology

[0300] Initial studies of a compound of Formula II-16, which is also referred to as Salinosporamide A, employed the National Cancer Institute (NCI) screening panel, which consists of 60 human tumor cell lines that represent leukemia, melanoma and cancers of the lung, colon, brain, ovary, breast, prostate and kidney. A detailed description of the screening procedure can be found at hypertext transfer protocol (http://) "dtp.nci.nih.gov/branches/btb/ivclsp.html."

[0301] In brief, each of the 60 human tumor cell lines were grown in RPMI 1640 medium, supplemented with 5% fetal bovine serum and 2 mM L-glutamine. Cells were plated at their appropriate density in 96-well microtiter plates and incubated at 37°C, 5% CO<sub>2</sub>, 95% air and 100% relative humidity. After 24 hours, 100  $\mu$ L of various 10-fold serial dilutions of Salinosporamide A were added to the appropriate wells containing 100  $\mu$ L of cells, resulting in a final Salinosporamide A concentration ranging from 10 nM to 100  $\mu$ M. Cells were incubated for an additional 48 hours and a sulforhodamine B protein assay was used to estimate cell viability or growth.

[0302] Three dose response parameters were calculated as follows:  $GI_{50} \ indicates \ the \ concentration \ that \ inhibits \ growth \ by 50\%.$   $TGI \ indicates \ the \ concentration \ that \ completely \ inhibits \ growth.$   $LC_{50} \ indicates \ the \ concentration \ that \ is \ lethal \ to \ 50\% \ of \ the \ cells.$ 

[0303] An example of a study evaluating Salinosporamide A in the NCI screen is shown in Table 1 below.

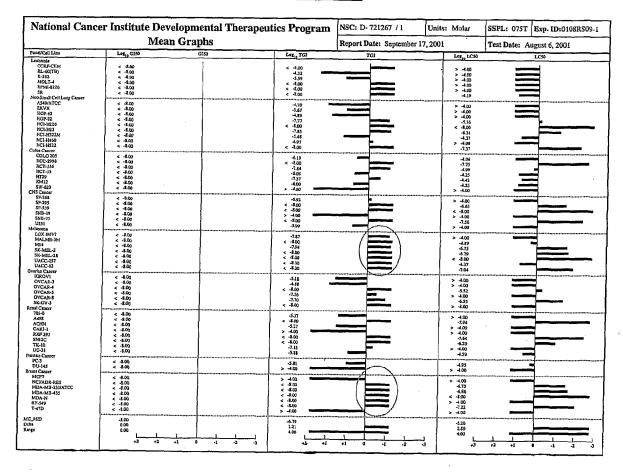
[0304] Data indicate that the mean GI<sub>50</sub> value of Salinosporamide A was less than 10 nM. The wide range (>1000-fold difference) observed in both the mean TGI and mean LC<sub>50</sub> values for the most sensitive and the most resistant tumor cell lines illustrates that Salinosporamide A displays good selectivity and does not appear to be a general toxin. Furthermore, the mean TGI data suggest that Salinosporamide A shows preferred specificity towards melanoma and breast cancer cell lines. The assay was repeated and showed similar results.

[0305] The results of the NCI tumor screen show that Salinosporamide A: (1) is a potent compound with a mean  $GI_{50}$  value of < 10 nM, and (2) displays good tumor

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selectivity of more than 1000-fold difference in both the mean TGI and mean  $LC_{50}$  values between the most sensitive and resistant tumor cell lines.

<u>Table 1: Relative Sensitivity of the NCI 60 Human Tumor Cell Lines to Salinosporamide A</u>



#### Example 19

#### Growth inhibition of tumor cell lines

[0306] B16-F10 (ATCC; CRL-6475), DU 145 (ATCC; HTB-81), HEK293 (ATCC; CRL-1573), HT-29 (ATCC; HTB-38), LoVo (ATCC; CCL-229), MDA-MB-231 (ATCC; HTB-26), MIA PaCa-2 (ATCC; CRL-1420), NCI-H292 (ATCC; CRL-1848), OVCAR-3 (ATCC, HTB-161), PANC-1 (ATCC; CRL-1469), PC-3 (ATCC; CRL-1435), RPMI 8226 (ATCC; CCL-155) and U266 (ATCC; TIB-196) were maintained in appropriate culture media. The cells were cultured in an incubator at 37 °C in 5% CO2 and 95% humidified air.

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[0307] For cell growth inhibition assays, B16-F10, DU 145, HEK293, HT-29, LoVo, MDA-MB-231, MIA PaCa-2, NCI-H292, OVCAR-3, PANC-1, PC-3, RPMI 8226 and U266 cells were seeded at 1.25x10<sup>3</sup>, 5x10<sup>3</sup>, 1.5x10<sup>4</sup>, 5x10<sup>3</sup>, 5x10<sup>3</sup>, 1x10<sup>4</sup>, 2x10<sup>3</sup>, 4x10<sup>3</sup>, 1x10<sup>4</sup>, 7.5x10<sup>3</sup>, 5x10<sup>3</sup>, 2x10<sup>4</sup>, 2.5x10<sup>4</sup> cells/well respectively in 90μl complete media into Corning 3904 black-walled, clear-bottom tissue culture plates. 20mM stock solutions of Formula II-16 were prepared in 100% DMSO, aliquoted and stored at -80°C. Formula II-16 was serially diluted and added in triplicate to the test wells resulting in final concentrations ranging from of 20 μM to 0.2pM. The plates were returned to the incubator for 48 hours. The final concentration of DMSO was 0.25% in all samples.

[0308] Following 48 hours of drug exposure,  $10\mu$ l of 0.2mg/ml resazurin (obtained from Sigma-Aldrich Chemical Co.) in  $Mg^{2+}$ ,  $Ca^{2+}$  free phosphate buffered saline was added to each well and the plates were returned to the incubator for 3-6 hours. Since living cells metabolize Resazurin, the fluorescence of the reduction product of Resazurin was measured using a Fusion microplate fluorometer (Packard Bioscience) with  $\lambda_{ex} = 535$  nm and  $\lambda_{em} = 590$  nm filters. Resazurin dye in medium without cells was used to determine the background, which was subtracted from the data for all experimental wells. The data were normalized to the average fluorescence of the cells treated with media + 0.25% DMSO (100% cell growth) and EC<sub>50</sub> values (the drug concentration at which 50% of the maximal observed growth inhibition is established) were determined using a standard sigmoidal dose response curve fitting algorithm (generated by XLfit 3.0, ID Business Solutions Ltd or Prism 3.0, GraphPad Software Inc).

[0309] The data in Table 2 summarize the growth inhibitory effects of Formula II-16 against 13 diverse human and mouse tumor cell lines.

<u>Table 2</u>
<u>Mean EC<sub>50</sub> values of Formula II-16 against various tumor cell lines</u>

Cell line	Source	$EC_{50}$ (nM), mean $\pm$ SD *	
B16-F10	Mouse, melanoma	47 ± 20	12
DU 145	Human, prostate carcinoma	$37 \pm 10$	3
HEK293	Human, embryonic kidney	47	2
HT-29	Human, colorectal adenocarcinoma	40 ± 26	5
LoVo	Human, colorectal adenocarcinoma	70 ± 8	3
MDA-MB-231	Human, breast adenocarcinoma	$87 \pm 40$	12
MIA PaCa-2	Human, pancreatic carcinoma	46	2

NCI-H292	Human, non small cell lung carcinoma	66 ± 29	
<u> </u>	, and other con rung caremonia		12
OVCAR-3	Human, ovarian adenocarcinoma	$49 \pm 31$	6
PANC-1 Human, pancreatic carcinoma		60	2
PC-3	Human, prostate adenocarcinoma	$64 \pm 26$	19
RPMI 8226	Human, multiple myeloma	$8.6 \pm 1.9$	26
U266	Human, multiple myeloma	$4.7 \pm 0.7$	6

[0310] \* Where n (number of independent experiments) = 2, the mean value is presented

[0311] The EC $_{50}$  values indicate that Formula II-16 was cytotoxic against B16-F10, DU 145, HEK293, HT-29, LoVo, MDA-MB-231, MIA PaCa-2, NCI-H292, OVCAR-3, PANC-1, PC-3, RPMI 8226 and U266 cells.

#### Example 20

<u>In vitro Inhibition of Proteasome Activity by Formulae II-2, II-3, II-4, II-5A, II-5B, II-8C, II-13C, II-16, II-17, II-18, II-19, II-20, II-21, II-22, II-24C, II-25 and IV-3C.</u>

All the compounds were prepared as 20 mM stock solution in DMSO [0312] and stored in small aliquots at -80°C. Purified rabbit muscle 20S proteasome was obtained from CalBiochem. To enhance the chymotrypsin-like activity of the proteasome, the assay buffer (20 mM HEPES, pH7.3, 0.5 mM EDTA, and 0.05% Triton X100) was supplemented with SDS resulting in a final SDS concentration of 0.035%. The substrate used was suc-LLVY-AMC, a fluorogenic peptide substrate specifically cleaved by the chymotrypsin-like activity of the proteasome. Assays were performed at a proteasome concentration of 1 μg/ml in a final volume of 200μl in 96-well Costar microtiter plates. Formula II-2, Formula II-4, Formula II-16, Formula II-17, Formula II-18, Formula II-19, Formula II-21 and Formula II-22 were tested as eight-point dose response curves with final concentrations ranging from 500 nM to 158 pM. Formula II-5A, Formula II-5B and Formula II-20 were tested at concentrations ranging from 1  $\mu M$  to 0.32nM. Formula II-3 was tested as an eightdose response curve with final concentrations ranging from 10  $\mu M$  to 3.2 nM, while Formula II-8C, Formula II-13C, Formula II-24C, Formula II-25 and Formula IV-3C were tested with final concentrations ranging from 20  $\mu M$  to 6.3nM. The samples were incubated at 37°C for five minutes in a temperature controlled plate reader. During the preincubation step, the substrate was diluted 25-fold in SDS-containing assay buffer. preincubation period, the reactions were initiated by the addition of 10  $\mu$ l of the diluted substrate and the plates were returned to the plate reader. The final concentration of

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WO 2005/002572 PCT/US2004/019543

substrate in the reactions was 20 µM. All data were collected every five minutes for more than 1.5 hour and plotted as the mean of triplicate data points. The EC50 values (the drug concentration at which 50% of the maximal relative fluorescence unit is inhibited) were calculated by Prism (GraphPad Software) using a sigmoidal dose-response, variable slope model. To evaluate the activity of the compounds against the caspase-like activity of the 20S proteasomes, reactions were performed as described above except that Z-LLE-AMC was used as the peptide substrate. Formulae II-3, II-4, II-5A, II-5B, II-8C, II-13C, II-17, II-18, II-20, II-21. II-22, II-24C, II-25 and Formula IV-3C were tested at concentrations ranging from  $20\mu M$  to 6.3nM. Formula II-2 was tested at concentrations ranging from 10 µM to 3.2 nM, while Formula II-16 and Formula II-19 were tested at concentrations ranging from  $5\mu M$  to 1.58nM. For the evaluation of the compounds against the trypsin-like activity of the proteasome, the SDS was omitted from the assay buffer and Boc-LRR-AMC was used as the peptide substrate. Formula II-20 was tested at concentrations ranging from 5  $\mu M$  to 1.6 nM. Formulae II-3, II-8C, II-13C, II-17, II-21, II-22, II-24C, II-25 and IV-3C were tested at concentrations ranging from 20 µM to 6.3 nM. For Formulae II-2 and II-5B the concentrations tested ranged from 10 $\mu M$  to 3.2nM, while Formulae II-4, II-5A, II-16, II-18 and II-19 were tested at concentrations ranging from  $1\mu M$  to 0.32nM.

[0313] Results (mean EC<sub>50</sub> values) are shown in Table 3 and illustrate that among the tested compounds, Formulae II-5A, II-16, II-18, II-19, II-20, II-21 and II-22 are the most potent inhibitors of the chymotrypsin-like activity of the 20S proteasome with EC<sub>50</sub> values ranging from 2.2 nM to 7 nM. Formulae II-2, II-4, II-5B and II-17 inhibit the proteasomal chymotrypsin-like activity with EC<sub>50</sub> values ranging from 14.2nM to 87nM, while the EC<sub>50</sub> value of Formula II-3 is 927 nM. Formula II-24C, II-13C and IV-3C inhibited the chymotrypsin-like activity with EC<sub>50</sub> values of 2.2 μM, 8.2 μM and 7.8 μM respectively. EC<sub>50</sub> values for Formulae II-8C and II-25 were greater than 20 μM. Under the conditions tested, Formulae II-2, II-3, II-4, II-5A, II-5B, II-13C, II-16, II-17, II-18, II-19, II-20, II-21, II-22 and II-24C were able to inhibit the trypsin-like activity of the 20S proteasome. Formulae II-4, II-5A, II-16, II-18 and II-19 inhibited the caspase-like activity with EC<sub>50</sub> values ranging from 250nM to 744nM, while Formulae II-2, II-5B, II-17, II-20, II-21, and II-22 had EC<sub>50</sub> values ranging from 1.2μM to 3.3μM.

Table 3

Effects of Formulae II-2, II-3, II-4, II-5A, II-5B,II-8C, II-13C, II-16, II-17, II-18, II-19, II-20, II-21, II-22, II-24C, II-25 and IV-3C on the various enzymatic activities of purified rabbit 20S proteasomes

Analog	EC <sub>50</sub> Values			
	Chymotrypsin-like	Trypsin-like	Caspase-like	
Formula II-2	18 nM	230 nM	1.5 μΜ	
Formula II-3	927 nM	6.6 μM	> 20 µM	
Formula II-4	14.2 nM	109 nM	744 nM	
Formula II-5A	6.5 nM	89 nM	487 nM	
Formula II-5B	87 nM	739 nM	3.3 μΜ	
Formula II-8C*	> 20 μM	> 20 μM	> 20 μM	
Formula II-13C	8.2 μΜ	10.7 μΜ	> 20 μM	
Formula II-16	2.5 nM	21 nM	401 nM	
Formula II-17	29.5 nM	588 nM	1.2 μΜ	
Formula II-18	2.2 nM	14 nM	250 nM	
Formula II-19*	3 nM	13 nM	573 nM	
Formula II-20*	5 nM	318 nM	1.4 μΜ	
Formula II-21*	7 nM	720 nM	2.6 μΜ	
Formula II-22*	5 nM	308 nM	1.3 μΜ	
Formula II-24C*	2.2 μΜ	3.2 μΜ	> 20 µM	
Formula II-25 <sup>*</sup>	> 20 μM	> 20 μM	> 20 µM	
Formula IV-3C	7.8 µM	> 20 µM	> 20 µM	

<sup>\*</sup> n=1

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# Example 21 Salinosporamide A (II-16) Inhibits Chymotrypsin-like Activity of Rabbit Muscle 20S Proteasomes

[0314] The effect of Salinosporamide A (II-16) on proteasomes was examined using a commercially available kit from Calbiochem (catalog no. 539158), which uses a fluorogenic peptide substrate to measure the activity of rabbit muscle 20S proteasomes (Calbiochem 20S Proteasome Kit). This peptide substrate is specific for the chymotrypsin-like enzyme activity of the proteasome.

[0315] Omuralide was prepared as a 10 mM stock in DMSO and stored in 5  $\mu L$ aliquots at -80°C. Salinosporamide A was prepared as a 25.5 mM solution in DMSO and stored in aliquots at -80°C. The assay measures the hydrolysis of Suc-LLVY-AMC into Suc-LLVY and AMC. The released coumarin (AMC) was measured fluorometrically by using  $\lambda_{ex} = 390$  nm and  $\lambda_{em} = 460$  nm. The assays were performed in a microtiter plate (Corning 3904), and followed kinetically with measurements every five minutes. The instrument used was a Thermo Lab Systems Fluoroskan, with the incubation chamber set to The assays were performed according to the manufacturer's protocol, with the following changes. The proteasome was activated as described with SDS, and held on ice prior to the assay. Salinosporamide A and Omuralide were serially diluted in assay buffer to make an 8-point dose-response curve. Ten microliters of each dose were added in triplicate to the assay plate, and 190  $\mu L$  of the activated proteasome was added and mixed. The samples were pre-incubated in the Fluoroskan for 5 minutes at 37°C. Substrate was added and the kinetics of AMC were followed for one hour. All data were collected and plotted as the mean of triplicate data points. The data were normalized to reactions performed in the absence of Salinosporamide A and modeled in Prism as a sigmoidal doseresponse, variable slope.

[0316] Similar to the results obtained for the *in vitro* cytotoxicity (Table 2), Feling, *et al.*, Angew Chem Int Ed Engl 42:355 (2003), the EC<sub>50</sub> values in the 20S proteasome assay showed that Salinosporamide A was approximately 40-fold more potent than Omuralide, with an average value of 1.3 nM versus 49 nM, respectively (FIG. 27). This experiment was repeated and the average EC<sub>50</sub> in the two assays was determined to be 2 nM for Salinosporamide A and 52 nM for Omuralide.

[0317] Salinosporamide A is a potent inhibitor of the chymotrypsin-like activity of the proteasome. The  $EC_{50}$  values for cytotoxicity were in the 10-200 nM range suggesting that the ability of Salinosporamide A to induce cell death was due, at least in large part, to proteasome inhibition. The data suggest that Salinosporamide A is a potent small molecule inhibitor of the proteasome.

#### Example 22

Salinosporamide A (II-16) Inhibition of PGPH Activity of Rabbit Muscle 20S Proteasomes

[0318] Omuralide can inhibit the PGPH activity (also known as the caspase-like) of the proteasome; therefore, the ability of Salinosporamide A to inhibit the PGPH activity of purified rabbit muscle 20S proteasomes was assessed. A commercially available

PCT/US2004/019543

http://www.patentlens.net/

WO 2005/002572

fluorogenic substrate specific for the PGPH activity was used instead of the chymotrypsin substrate supplied in the proteasome assay kit described above.

Salinosporamide A (II-16) was prepared as a 20 mM solution in DMSO and stored in small aliquots at  $-80^{\circ}$ C. The substrate Z-LLE-AMC was prepared as a 20 mM stock solution in DMSO, stored at -20°C. The source of the proteasomes was the commercially available kit from Calbiochem (Cat. # 539158). As with the chymotrypsin substrate, the proteasome can cleave Z-LLE-AMC into Z-LLE and free AMC. The activity can then be determined by measuring the fluorescence of the released AMC ( $\lambda_{ex} = 390 \text{ nm}$ and  $\lambda_{em} = 460$  nm). The proteasomes were activated with SDS and held on ice as per manufacturer's recommendation. Salinosporamide A was diluted in DMSO to generate a 400-fold concentrated 8-point dilution series. The series was diluted 20-fold with assay buffer and preincubated with the proteasomes as described for the chymotrypsin-like activity. After addition of substrate, the samples were incubated at 37°C, and release of the fluorescent AMC was monitored in a fluorimeter. All data were collected and plotted as the mean of triplicate points. In these experiments, the EC50 was modeled in Prism as normalized activity, where the amount of AMC released in the absence of Salinosporamide A represents 100% activity. As before, the model chosen was a sigmoidal dose-response, with a variable slope.

[0320] Data revealed that Salinosporamide A inhibited the PGPH activity in rabbit muscle 20S proteasomes with an  $EC_{50}$  of 350 nM (FIG. 28). A replicate experiment was performed, which gave a predicted  $EC_{50}$  of 610 nM. These results indicate that Salinosporamide A does block the *in vitro* PGPH activity of purified rabbit muscle 20S proteasomes, albeit with lower potency than seen towards the chymotrypsin-like activity.

#### Example 23

# Inhibition of the Chymotrypsin-like Activity of Human Erythrocyte 20S Proteasomes

[0321] The ability of Salinosporamide A (II-16) to inhibit the chymotrypsin-like activity of human erythrocyte 20S proteasomes was assessed *in vitro*. The calculated  $EC_{50}$  values ranged from 45 to 247 pM, and seemed to depend upon the lot of proteasomes tested (BIOMOL, Cat# SE-221). These data indicate that the inhibitory effect of Salinosporamide A is not limited to rabbit skeletal muscle proteasomes.

[0322] Salinosporamide A was prepared as a 20 mM solution in DMSO and stored in small aliquots at -80°C. The substrate, suc-LLVY-AMC, was prepared as a 20 mM solution in DMSO and stored at -20°C. Human erythrocyte 20S proteasomes were

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obtained from BIOMOL (Cat. # SE-221). The proteasome can cleave suc-LLVY-AMC into suc-LLVY and free AMC and the activity can then be determined by measuring the fluorescence of the released AMC ( $\lambda_{ex}$  = 390 nm and  $\lambda_{em}$  = 460 nm ). The proteasomes were activated by SDS and stored on ice as with the experiments using rabbit muscle proteasomes. Salinosporamide A was diluted in DMSO to generate a 400-fold concentrated 8-point dilution series. The series was then diluted 20-fold with assay buffer and pre-incubated with proteasomes at 37°C. The reaction was initiated with substrate, and the release of AMC was followed in a Fluoroskan microplate fluorimeter. Data were collected and plotted as the mean of triplicate points. Data were captured kinetically for 3 hours, and indicated that these reactions showed linear kinetics in this time regime. The data were normalized to reactions performed in the absence of Salinosporamide A and modeled in Prism as a sigmoidal dose-response, variable slope.

[0323] Replicate experiments performed using human erythrocyte proteasomes from separate lots resulted in a range of EC<sub>50</sub> values between 45 and 250 pM (FIG. 29 shows a representative experiment). It has been reported that 20S proteasomes purified from human erythrocytes are highly heterogeneous in subunit composition. Claverol, *et al.*, *Mol Cell Proteomics* 1:567 (2002). The variability in these experiments may therefore be due to differences in the composition and activity of the human erythrocyte proteasome preparations. Regardless, these results indicate that the *in vitro* chymotrypsin-like activity of human erythrocyte 20S proteasomes is sensitive to Salinosporamide A.

#### Example 24

#### Salinosporamide A (II-16) Specificity

[0324] A possible mechanism by which Salinosporamide A inhibits the proteasome is by the reaction of the β-lactone functionality of Salinosporamide A with the active site threonine of the proteasome. This covalent modification of the proteasome would block the active site, as this residue is essential for the catalytic activity of the proteasome. Fenteany, et al., J Biol Chem 273:8545 (1998). A structurally related compound, Lactacystin, has been shown to also inhibit cathepsin A (Ostrowska, et al., Int J Biochem Cell Biol 32:747 (2000), Kozlowski, et al., Tumour Biol 22:211 (2001), Ostrowska, et al., Biochem Biophys Res Commun 234:729 (1997)) and TPPII (Geier, et al., Science 283:978 (1999)) but not trypsin, chymotrypsin, papain, calpain (Fenteany, et al., Science 268:726 (1995)), thrombin, or plasminogen activator (Omura, et al., J Antibiot (Tokyo) 44:113 (1991)). Similar studies were initiated to explore the specificity of

Salinosporamide A for the proteasome by evaluating its ability to inhibit the catalytic activity of a prototypical serine protease, chymotrypsin.

Salinosporamide A was prepared as a 20 mM solution in DMSO and [0325]stored in small aliquots at -80°C. The substrate, suc-LLVY-AMC, was prepared as a 20 mM solution in DMSO and stored at -20°C. Proteolytic cleavage of this substrate by either proteasomes or chymotrypsin liberates the fluorescent product AMC, which can be monitored in a fluorimeter ( $\lambda_{ex} = 390$  nm and  $\lambda_{em} = 460$  nm). Bovine pancreatic chymotrypsin was obtained from Sigma (Cat. # C-4129), and prepared as a 5 mg/ml solution in assay buffer (10 mM HEPES, 0.5 mM EDTA, 0.05% Triton X-100, pH 7.5) daily. Immediately prior to the assay, the chymotrypsin was diluted to 1 µg/ml (0.2 μg/well) in assay buffer and held on ice. Salinosporamide A was diluted in DMSO to generate an 8-point dose-response curve. The high final Salinosporamide A concentrations needed to obtain complete inhibition of chymotrypsin required that the diluted enzyme be directly added to the compound dilution series. The inclusion of 1% DMSO (the final concentration of solvent in the test wells) into the reaction had no significant effect on chymotrypsin activity towards this substrate. The reactions were pre-incubated for 5 minutes at 37°C and the reactions were initiated by the addition of substrate. Data were collected kinetically for one hour at 37°C in the Fluoroskan and plotted as the mean of triplicate data points. The data were normalized to reactions performed in the absence of Salinosporamide A, and modeled in Prism as a sigmoidal dose-response, variable slope. Normalized data from Salinosporamide A inhibition of the chymotrypsin-like activity of rabbit 20S proteasomes has been included on the same graph.

[0326] The average inhibition observed in two experiments using Salinosporamide A pretreatment of chymotrypsin was 17.5 µM (FIG. 30 shows a representative experiment). The data indicate that there is a preference for Salinosporamide A-mediated inhibition of the *in vitro* chymotrypsin-like activity of proteasomes over inhibition of the catalytic activity of chymotrypsin.

[0327] Thus, Salinosporamide A inhibits the chymotrypsin-like and PGPH activity of the proteasome. Preliminary studies indicate that Salinosporamide A also inhibits the trypsin-like activity of the proteasome with an EC<sub>50</sub> value of  $\sim$ 10 nM (data not shown).

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#### Example 25

<u>Inhibition of NF-κB-mediated luciferase activity by Formulae II-2, II-3, II-4, II-5A, II-5B, II-8C, II-13C, II-16, II-17, II-18, II-19, II-20, II-21, II-22, II-24C, II-25 and IV-3C;</u>

## HEK293 NF-κB/luciferase reporter cell line

[0328] The HEK293 NF-κB/luciferase reporter cell line is a derivative of the human embryonic kidney cell line (ATCC; CRL-1573) and carries a luciferase reporter gene under the regulation of 5X NF-κB binding sites. The reporter cell line was routinely maintained in complete DMEM medium (DMEM plus 10%(v/v)) Fetal bovine serum, 2mM L-glutamine, 10 mM HEPES and Penicillin/Streptomycin at 100 IU/ml and  $100\mu g/ml$ , respectively) supplemented with 250  $\mu g/ml$  G418. When performing the luciferase assay, the DMEM basal medium was replaced with phenol-red free DMEM basal medium and the G418 was omitted. The cells were cultured in an incubator at 37 °C in 5% CO<sub>2</sub> and 95% humidified air.

For NF-κB-mediated luciferase assays, HEK293 NF-κB/luciferase cells [0329] were seeded at 1.5x10<sup>4</sup> cells/well in 90µl phenol-red free DMEM complete medium into Corning 3917 white opaque-bottom tissue culture plates. For Formula II-2, Formula II-4, Formula II-5A, Formula II-16 and Formula II-18, a 400 µM starting dilution was made in 100% DMSO and this dilution was used to generate a 8-point half log dilution series. This dilution series was further diluted 40X in appropriate culture medium and ten µl aliquots were added to the test wells in triplicate resulting in final test concentrations ranging from 1  $\mu\mathrm{M}$  to 320 pM. For Formula II-3, Formula II-5B, Formula II-8C, Formula II-13C, Formula II-17, Formula II-20, Formula II-21, Formula II-22, Formula II-24C, Formula II-25 and Formula IV-3C, a 8mM starting dilution was made in 100% DMSO and the same procedure was followed as described above resulting in final test concentrations ranging from  $20\mu M$ to 6.3nM. For Formula II-19, a 127  $\mu M$  starting dilution was made in 100% DMSO and the final test concentrations ranging from 317 nM to 0.1 nM. The plates were returned to the incubator for 1 hour. After 1 hr pretreatment, 10 μl of a 50 ng/ml TNF-α solution, prepared in the phenol-red free DMEM medium was added, and the plates were incubated for an additional 6 hr. The final concentration of DMSO was 0.25% in all samples.

[0330] At the end of the TNF- $\alpha$  stimulation, 100  $\mu$ l of Steady Lite HTS luciferase reagent (Packard Bioscience) was added to each well and the plates were left undisturbed for 10 min at room temperature before measuring the luciferase activity. The

relative luciferase units (RLU) were measured by using a Fusion microplate fluorometer (Packard Bioscience). The  $EC_{50}$  values (the drug concentration at which 50% of the maximal relative luciferase unit inhibition is established) were calculated in Prism (GraphPad Software) using a sigmoidal dose response, variable slope model.

Inhibition of NF-κB Activation by Formulae II-2, II-3, II-4, II-5A, II-5B, II-8C, II-13C, II-16, II-17, II-18, II-19, II-20, II-21, II-22, II-24C, II-25 and IV-3C

[0331] NF-κB regulates the expression of a large number of genes important in inflammation, apoptosis, tumorigenesis, and autoimmune diseases. In its inactive form, NF-κB complexes with IκB in the cytosol and upon stimulation, IκB is phosphorylated, ubiquitinated and subsequently degraded by the proteasome. The degradation of IκB leads to the activation of NF-κB and its translocation to the nucleus. The effects of Formula II-2, Formula II-3, Formula II-4, Formula II-5A, Formula II-5B, Formula II-8C, Formula II-13C, Formula II-16, Formula II-17, Formula II-18, Formula II-19, Formula II-20, Formula II-21, Formula II-22, Formula II-24C, Formula II-25 and Formula IV-3C on the activation of NF-κB were evaluated by assessing the NF-κB-mediated luciferase activity in HEK293 NF-κB/Luc cells upon TNF-α stimulation.

[0332] Pretreatment of NF-κB/Luc 293 cells with Formula II-2, Formula II-4, Formula II-5A, Formula II-5B, Formula II-16, Formula II-17, Formula II-18, Formula II-19, Formula II-20, Formula II-21, Formula II-22 and Formula II-24C resulted in a dose-dependent decrease of luciferase activity upon TNF-α stimulation. The mean EC<sub>50</sub> values to inhibit NF-κB-mediated luciferase activity are shown in Table 4 and demonstrate that compounds of Formula II-2, Formula II-4, Formula II-5A, Formula II-5B, Formula II-16, Formula II-17, Formula II-18, Formula II-19, Formula II-20, Formula II-21, Formula II-22 and Formula II-24C inhibited NF-κB activity in this cell-based assay.

<u>Table 4</u>

<u>Mean EC<sub>50</sub> values of Formulae II-2, II-3, II-4, II-5A, II-5B, II-8C, II-13C, II-16, II-17, II-18, II-19, II-20, II-21, II-22, II-24C, II-25 and IV-3C from NF-кВ-mediated luciferase reporter gene assay</u>

Compound	EC <sub>50</sub> (nM)
Formula II-2	82
Formula II-3	>20,000
Formula II-4	77.7
Formula II-5A	31.5
Formula II-5B	270
Formula II-8C*	>20,000
Formula II-13C	>20,000
Formula II-16	11.8
Formula II-17	876
Formula II-18	9.5
Formula II-19	8.5
Formula II-20*	154
Formula II-21*	3,172
Formula II-22*	1,046
Formula II-24C*	5,298
Formula II-25*	>20,000
Formula IV-3C	>20,000

<sup>\*</sup> n=1

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#### Example 26

# Effect of Salinosporamide A on the NF-KB Signaling Pathway

[0333] Experiments were carried out to study the role of Salinosporamide A in the NF- $\kappa$ B signaling pathway. A stable HEK293 clone (NF- $\kappa$ B/Luc 293) was generated carrying a luciferase reporter gene under the regulation of 5X NF- $\kappa$ B binding sites. Stimulation of this cell line with TNF- $\alpha$  leads to increased luciferase activity as a result of NF- $\kappa$ B activation.

[0334] NF- $\kappa$ B/Luc 293 cells were pre-treated with 8-point half-log serial dilutions of Salinosporamide A (ranging from 1  $\mu$ M to 317 pM) for 1 hour followed by a 6

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hour stimulation with TNF-α (10 ng/mL). NF-κB inducible luciferase activity was measured at 6 hours. Viability of NF-κB/Luc 293 cells, after treatment with Salinosporamide A for 24 hr, was assessed by the addition of resazurin dye, as previously described.

[0335] Pretreatment of NF-κB/Luc 293 cells with Salinosporamide A resulted in a dose-dependent decrease of luciferase activity upon TNF-α stimulation (FIG. 31, right y-axis). The calculated EC<sub>50</sub> for inhibition of NF-κB/luciferase activity was ~7 nM. A cytotoxicity assay was simultaneously performed, and showed that this concentration of Salinosporamide A did not affect cell viability (FIG. 31, left y-axis). These representative data suggested that the observed decrease in luciferase activity by Salinosporamide A treatment was primarily due to an NF-κB mediated-signaling event rather than cell death.

#### Example 27

- [0336] In addition to the NF-κB luciferase reporter gene assay, the effect of Salinosporamide A on the levels of phosphorylated-IκBα and total IκBα was evaluated by western blot. Endogenous protein levels were assessed in both HEK293 cells and the NF-κB/Luc 293 reporter clone.
- [0337] Cells were pre-treated for 1 hour with Salinosporamide A at the indicated concentrations followed by stimulation with 10 ng/mL of TNF- $\alpha$  for 30 minutes. Antibodies against total and phosphorylated forms of IkB $\alpha$  were used to determine the endogenous level of each protein and anti-Tubulin antibody was used to confirm equal loading of protein.
- [0338] As shown in FIG. 32, treatment of both cell lines with Salinosporamide A at 50 and 500 nM not only reduced the degradation of total  $I\kappa B\alpha$  but also retained the phospho- $I\kappa B\alpha$  level when stimulated with TNF- $\alpha$ . These results strongly support the mechanism of action of Salinosporamide A as a proteasome inhibitor, which prevents the degradation of phosphorylated  $I\kappa B\alpha$  upon TNF- $\alpha$  stimulation.

#### Example 28

#### Effect of Salinosporamide A on Cell Cycle Regulatory Proteins

[0339] The ubiquitin-proteasome pathway is an essential proteolytic system involved in cell cycle control by regulating the degradation of cyclins and cyclin-dependent kinase (Cdk) inhibitors such as p21 and p27. Pagano, et al., Science 269:682 (1995), Kisselev, et al., Chem Biol 8:739 (2001), King, et al., Science 274:1652 (1996).

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Furthermore, p21 and p27 protein levels are increased in the presence of proteasome inhibitors. Fukuchi, et al., Biochim Biophys Acta 1451:206 (1999), Takeuchi, et al., Jpn J Cancer Res 93:774 (2002). Therefore, western blot analysis was performed to evaluate the effect of Salinosporamide A treatment on endogenous levels of p21 and p27 using the HEK293 cells and the HEK293 NF-κB/Luciferase reporter clone.

- [0340] The Western blots presented in FIG. 33 were reprobed using antibodies against p21 and p27 to determine the endogenous level of each protein and anti-Tubulin antibody was used to confirm equal loading of protein.
- [0341] As shown in FIG. 33A and 33B, preliminary results indicated that p21 and p27 protein levels were elevated when both cell lines were treated with Salinosporamide A at various concentrations. Data showed that Salinosporamide A acts by inhibiting proteasome activity thereby preventing the TNF-α induced activation of NF-κB. In addition, this proteasomal inhibition results in the accumulation of the Cdk inhibitors, p21 and p27, which has been reported to sensitize cells to apoptosis. Pagano, et al., supra (1995), King, et al., supra (1996).

#### Example 29

#### Activation of Caspase-3 by Salinosporamide A (II-16)

- [0342] To address whether Salinosporamide A induces apoptosis, its effect on the induction of Caspase-3 activity was evaluated using Jurkat cells (American Type Culture Collection (ATCC) TIB-152, human acute T cell leukemia).
- [0343] Jurkat cells were plated at 2 X10<sup>6</sup> cells / 3 mL per well in a 6-well plate and incubated at 37°C, 5% (v/v) CO<sub>2</sub> and 95% (v/v) humidity. Salinosporamide A and Mitoxantrone (Sigma, St. Louis, MO. Cat # M6545), were prepared in DMSO at stock concentrations of 20 mM and 40 mM, respectively. Mitoxantrone is a chemotherapeutic drug that induces apoptosis in dividing and non-dividing cells via inhibition of DNA synthesis and repair and was included as a positive control. Bhalla, *et al.*, *Blood* 82:3133 (1993). Cells were treated with EC<sub>50</sub> concentrations (Table 5) and incubated 19 hours prior to assessing of Caspase-3 activity. Cells treated with 0.25% DMSO served as the negative control. The cells were collected by centrifugation and the media removed. Cell pellets were processed for the Caspase-3 activity assay as described in the manufacturer's protocol (EnzChek Caspase-3 Assay Kit from Molecular Probes (E-13183; see Appendix G, which form a part of this application and is also available at hypertext transfer protocol on the

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worldwide web at "probes.com/media/pis/mp13183.pdf.". In brief, cell pellets were lysed on ice, mixed with the EnzChek Caspase-3 components in a 96-well plate, and then incubated in the dark for 30 minutes prior to reading fluorescence of cleaved benzyloxycarbonyl-DEVD-AMC using a Packard Fusion with  $\lambda_{ex}=485$  nm and  $\lambda_{em}=530$  nm filters. Protein concentrations for lysates were determined using the BCA Protein Assay Kit (Pierce) and these values were used for normalization.

[0344] Data from representative experiments indicate that Salinosporamide A treatment of Jurkat cells results in cytotoxicity and activation of Caspase-3 (Table 5, FIG. 34).

Table 5: EC50 Values of Salinosporamide A and Mitoxantrone Cytotoxicity against Jurkat
Cells

	Jurkat Cells			
Compound	EC <sub>50</sub> (nM)	% max cell kill		
Salinosporamide A	10	97		
Mitoxantrone	50	99		

# Example 30 PARP Cleavage by Salinosporamide A in Jurkat Cells

[0345] In order to assess the ability of Salinosporamide A to induce apoptosis in Jurkat cells, cleavage of poly (ADP-ribose) polymerase (PARP) was monitored. PARP is a 116 kDa nuclear protein that is one of the main intracellular targets of Caspase-3. Decker, et al., J Biol Chem 275:9043 (2000), Nicholson, D.W, Nat Biotechnol 14:297 (1996). The cleavage of PARP generates a stable 89 kDa product, and this process can be monitored by western blotting. Cleavage of PARP by caspases is a hallmark of apoptosis, and as such serves as an excellent marker for this process.

[0346] Jurkat cells were maintained in RPMI supplemented with 10% Fetal Bovine Serum (FBS) at low density (2x10<sup>5</sup> cells per mL) prior to the experiment. Cells were harvested by centrifugation, and resuspended in media to 1 X 10<sup>6</sup> cells per 3 mL. Twenty mL of the cell suspension were treated with 100 nM Salinosporamide A (20 mM DMSO stock stored at -80°C), and a 3 mL aliquot removed and placed on ice for the T<sub>0</sub> sample. Three mL aliquots of the cell suspension plus Salinosporamide A were placed in 6-well dishes and returned to the incubator. As a positive control for PARP cleavage, an identical cell suspension was treated with 350 nM Staurosporine, a known apoptosis inducer (Sigma S5921, 700 μM DMSO stock stored at -20°C). Samples were removed at 2,

PCT/US2004/019543

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WO 2005/002572

4, 6, 8, and 24 hrs in the case of Salinosporamide A treated cells, and at 4 hrs for the Staurosporine control. For each time point, the cells were recovered by brief centrifugation, the cells were washed with 400 μL of PBS, and the cells pelleted again. After removal of the PBS, the pellets were stored at -20°C prior to SDS PAGE. Each cell pellet was resuspended in 100 μL of NuPAGE sample buffer (Invitrogen 46-5030) and 10 μL of each sample were separated on 10% NuPAGE BIS-Tris gels (Invitrogen NB302). After electrotransfer to nitrocellulose, the membrane was probed with a rabbit polyclonal antibody to PARP (Cell Signaling 9542), followed by goat anti-rabbit alkaline phosphatase conjugated secondary antibody (Jackson 11-055-045). Bound antibodies were detected colorimetrically using BCIP/NBT (Roche 1681451).

[0347] The western blot presented in FIG. 35 shows the cleavage of PARP within the Jurkat cells in a time-dependent fashion. The cleaved form (denoted by the asterisk, \*) appears in the treated cells between 2 and 4 hrs after exposure to Salinosporamide A while the majority of the remaining PARP is cleaved by 24 hrs. The Staurosporine treated cells (St) show rapid cleavage of PARP with most of this protein being cleaved within 4 hours. These data strongly suggest that Salinosporamide A can induce apoptosis in Jurkat cells.

#### Example 31

#### Anti-Anthrax activity

[0348] In order to assay for the ability of Salinosporamide A or other compounds to prevent cell death resulting from LeTx exposure, RAW264.7 macrophage-like cells and recombinant LF and PA lethal toxin components were used as an *in vitro* model system assaying for cytotoxicity, as described below.

[0349] RAW264.7 cells (ATCC # TIB-71) were adapted to and maintained in Advanced Dulbecco's Modified Eagle Medium (Invitrogen, Carlsbad, CA) supplemented with 5% fetal bovine serum (ADMEM, Mediatech, Herndon, VA) at 37°C in a humidified 5% CO<sub>2</sub> incubator. Cells were plated overnight in ADMEM supplemented with 5% FBS at 37°C in a humidified 5% CO<sub>2</sub> incubator at a concentration of 50,000 cells/well in a 96-well plate. Alternatively, cells cultured in DMEM supplemented with 10% fetal calf serum were also used and found to be amenable to this assay. Media was removed the following morning and replaced with serum-free ADMEM with or without Salinosporamide A or Omuralide at doses ranging from 1 µM to 0.5 nM for an 8-point dose-response. The compounds were prepared from a 1 mg/mL DMSO stock solution and diluted to the final

concentration in ADMEM. After a 15 minute pre-incubation, 200 ng/mL LF or 400 ng/mL PA alone or in combination (LeTx) were added to cells. Recombinant LF and PA were obtained from List Biological Laboratories and stored as 1 mg/mL stock solutions in sterile water containing 1mg/ml BSA at -80 °C as described by the manufacturer. Cells were incubated for 6 hours at 37°C, followed by addition of Resazurin as previously described. Plates were incubated an additional 6 hours prior to assessing cell viability by measuring fluorescence. The data are a summary of three experiments with three to six replicates per experiment and are expressed as the percent viability using the DMSO (negative) and the LeTx controls (positive) to normalize the data using the following equation: % viability = 100\*(observed OD –positive control)/ (negative control-positive control).

[0350] The data represented in FIG. 36 indicate that treatment with Salinosporamide A can prevent LeTx-induced cell death of macrophage-like RAW264.7 cells in vitro. Treatment of RAW cells with either LF or PA alone or Salinosporamide A alone resulted in little reduction in cell viability, whereas treatment with LeTx resulted in approximately 0.27% cell viability as compared to controls. Salinosporamide A may enhance macrophage survival by inhibiting the degradation of specific proteins and decreasing the synthesis of cytokines, which will ultimately lead to the inhibition of the lethal effects of anthrax toxins in vivo.

Although Salinosporamide A treatment alone produced very modest [0351] cytotoxicity at concentrations of 100 nM and above, treatment with lower, relatively nontoxic levels revealed a marked increase in RAW 264.7 cell viability in LeTx treated cells (FIG. 36). For example, the Salinosporamide A + LeTx treated group showed 82% cellviability when pretreated with 12 nM Salinosporamide A, which was a concentration that showed 96% viability with Salinosporamide A alone. The average EC<sub>50</sub> for Salinosporamide A in these studies was 3.6 nM. In contrast, Omuralide showed relatively little effect on cell viability until concentrations of 1  $\mu M$  were reached. Even at this high concentration of Omuralide, only 37% viability was observed indicating that Salinosporamide A is a more potent inhibitor of LeTx-induced RAW264.7 cell death. Consistent with these data, Tang et. al., Infect Immun 67:3055 (1999), found that the EC<sub>50</sub> concentrations for MG132 and Lactacystin (the precursor to Omuralide) in the LeTx assay were 3 µM. Taken together, these data further illustrate that Salinosporamide A is a more potent inhibitor of LeTx-induced cytotoxicity than any other compound described to date.

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[0352] Salinosporamide A promoted survival of RAW264.7 cells in the presence of LeTx indicating that this compound or it's derivatives may be a valuable clinical therapeutic for anthrax. In addition, it is worth noting that Salinosporamide A is much less cytotoxic on RAW 264.7 cells than for many tumor cells.

#### Example 32

# Activity of Salinosporamide A against Multiple Myeloma and Prostate Cancer Cell lines

[0353] NF-κB appears to be critical to the growth and resistance to apoptosis in Multiple Myeloma and has also been reported to be constitutively active in various prostate cancer cell lines (Hideshima T *et al.* 2002, Shimada K *et al.* 2002 and Palayoor ST *et al.* 1999). NF-κB activity is regulated by the proteasomal degradation of its inhibitor IκBα. Since Salinosporamide A has been shown to inhibit the proteasome *in vitro* and to interfere with the NF-κB signaling pathway, the activity of Salinosporamide A against the multiple myeloma cell line RPMI 8226 and the prostate cancer cell lines PC-3 and DU 145 was evaluated.

[0354]  $EC_{50}$  values were determined in standard growth inhibition assays using Resazurin dye and 48 hour of drug exposure. Results from 2-5 independent experiments (Table 6) show that the  $EC_{50}$  values for Salinosporamide A against RPMI 8226 and the prostate cell lines range from 10-37nM.

<u>Table 6: EC<sub>50</sub> values of Salinosporamide A (II-16) against Multiple Myeloma and Prostate</u>

<u>Tumor cell lines</u>

Compound	RPMI 8226 (n=5)		DU 145 (n=3)		PC-3 (n=2)	
	EC <sub>50</sub> (nM), mean ± SD	% cytotoxicity, mean ± SD	EC <sub>50</sub> (nM), mean ± SD	% cytotoxicity, mean ± SD	EC <sub>50</sub> (nM)	% cytotoxicity
Salinosporamide A	10 ± 3	94 ± 1	$37 \pm 10$	75 ± 4	31, 25	88, 89

[0355] The ability of Salinosporamide A to induce apoptosis in RPMI 8226 and PC-3 cells was evaluated by monitoring the cleavage of PARP and Pro-Caspase 3 using western blot analysis. Briefly, PC-3 and RPMI 8226 cells were treated with 100nM Salinosporamide A (2345R01) for 0, 8 or 24 hours. Total protein lysates were made and 20µg of the lysates were then resolved under reducing/denaturing conditions and blotted

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onto nitrocellulose. The blots were then probed with anti-PARP or anti-caspase 3 antibodies followed by stripping and reprobing with an anti-actin antibody.

[0356] Results of these experiments illustrate that Salinosporamide A treatment of RPMI 8226 cells leads to the cleavage of PARP and Pro-caspase 3 in a time-dependent manner (FIG. 37). RPMI 8226 cells seem to be more sensitive to Salinosporamide A than PC-3 cells since the induction of PARP cleavage is already noticeable at 8 hours and complete by 24 hours. In contrast, in PC-3 cells the cleavage of PARP is noticeable at 24 hours, while the cleavage of Pro-Caspase 3 is not detected in this experiment (FIG. 37).

[0357] RPMI 8226 cells were used to evaluate the effect of treating the cells for 8 hours with various concentrations of Salinosporamide A. Briefly, RPMI 8226 cells were treated with varying concentrations of Salinosporamide A (2345R01) for 8 hours and protein lysates were made. 25µg of the lysates were then resolved under reducing/denaturing conditions and blotted onto nitrocellulose. The blots were then probed with anti-PARP or anti-caspase 3 antibodies followed by stripping and reprobing with an anti-actin antibody. FIG. 38 demonstrates that Salinosporamide A induces a dose-dependent cleavage of both PARP and Pro-Caspase 3.

#### **EXAMPLE 33**

Growth inhibition of human multiple myeloma by Formulae II-2, II-3, II-4, II-5A, II-5B, II-8C, II-13C, II-16, II-17, II-18, II-19, II-20, and IV-3C; RPMI 8226 and U266 cells

[0358] The human multiple myeloma cell lines, RPMI 8226 (ATCC; CCL-155) and U266 (ATCC; TIB-196) were maintained in appropriate culture media. The cells were cultured in an incubator at 37 °C in 5% CO<sub>2</sub> and 95% humidified air.

[0359] For cell growth inhibition assays, RPMI 8226 cells and U266 were seeded at 2x10<sup>4</sup> and 2.5x10<sup>4</sup> cells/well respectively in 90μl complete media into Corning 3904 black-walled, clear-bottom tissue culture plates. 20mM stock solutions of the compounds were prepared in 100% DMSO, aliquoted and stored at -80°C. The compounds were serially diluted and added in triplicate to the test wells. The final concentration range of Formula II-3, II-8C, II-5B, II-13C, II-17, IV-3C and II-20 were from 20μM to 6.32nM. The final concentration of Formula II-16, II-18 and II-19 ranged from 632nM to 200pM. The final concentration range of Formula II-2, II-4 and II-5A were from 2μM to 632pM. The final concentration of DMSO was 0.25% in all samples.

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[0360] Following 48 hours of drug exposure,  $10\mu$ l of 0.2mg/ml resazurin (obtained from Sigma-Aldrich Chemical Co.) in  $Mg^{2+}$ ,  $Ca^{2+}$  free phosphate buffered saline was added to each well and the plates were returned to the incubator for 3-6 hours. Since living cells metabolize Resazurin, the fluorescence of the reduction product of Resazurin was measured using a Fusion microplate fluorometer (Packard Bioscience) with  $\lambda_{ex} = 535$  nm and  $\lambda_{em} = 590$  nm filters. Resazurin dye in medium without cells was used to determine the background, which was subtracted from the data for all experimental wells. The data were normalized to the average fluorescence of the cells treated with media + 0.25% DMSO (100% cell growth) and EC<sub>50</sub> values (the drug concentration at which 50% of the maximal observed growth inhibition is established) were determined using a standard sigmoidal dose response curve fitting algorithm (generated by XLfit 3.0, ID Business Solutions Ltd). The data are summarized in Tables 13 and 15.

#### Example 34

### Salinosporamide A (II-16) retains activity against the multi-drug resistant cell lines MES-SA/Dx5 and HL-60/MX2

[0361] The EC<sub>50</sub> values of Salinosporamide A against the human uterine sarcoma MES-SA cell line and its multidrug-resistant derivative MES-SA/Dx5 were determined to evaluate whether Salinosporamide A retains activity against a cell line overexpressing the P-glycoprotein efflux pump. Paclitaxel, a known substrate for the P-glycoprotein pump was included as a control.

Table 7: EC<sub>50</sub> values of Salinosporamide A against MES-SA and the drug-resistant derivative MES-SA/Dx5

	M	ES-SA	MES-SA/Dx5		Fold	
	EC <sub>50</sub> (nM),	% cytotoxicity,	EC <sub>50</sub> (nM),	% cytotoxicity,	change	
	mean	mean $\pm$ SD	mean ± SD	mean ± SD		
Salinospo ramide A	20 ± 5	94 ± 1	23 ± 1	92 ± 2	1.2	
Paclitaxel	5 ± 2	63 ± 7	$2040 \pm 150$	$78 \pm 1$	408	

[0362] Results from these growth inhibition assays (Table 7) show that, as expected, Paclitaxel did not retain its activity against MES-SA/Dx5 cells as reflected by the 408 fold increase in the EC<sub>50</sub> values. EC<sub>50</sub> values for Salinosporamide A against MES-SA and MES-SA/Dx5 were similar. This illustrates that Salinosporamide A is able to inhibit

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the growth of the multi-drug resistant cell line MES-SA/Dx5 suggesting that Salinosporamide A does not seem to be a substrate for the P-glycoprotein efflux pump.

[0363] In addition, Salinosporamide A was evaluated against HL-60/MX2, the drug resistant derivative of the human leukemia cell line, HL-60, characterized by having a reduced Topoisomerase II activity and considered to have atypical multidrug resistance. EC<sub>50</sub> values for growth inhibition were determined for Salinosporamide A against the HL-60 and HL-60/MX2. The DNA binding agent Mitoxantrone was included as a control, as HL-60/MX2 cells are reported to be resistant to this chemotherapeutic agent (Harker W.G. et al. 1989).

<u>Table 8: EC<sub>50</sub> values of Salinosporamide A against HL-60 and the drug resistant derivative</u>

<u>HL-60/MX2</u>

	Н	L-60	HL-60/MX2		Fold
	EC <sub>50</sub> (nM)	% cytotoxicity	EC <sub>50</sub> (nM)	% cytotoxicity	change
Salinospora mide A	27, 30	88, 91	28, 25	84, 89	1.0, 0.8
Mitoxantrone	59, 25	98, 100	1410, 827	98, 99	24, 33

[0364] The data in Table 8 reveals that Salinosporamide A was able to retain its activity against HL-60/MX2 cells relative to HL-60 cells, indicating that Salinosporamide A is active in cells expressing reduced Topoisomerase II activity. In contrast, Mitoxantrone was about 29 fold less active against HL-60/MX2 cells.

#### Example 35

#### Salinosporamide A and several analogs: Structure Activity Relationship

[0365] To establish an initial structure activity relationship (SAR) for Salinosporamide A, a series of Salinosporamide A analogs were evaluated against the multiple myeloma cell line RPMI 8226. EC<sub>50</sub> values were determined in standard growth inhibition assays using Resazurin dye and 48 hour of drug exposure.

[0366] The results of this initial series of SAR (Table 9) indicate that the addition of a halogen group to the ethyl group seems to enhance the cytotoxic activity.

#### WO 2005/002572

#### PCT/US2004/019543

Table 9: Initial SAR series of Salinosporamide A

Compound No.	Molecular Structure	EC <sub>50</sub> , μM (mean± SD)	% Cytotoxicity (mean ± SD)
II-16	H OH OH OH OH OH	0.007 ± 0.0001	94 ± 0
II-17	H. HOHOO CH <sub>3</sub>	2.6, 2.3	94, 95
II-18	H. Hoh	0.017, 0.022	94, 94

Where n>2, mean  $\pm$  standard deviation was determined

#### Example 36

#### In vivo biology

#### Maximum Tolerated Dose (MTD) Determination

[0367] In vivo studies were designed to determine the MTD of Salinosporamide A when administered intravenously to female BALB/c mice.

[0368] BALB/c mice were weighed and various Salinosporamide A concentrations (ranging from 0.01 mg/kg to 0.5 mg/kg) were administered intravenously as -109-

PCT/US2004/019543

http://www.patentlens.net/

WO 2005/002572

a single dose (qdx1) or daily for five consecutive days (qdx5). Animals were observed daily for clinical signs and were weighed individually twice weekly until the end of the experiment (maximum of 14 days after the last day of dosing). Results are shown in Table 11 and indicate that a single intravenous Salinosporamide A dose of up to 0.25 mg/kg was tolerated. When administered daily for five consecutive days, concentrations of Salinosporamide A up to 0.1mg/kg were well tolerated. No behavioral changes were noted during the course of the experiment.

Table 11: MTD Determination of Salinosporamide A in female BALB/c Mice

Group	Dose (mg/kg)	Route/Schedule	Deaths/Total	Days of Death
1	0.5	i.v.; qdx1	3/3	3, 3,4
2	0.25	i.v.; qdx1	0/3	-, -, .
3	0.1	i.v.; qdx1	0/3	
4	0.05	i.v.; qdx1	0/3	
5	0.01	i.v.; qdx1	0/3	
6	0	i.v.; qdx1	0/3	
7	0.5	i.v.; qdx5	3/3	4, 6, 7
8	0.25	i.v.; qdx5	3/3	4, 5, 5
9	0.1	i.v.; qdx5	0/3	1, 5, 5
10	0.05	i.v.; qdx5	0/3	
11	0.01	i.v.; qdx5	0/3	
12	0	i.v.; qdx5	0/3	

#### Example 37

# Preliminary Assessment of Salinosporamide A Absorption, Distribution, Metabolism and Elimination (ADME) characteristics

[0369] Studies to initiate the evaluation of the ADME properties of Salinosporamide A were performed. These studies consisted of solubility assessment, LogD<sup>7,4</sup> determination and a preliminary screen to detect cytochrome P450 enzyme inhibition. Results from these studies showed an estimated solubility of Salinosporamide A in PBS (pH 7.4) of 9.6μM (3μg/ml) and a LogD<sup>7,4</sup> value of 2.4. This LogD<sup>7,4</sup> value is within the accepted limits compatible with drug development (LogD<sup>7,4</sup> <5.0) and suggests oral availability. Results from the preliminary P450 inhibition screen showed that Salinosporamide A, when tested at 10μM, showed no or low inhibition of all P450 isoforms: CYP1A2, CYP2C9 and CYP3A4 were inhibited by 3%, 6% and 6% respectively, while CYP2D6 and CYP2C19 were inhibited by 19% and 22% respectively.

#### Example 38

Salinosporamide A and its effects in vivo on whole blood proteasome activity

[0370] Salinosporamide A was previously demonstrated to be a potent and specific inhibitor of the proteasome *in vitro*, with an IC<sub>50</sub> of 2 nM towards the chymotrypsin-like activity of purified 20S proteasomes. To monitor the activity of Salinosporamide A *in vivo*, a rapid and reproducible assay (adapted from Lightcap *et al.* 2000) was developed to assess the proteosome activity in whole blood.

[0371] In brief, frozen whole blood samples were thawed on ice for one hour, and resuspended in 700 µL of ice cold 5 mM EDTA, pH 8.0 in order to lyse the cells by hypotonic shock. This represents approximately 2-3 times the volume of the packed whole blood cells. Lysis was allowed to proceed for one hour, and the cellular debris was removed by centrifugation at 14,000 X g for 10 minutes. The supernatant (Packed Whole Blood Lysate, PWBL) was transferred to a fresh tube, and the pellet discarded. Protein concentration of the PWBL was determined by BCA assay (Pierce) using BSA as a standard. Approximately 80% of the samples had a total protein concentration between 800 and 1200 µg/mL.

[0372] Proteasome activity was determined by measuring the hydrolysis of a fluorogenic substrate specific for the chymotrypsin-like activity of proteasomes (suc-LLVY-AMC, Bachem Cat. I-1395). Control experiments indicated that >98% of the hydrolysis of this peptide in these extracts is mediated by the proteasome. Assays were set up by mixing 5 μL of a PWBL from an animal with 185 μL of assay buffer (20 mM HEPES, 0.5 mM EDTA, 0.05% Triton X-100, 0.05% SDS, pH 7.3) in Costar 3904 plates. Titration experiments revealed there is a linear relationship between protein concentration and hydrolysis rate if the protein concentration in the assay is between 200 and 1000 µg. The reactions were initiated by the addition of 10 µL of 0.4 mM suc-LLVY-AMC (prepared by diluting a 10 mM solution of the peptide in DMSO 1:25 with assay buffer), and incubated in a fluorometer (Labsystems Fluoroskan) at 37°C. Hydrolysis of the substrate results in the release of free AMC, which was measured fluorometrically by using  $\lambda_{ex} = 390$ nm and  $\lambda_{em} = 460$  nm. The rate of hydrolysis in this system is linear for at least one hour. The hydrolysis rate of each sample is then normalized to relative fluorescent units per milligram of protein (RFU/mg).

Webster mice (5 per group, 20-25g in weight) were treated with various concentrations of Salinosporamide A. Salinosporamide A was administered intravenously and given its LogD<sup>7.4</sup> value of 2.4, suggestive of oral availability, Salinosporamide A was also administered orally. Salinosporamide A dosing solutions were generated immediately prior to administration by dilution of Salinosporamide A stock solutions (100% DMSO) using 10% solutol yielding a final concentration of 2% DMSO. The vehicle control consisted of 2% DMSO in 10% solutol. One group of animals was not dosed with either vehicle or Salinosporamide A in order to establish a baseline for proteasome activity. Salinosporamide A or vehicle was administered at 10 mL/kg and ninety minutes after administration the animals were anesthetized and blood withdrawn by cardiac puncture. Packed whole blood cells were collected by centrifugation, washed with PBS, and recentrifuged. All samples were stored at -80°C prior to the evaluation of the proteasome activity.

[0374] In order to be certain that the hydrolysis of the substrate observed in these experiments was due solely to the activity of the proteasome, dose response experiments on the extracts were performed using the highly specific proteasomal inhibitor Epoxomicin. PWBL lysates were diluted 1:40 in assay buffer, and 180 μL were added to Costar 3904 plates. Epoxomicin (Calbochem Cat. 324800) was serially diluted in DMSO to generate an eight point dose response curve, diluted 1:50 in assay buffer, and 10 μL added to the diluted PWBL in triplicate. The samples were preincubated for 5 minutes at 37°C, and the reactions initiated with substrate as above. The dose response curves were analyzed in Prism, using a sigmoidal dose response with variable slope as a model.

[0375] FIG. 40 is a scatter plot displaying the normalized proteasome activity in PWBL's derived from the individual mice (5 mice per group). In each group, the horizontal bar represents the mean normalized activity. These data show that Salinosporamide A causes a profound decrease in proteasomal activity in PWBL, and that this inhibition is dose dependent. In addition, these data indicate that Salinosporamide A is active upon oral administration.

[0376] The specificity of the assay was shown by examining the effect of a known proteasome inhibitor, Epoxomicin, on hydrolysis of the peptide substrate. Epoxomicin is a peptide epoxide that has been shown to highly specific for the proteasome, with no inhibitory activity towards any other known protease (Meng et al.,1999). Lysates

from a vehicle control and also from animals treated intravenous (i.v.) with 0.1 mg/kg Salinosporamide A were incubated with varying concentration of Epoxomicin, and IC<sub>50</sub> values were determined. Palayoor *et al.*, *Oncogene* 18:7389-94 (1999). As shown in FIG. 41, Epoxomicin caused a dose dependent inhibition in the hydrolysis of the proteasome substrate. The IC<sub>50</sub> obtained in these experiments matches well with the 10 nM value observed using purified 20S proteasomes *in vitro* (not shown). These data also indicate that the remaining activity towards this substrate in these lysates prepared from animals treated with 0.1 mg/kg Salinosporamide A is due to the proteasome, and not some other protease. The residual activity seen in extracts treated with high doses of Epoxomicin is less than 2% of the total signal, indicating that over 98% of the activity observed with suc-LLVY-AMC as a substrate is due solely to the activity of the proteasomes present in the PWBL.

[0377] Comparison of intra-run variation in baseline activity and the ability of Salinosporamide A to inhibit proteasomal activity was also assessed. In FIG. 42, the results of separate assays run several weeks apart are shown. Qureshi, et al., J. Immunol. 171(3):1515-25 (2003). For clarity, only the vehicle control and matching dose results are shown. While there was some variation in the proteasomal activity in PWBL derived from individual animals in the control groups, the overall mean was very similar between the two groups. The animals treated with Salinosporamide A (0.1 mg/kg i.v.) also show very similar residual activity and average inhibition. This suggests that results between assays can be compared with confidence.

#### Example 39

#### Inhibition of in vivo LPS-induced TNF by Salinosporamide A

[0378] Studies suggest that the proteasome plays a role in the activation of many signaling molecules, including the transcription factor NF-κB via protealytic degradation of the inhibitor of NF-κB (IκB). LPS signaling through the TLR4 receptor activates NF-κB and other transcriptional regulators resulting in the expression of a host of proinflammatory genes like TNF, IL-6, and IL-1β. The continued expression of proinflammatory cytokines has been identified as a major factor in many diseases. Inhibitors of TNF and IL-1β have shown efficacy in many inflammation models including the LPS murine model, as well as animal models of rheumatoid arthritis and inflammatory bowel disease. Recent studies have suggested that inhibition of the proteasome can prevent LPS-induced TNF secretion (Qureshi *et al.*, 2003). These data suggest that Salinosporamide A, a novel potent

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proteasome inhibitor, may prevent TNF secretion in vivo in the high-dose LPS murine model.

[0379] To assess the ability of Salinosporamide A to inhibit *in vivo* LPS-induced plasma TNF levels in mice, *in vivo* studies were initiated at BolderBioPATH, Inc. in Boulder, CO. The following methods outline the protocol design for these studies.

[0380] Male Swiss Webster mice (12/group weighing 20-25g) were injected with LPS (2mg/kg) by the i.p. route. Thirty minutes later, mice were injected i.v. (tail vein) with Salinosporamide A at 2.5 mg/kg after approximately 5 minutes under a heat lamp. Ninety minutes after LPS injection, the mice were anesthetized with Isoflurane and bled by cardiac puncture to obtain plasma. Remaining blood pellet was then resuspended in 500 μL of PBS to wash away residual serum proteins and centrifuged again. Supernatant was removed and blood pellet frozen for analysis of proteasome inhibition in packed whole blood lysate.

Table 12

				Time
Group ID	Group	n=	0 min	+30 min
No injections / baseline	1	5		
Saline + solutol vehicle	2	5	saline	
Saline + solutol vehicles	3	5	saline	Solutol/DMSO
LPS i.p./ Vehicle (-30min)	4	12	LPS	
LPS i.p./ Vehicle (+30m)	5	12	LPS	Solutol/DMSO
saline/ Salinosporamide A (-30min) 0.25 mg/kg	6	12	saline	
saline/ Salinosporamide A (+30m) 0.25 mg/kg	7	12	saline	0.25 mg/kg
LPS / Salinosporamide A (-30min) 0.25 mg/kg	8	12	LPS	
LPS / Salinosporamide A (+30m) 0.25 mg/kg	9	12	LPS	0.25 mg/kg

[0381] Dosing solutions were prepared using a 10mg/mL Salinosporamide A stock solution in 100% DMSO. A 10% solutol solution was prepared by diluting w/w with endotoxin-free water and a 1:160 dilution was made of the 10mg/ml Salinosporamide A stock. Animals were dosed i.v. with 4ml/kg. A vehicle control solution was also prepared by making the same 1:160 dilution with 100% DMSO into 10% solutol solution giving a final concentration of 9.375% solutol in water and 0.625% DMSO. Measurements of plasma TNF were performed using the Biosource mTNF Cytoset kit (Biosource Intl.,

WO 2005/002572 PCT/US2004/019543

Camarillo, CA; catalog # CMC3014) according to manufacturer's instructions. Samples were diluted 1:60 for the assay.

[0382] Data from two independent experiments with at least ten replicate animals per group indicated that treatment with 0.125 or 0.25 mg/kg Salinosporamide A decreased LPS-induced TNF secretion *in vivo*. A representative experiment is shown in FIG. 43. These data reveal that treatment of animals with 0.25 mg/kg Salinosporamide A thirty minutes after 2 mg/kg LPS injection resulted in significant reduction in serum TNF levels. Packed whole blood samples were also analyzed for *ex vivo* proteasome inhibition revealing 70±3% inhibition in animals treated with 0.125 mg/kg and 94± 3% in animals treated with 0.25 mg/kg. No significant differences were seen in proteasome inhibition in animals treated with or without LPS. Salinosporamide A reduces LPS-induced plasma TNF levels by approximately 65% when administered at 0.125 or 0.25 mg/kg i.v. into mice 30 minutes post-LPS treatment.

#### Example 40

## Potential In Vitro Chemosensitizing Effects of Salinosporamide A

[0383] Chemotherapy agents such as CPT-11 (Irinotecan) can activate the transcription factor nuclear factor-kappa B (NF-κB) in human colon cancer cell lines including LoVo cells, resulting in a decreased ability of these cells to undergo apoptosis. Cusack, et al., Cancer Res 61:3535 (2001). In unstimulated cells, NF-κB resides in the cytoplasm in an inactive complex with the inhibitory protein IκB (inhibitor of NF-κB). Various stimuli can cause IκB phosphorylation by IκB kinase, followed by ubiquitination and degradation of IκB by the proteasome. Following the degradation of IκB, NF-κB translocates to the nucleus and regulates gene expression, affecting many cellular processes, including upregulation of survival genes thereby inhibiting apoptosis.

[0384] The recently approved proteasome inhibitor, Velcade<sup>TM</sup> (PS-341; Millennium Pharmaceuticals, Inc.), is directly toxic to cancer cells and can also enhance the cytotoxic activity of CPT-11 against LoVo cells *in vitro* and in a LoVo xenograft model by inhibiting proteasome induced degradation of IκB. Adams, J., Eur J Haematol 70:265 (2003). In addition, Velcade<sup>TM</sup> was found to inhibit the expression of proangiogenic chemokines/cytokines GRO-α and VEGF in squamous cell carcinoma, presumably through inhibition of the NF-κB pathway. Sunwoo, et al., Clin Cancer Res 7:1419 (2001). The

data indicate that proteasome inhibition may not only decrease tumor cell survival and growth, but also angiogenesis.

#### Example 41

# Growth inhibition of Colon, Prostate, Breast, Lung, Ovarian, Multiple Myeloma and Melanoma

[0385] Human colon adenocarcinoma (HT-29; HTB-38), prostate adenocarcinoma (PC-3; CRL-1435), breast adenocarcinoma (MDA-MB-231; HTB-26), non-small cell lung carcinoma (NCI-H292; CRL-1848), ovarian adenocarcinoma (OVCAR-3; HTB-161), multiple myeloma (RPMI 8226; CCL-155), multiple myeloma (U266; TIB-196) and mouse melanoma (B16-F10; CRL-6475) cells were all purchased from ATCC and maintained in appropriate culture media. The cells were cultured in an incubator at 37 °C in 5% CO<sub>2</sub> and 95% humidified air.

[0386] For cell growth inhibition assays, HT-29, PC-3, MDA-MB-231, NCI-H292, OVCAR-3 and B16-F10 cells were seeded at 5x10³, 5x10³, 1x10⁴, 4x10³, 1x10⁴ and 1.25x10³ cells/ well respectively in 90μl complete media into 96 well (Corning; 3904) black-walled, clear-bottom tissue culture plates and the plates were incubated overnight to allow cells to establish and enter log phase growth. RPMI 8226 and U266 cells were seeded at 2x10⁴ and 2.5x10⁴ cells/well respectively in 90μl complete media into 96 well plates on the day of the assay. 20mM stock solutions of the compounds were prepared in 100% DMSO and stored at -80°C. The compounds were serially diluted and added in triplicate to the test wells. Concentrations ranging from 6.32μM to 632pM were tested for II-2 and II-4. II-3 and II-17 were tested at concentrations ranging from 20μM to 6.32nM. Formula II-18 and II-19 were tested at concentrations ranging from 2μM to 200pM. Formula II-5A and Formula II-5B were tested at final concentrations ranging from 2μM to 632pM and 20μM to 6.32nM respectively. The plates were returned to the incubator for 48 hours. The final concentration of DMSO was 0.25% in all samples.

[0387] Following 48 hours of drug exposure,  $10\mu l$  of 0.2mg/ml resazurin (obtained from Sigma-Aldrich Chemical Co.) in  $Mg^{2+}$ ,  $Ca^{2+}$  free phosphate buffered saline was added to each well and the plates were returned to the incubator for 3-6 hours. Since living cells metabolize Resazurin, the fluorescence of the reduction product of Resazurin was measured using a Fusion microplate fluorometer (Packard Bioscience) with  $\lambda_{ex}=535$  nm and  $\lambda_{em}=590$  nm filters. Resazurin dye in medium without cells was used to determine

WO 2005/002572 PCT/US2004/019543

the background, which was subtracted from the data for all experimental wells. The data were normalized to the average fluorescence of the cells treated with media + 0.25% DMSO (100% cell growth) and EC<sub>50</sub> values (the drug concentration at which 50% of the maximal observed growth inhibition is established) were determined using a standard sigmoidal dose response curve fitting algorithm (XLfit 3.0, ID Business Solutions Ltd). Where the maximum inhibition of cell growth was less than 50%, an EC<sub>50</sub> value was not determined.

[0388] The data in Table 13 summarize the growth inhibitory effects of Formulae II-2, II-3, II-4, II-5A, II-5B, II-17, II-18 and II-19 against the human colorectal carcinoma, HT-29, human prostate carcinoma, PC-3, human breast adenocarcinoma, MDA-MB-231, human non-small cell lung carcinoma, NCI-H292, human ovarian carcinoma, OVCAR-3, human multiple myelomas, RPMI 8226 and U266 and murine melanoma B16-F10 cell lines.

EC<sub>20</sub> values of Formulae II-2, II-3, II-4, II-5A, II-5B, II-17, II-18 and II-19 against various tumor cell lines Table 13

								- Contract of the Contract of
Cell line		entri i i		EC50 (nM) *	M) *			
	П-2	II-3	II-4	И-5А	П-5В	T-17	П-18	П-19
HT-29	129±21	>20000	132±36	85	1070	>20000	18±7.8	13
PC-3	284±110	>20000	204±49	26	1330	>20000	35±5.6	27
MDA-MB-231	121±23	>20000	114±4	99	1040	5900±601	16±2.8	17
NCI-H292	322 395	>20000	192	06	>20000	>20000	29	31
OVCAR-3	188 251	>20000	>6320	IN	IN	>20000	>2000	ŢN
RPMI 8226	49	>20000	57	36	326 328	6200 3500	6.3	5.9
U266	39	>20000	39	10	118	1620 1710	4.2	3.2 3.4
B16-F10	194 180	>20000	163	LN	TN	10500	19 36	TN
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\* Where n=3, mean ± standard deviation is presented; NT = not tested

[0389] The EC<sub>50</sub> values indicate that the Formulae II-2, II-4 and II-18 were cytotoxic against the HT-29, PC-3, MDA-MB-231, NCI-H292, RPMI 8226, U266 and B16-F10 tumor cell lines. II-2 was also cytotoxic against the OVCAR-3 tumor cells. Formula II-17 was cytotoxic against MDA-MB-231, RPMI 8226, U266 and B16-F10 tumor cell lines. Formulae II-5A, II-5B and II-19 were cytotoxic against HT-29, PC-3, MDA-MB-231, RPMI 8226 and U266 tumor cells. Formula II-5A and II-19 were also cytotoxic against NCI-H292 tumor cells.

[0390] The data in Table 15 summarize the growth inhibitory effects of Formulae II-2, II-3, II-4, II-5A, II-5B, II-8C, II-13C, II-16, II-17, II-18, II-19, IV-3C and Formula II-20 against the human multiple myeloma cell lines, RPMI 8226 and U266.

<u>Table 15</u>

<u>Mean EC<sub>50</sub> values of Formulae II-2, II-3, II-4, II-5A, II-5B, II-8C, II-13C, II-16, II-17, II-18, II-19, IV-3C and Formula II-20 against RPMI 8226 and U266 cells</u>

Compound	RPMI 8226	U266
Compound	EC <sub>50</sub> (nM)	EC <sub>50</sub> (nM)
Formula II-17	4800	1670
Formula II-16	7.0	4.1
Formula II-18	6.3	4.2
Formula II-2	47	36
Formula II-3	>20000	>20000
Formula II-4	54	36
Formula II-5A	33	10
Formula II-5B	327	115
Formula II-8C	>20000	>20000
Formula II-13C	>20000	>20000
Formula II-19	6.5	3.3
Formula IV-3C	>20000	8020
Formula II-20 *	10500	3810

<sup>\*</sup> n=1

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[0391] The EC<sub>50</sub> values indicate that Formulae II-2, II-4, II-5A, II-5B, II-16, II-17, II-18, II-19 and II-20 were cytotoxic against RPMI 8226 and U266 cells. Formula IV-3C was cytotoxic against U266 cells

#### Example 42

## Growth inhibition of MES-SA, MES-SA/Dx5, HL-60 and HL-60/MX2 tumor cell lines

[0392] Human uterine sarcoma (MES-SA; CRL-1976), its multidrug resistant derivative (MES-SA/Dx5; CRL-1977), human acute promyelocytic leukemia cells (HL-60; CCL-240) and its multidrug resistant derivative (HL-60/MX2; CRL-2257) were purchased from ATCC and maintained in appropriate culture media. The cells were cultured in an incubator at 37 °C in 5% CO<sub>2</sub> and 95% humidified air.

[0393] For cell growth inhibition assays, MES-SA and MES-SA/Dx5 cells were both seeded at 3x10<sup>3</sup> cells/ well in 90μl complete media into 96 well (Corning; 3904) black-walled, clear-bottom tissue culture plates and the plates were incubated overnight to allow cells to establish and enter log phase growth. HL-60 and HL-60/MX2 cells were both seeded at 5x10<sup>4</sup> cells/ well in 90μl complete media into 96 well plates on the day of compound addition. 20mM stock solutions of the compounds were prepared in 100% DMSO and stored at -80°C. The compounds were serially diluted and added in triplicate to the test wells. Concentrations ranging from 6.32μM to 2nM were tested for II-2 and II-4. II-3 and II-17 were tested at concentrations ranging from 20μM to 6.32nM. Compound II-18 was tested at concentrations ranging from 2μM to 632pM. The plates were returned to the incubator for 48 hours. The final concentration of DMSO was 0.25% in all samples.

[0394] Following 48 hours of drug exposure,  $10\mu l$  of 0.2mg/ml resazurin (obtained from Sigma-Aldrich Chemical Co.) in  $Mg^{2+}$ ,  $Ca^{2+}$  free phosphate buffered saline was added to each well and the plates were returned to the incubator for 3-6 hours. Since living cells metabolize Resazurin, the fluorescence of the reduction product of Resazurin was measured using a Fusion microplate fluorometer (Packard Bioscience) with  $\lambda_{ex} = 535$  nm and  $\lambda_{em} = 590$  nm filters. Resazurin dye in medium without cells was used to determine the background, which was subtracted from the data for all experimental wells. The data were normalized to the average fluorescence of the cells treated with media + 0.25% DMSO (100% cell growth) and EC<sub>50</sub> values (the drug concentration at which 50% of the maximal observed growth inhibition is established) were determined using a standard sigmoidal dose response curve fitting algorithm (XLfit 3.0, ID Business Solutions Ltd).

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Where the maximum inhibition of cell growth was less than 50%, an EC<sub>50</sub> value was not determined.

[0395] The multidrug resistant MES-SA/Dx5 tumor cell line was derived from the human uterine sarcoma MES-SA tumor cell line and expresses elevated P-Glycoprotein (P-gp), an ATP dependent efflux pump. The data in Table 16 summarize the growth inhibitory effects of Formulae II-2, II-3, II-4, II-17 and II-18 against MES-SA and its multidrug resistant derivative MES-SA/Dx5. Paclitaxel, a known substrate of the P-gp pump was included as a control.

Table 16

EC<sub>50</sub> values of Formulae II-2, II-3, II-4, II-17 and II-18 against MES-SA and MES-SA/Dx5

tumor cell lines

Compound	EC:	Fold	
	MES-SA	MES-SA/Dx5	change *
II-2	193	220	
11-2,	155	138	1.0
II-3	>20000	>20000	
H-5	>20000	>20000	NA
П-4	163	178	
11-4	140	93	0.9
II-17	9230	9450	0.0
	12900	7530	0.8
П-18	22	32	1.0
	17	14	1.2
Paclitaxel	5.6	2930	700
2 4741147101	4.6	5210	798

<sup>\*</sup> Fold change = the ratio of EC<sub>50</sub> values (MES-SA/Dx5: MES-SA)

[0396] The EC<sub>50</sub> values indicate that II-2, II-4, II-17 and II-18 have cytotoxic activity against both MES-SA and MES-SA/Dx5 tumor cell lines. The multidrug resistant phenotype was confirmed by the observation that Paclitaxel was  $\sim$ 800 times less active against the resistant MES-SA/Dx5 cells.

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[0397] HL-60/MX2 is a multidrug resistant tumor cell line derived from the human promyelocytic leukemia cell line, HL-60 and expresses reduced topoisomerase II activity. The data presented in Table 17 summarize the growth inhibitory effects of Formulae II-2, II-3, II-4, II-17 and II-18 against HL-60 and its multidrug resistant derivative HL-60/MX2. Mitoxantrone, the topoisomerase II targeting agent was included as a control.

 $\frac{\text{Table 17}}{\text{EC}_{50} \text{ values of Formulae II-2, II-3, II-4, II-17 and II-18 against HL-60 and HL-60/MX2}}{\text{tumor cell lines}}$ 

Commound	EC <sub>5</sub>	Fold	
Compound	HL-60	HL-60/MX2	change *
П-2	237 176	142 133	0.7
П-3	>20000 >20000	>20000 >20000	NA
П-4	143 111	103 97	0.8
П-17	>20000	>20000	NA
П-18	27 23	19 18	0.7
Mitoxantrone	42 40	1340 1170	30.6

<sup>\*</sup> Fold change = the ratio of EC<sub>50</sub> values (HL-60/MX2 : HL-60)

[0398] The EC<sub>50</sub> values indicate that II-2, II-4 and II-18 retained cytotoxic activity against both HL-60 and HL-60/MX2 tumor cell lines. The multidrug resistant phenotype was confirmed by the observation that Mitoxantrone was  $\sim$ 30 times less active against the resistant HL-60/MX2 cells.

#### Example 43

Inhibition of NF-κB-mediated luciferase activity; HEK293 NF-κB/luciferase reporter cell line

[0399] The HEK293 NF-κB/luciferase reporter cell line is a derivative of the human embryonic kidney cell line (ATCC; CRL-1573) and carries a luciferase reporter gene under the regulation of 5X NF-κB binding sites. The reporter cell line was routinely

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maintained in complete DMEM medium (DMEM plus 10%(v/v)) Fetal bovine serum, 2mM L-glutamine, 10 mM HEPES and Penicillin/Streptomycin at 100 IU/ml and  $100\mu g/ml$ , respectively) supplemented with 250  $\mu g/ml$  G418. When performing the luciferase assay, the DMEM basal medium was replaced with phenol-red free DMEM basal medium and the G418 was omitted. The cells were cultured in an incubator at 37 °C in 5% CO2 and 95% humidified air.

[0400] For NF-κB-mediated luciferase assays, HEK293 NF-κB/luciferase cells were seeded at 1.5x10<sup>4</sup> cells/well in 90μl phenol-red free DMEM complete medium into Corning 3917 white opaque-bottom tissue culture plates. For Formula II-2, Formula II-4 and Formula II-5A, a 400μM starting dilution was made in 100% DMSO and this dilution was used to generate a 8-point half log dilution series. This dilution series was further diluted 40x in appropriate culture medium and ten μl aliquots were added to the test wells in triplicate resulting in final test concentrations ranging from 1 μM to 320 pM. For Formula II-3 and Formula II-5B, a 8mM starting dilution was made in 100% DMSO and the same procedure was followed as described above resulting in final test concentrations ranging from 20μM to 6.3nM. The plates were returned to the incubator for 1 hour. After 1 hr pretreatment, 10 μl of a 50 ng/ml TNF-α solution, prepared in the phenol-red free DMEM medium was added, and the plates were incubated for an additional 6 hr. The final concentration of DMSO was 0.25% in all samples.

[0401] At the end of the TNF-α stimulation, 100 μl of Steady Lite HTS luciferase reagent (Packard Bioscience) was added to each well and the plates were left undisturbed for 10 min at room temperature before measuring the luciferase activity. The relative luciferase units (RLU) were measured by using a Fusion microplate fluorometer (Packard Bioscience). The EC<sub>50</sub> values (the drug concentration at which 50% of the maximal relative luciferase unit inhibition is established) were calculated in Prism (GraphPad Software) using a sigmoidal dose response, variable slope model.

[0402] NF-κB regulates the expression of a large number of genes important in inflammation, apoptosis, tumorigenesis, and autoimmune diseases. Thus compounds capable of modulating or affecting NF-κB activity are useful in treating diseases related to inflammation, cancer, and autoimmune diseases, for example. In its inactive form, NF-κB complexes with IκB in the cytosol and upon stimulation, IκB is phosphorylated, ubiquitinated and subsequently degraded by the proteasome. The degradation of IκB leads

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to the activation of NF- $\kappa$ B and its translocation to the nucleus. The effects of Formula II-2, Formula II-3, Formula II-4, Formula II-5A and Formula II-5B on the activation of NF- $\kappa$ B was evaluated by assessing the NF- $\kappa$ B-mediated luciferase activity in HEK293 NF- $\kappa$ B/Luc cells upon TNF- $\alpha$  stimulation.

[0403] Results from a representative experiment evaluating Formula II-2, Formula II-3 and Formula II-4 (FIG. 44) revealed that pretreatment with Formula II-2 and Formula II-4 resulted in a dose-dependent decrease of luciferase activity in NF- $\kappa$ B/Luc 293 cells upon TNF- $\alpha$  stimulation. The calculated EC<sub>50</sub> to inhibit NF- $\kappa$ B inducible luciferase activity in this experiment was 73 nM for Formula II-2, while EC<sub>50</sub> value for Formula II-4 was 67 nM. Similar data were observed in a replicate experiment.

[0404] Results from a representative experiment evaluating Formula II-5A and Formula II-5B are shown in FIG. 45 and illustrate that Formula II-5A and Formula II-5B inhibit NF-κB inducible luciferase activity with EC<sub>50</sub> values of 30 nM and 261 nM respectively. Similar data were observed in a replicate experiment.

#### Example 44

## In vitro Inhibition of Proteasome Activity by Formula II-2, Formula II-3, Formula II-4, Formula II-5A and Formula II-5B

[0405] Formula II-2, Formula II-3, Formula II-4, Formula II-5A and Formula II-5B were prepared as 20 mM stock solution in DMSO and stored in small aliquots at -80°C. Purified rabbit muscle 20S proteasome was obtained from CalBiochem. To enhance the chymotrypsin-like activity of the proteasome, the assay buffer (20 mM HEPES, pH7.3, 0.5 mM EDTA, and 0.05% Triton X100) was supplemented with SDS resulting in a final SDS concentration of 0.035%. The substrate used was sucLLVY-AMC, a fluorogenic peptide substrate specifically cleaved by the chymotrypsin-like activity of the proteasome. Assays were performed at a proteasome concentration of 1 µg/ml in a final volume of 200 µl in 96well Costar microtiter plates. Formula II-2 and Formula II-4 were tested as eight-point dose response curves with final concentrations ranging from 500 nM to 0.16 nM, while Formula II-3 was tested with final concentrations ranging from 10 μM to 3.2 nM. Formula II-5A and Formula II-5B were tested with final concentrations ranging from 1 µM to 0.32 nM. The samples were incubated at 37°C for five minutes in a temperature controlled plate reader. During the preincubation step, the substrate was diluted 25-fold in assay buffer supplemented with 0.035% SDS. After the preincubation period, the reactions were

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initiated by the addition of  $10 \mu l$  of the diluted substrate and the plates were returned to the plate reader. The final concentration of substrate in the reactions was  $20 \mu M$ . All data were collected every five minutes for more than 1.5 hour and plotted as the mean of triplicate data points. The EC<sub>50</sub> values (the drug concentration at which 50% of the maximal relative fluorescence unit is inhibited) were calculated by Prism (GraphPad Software) using a sigmoidal dose-response, variable slope model.

[0406] Results from a representative experiment evaluating Formula II-2, Formula II-3 and Formula II-4 are shown in FIG. 46 and illustrate that Formula II-2 and Formula II-4 inhibit the chymotrypsin-like activity of the proteasome with  $EC_{50}$  values of 18.5 nM and 15nM respectively. Formula II-3 is active in this assay with an  $EC_{50}$  value of 890 nM. Similar results were obtained from an independent experiment.

[0407] Results from a representative experiment evaluating Formula II-5A and Formula II-5B are shown in FIG. 47 and illustrate that Formula II-5A and Formula II-5B inhibit the chymotrypsin-like activity of the proteasome with EC<sub>50</sub> values of 6 nM and 88 nM respectively. Similar results were obtained in an independent experiment.

#### Example 45

#### Inhibition of Anthrax Lethal Toxin

[0408] Anthrax toxin is responsible for the symptoms associated with anthrax. In this disease, *B. anthracis* spores are inhaled and lodge in the lungs where they are ingested by macrophages. Within the macrophage, spores germinate, replicate, resulting in killing of the cell. Before killing occurs, however, infected macrophages migrate to the lymph nodes where, upon death, they release their contents, allowing the organism to enter the bloodsteam, further replicate, and secrete lethal toxins.

[0409] Two proteins called protective antigen (PA 83 kDa) and lethal factor (LF, 90 kDa), play a key role in the pathogenesis of anthrax. These proteins are collectively known as lethal toxin (LeTx). When combined, PA and LF cause death when injected intravenously in animals. Lethal toxin is also active in a few cell culture lines of macrophages causing cell death within a few hours. LeTx can induce both necrosis and apoptosis in mouse macrophage-like RAW264.7 cells upon *in vitro* treatment.

#### In Vitro Cell-Based Assay for Inhibitors of Lethal Toxin-Mediated Cytotoxicity

[0410] RAW264.7 cells (obtained from the American Type Culture Collection) were adapted to and maintained in RPMI-1640 medium supplemented with 10% fetal bovine serum, 2mM L-glutamine and 1% Penicillin/Streptomycin (complete medium) at

PCT/US2004/019543

http://www.patentlens.net/

WO 2005/002572

37°C in a humidified 5% CO<sub>2</sub> incubator. For the assay, cells were plated overnight in complete medium at a concentration of 50,000 cells/well in a 96-well plate. Media was removed the following day and replaced with serum-free complete medium with or without varying concentrations of Formulae II-2, II-3, II-4, II-5A, II-5B, II-13C, II-17, II-18 and IV-3C starting at 330nM and diluting at ½ log intervals for an 8-point dose-response. After a 45 minute preincubation, 1µg/ml LF and 1µg/ml PA alone or in combination (LF:PA, also termed lethal toxin (LeTx)) were added to cells. Recombinant LF and PA were obtained from List Biological Laboratories. Additional plates with no LeTx added were included as a control. Cells were then incubated for six hours followed by addition of 0.02 mg/ml resazurin dye (Molecular Probes, Eugene, OR) prepared in Mg++, Ca++ free PBS (Mediatech, Herndon, VA). Plates were then incubated an additional 1.5 hours prior to the assessment of cell viability. Since resazurin is metabolized by living cells, cytotoxicity or cell viability can be assessed by measuring fluorescence using 530 excitation and 590 emission filters. Data are expressed as the percent viability as compared to a DMSO alone control (high) and the LeTx alone control (low) using the following equation: Percent viability =100\*((Measured OD-low control)/(high control-low control)).

#### Inhibition of Anthrax Lethal Toxin-mediated Cytotoxicity in RAW 264.7 Cells

Formula II-4 against LeTx-mediated cytotoxicity of the RAW 264.7 murine macrophage-like cell line. Treatment of RAW 264.7 cells with Formula II-2 and Formula II-4 resulted in an increase in the viability of LeTx treated cells with EC<sub>50</sub> values of 14nM (FIG. 48). The EC<sub>50</sub> values for Formula II-3 for LeTx protection was not be determined at the concentrations tested (EC<sub>50</sub>>330nM, the maximum concentration evaluated). Data in Table 18 show the effects of Formulae II-5A, II-5B, II-13C, II-17, II-18 and IV-3C against LeTx-mediated cytotoxicity of the RAW 264.7 murine macrophage-like cell line. Treatment of RAW 264.7 cells with Formula II-5A and II-18 showed an increase in the viability of LeTx treated RAW 264.7 cells with EC<sub>50</sub> values of 3nM and 4nM respectively. Treatment with Formula II-17 and Formula II-5B resulted in an increase in the viability of LeTx treated cells with EC<sub>50</sub> values of 42nM and 45nM respectively. The EC<sub>50</sub> values for Formulae II-13C and IV-3C for LeTx protection could not be determined at the concentrations tested (EC<sub>50</sub>>330nM, the maximum concentration evaluated).

Table 18: EC<sub>50</sub> values for inhibition of RAW 264.7 cell cytotoxicity mediated by anthrax lethal toxin

Compound	EC <sub>50</sub> (nM)	
Formula II-17	42	•
Formula II-18	4	
Formula II-5A	3	
Formula II-5B	45	
Formula II-13C	>330nM	
Formula IV-3C	>330nM	

#### Example 46

#### Formulation to be Administered Orally or the Like

- [0412] A mixture obtained by thoroughly blending 1 g of a compound obtained and purified by the method of the embodiment, 98 g of lactose and 1 g of hydroxypropyl cellulose is formed into granules by any conventional method. The granules are thoroughly dried and sifted to obtain a granule preparation suitable for packaging in bottles or by heat sealing. The resultant granule preparations are orally administered at between approximately 100 ml/day to approximately 1000 ml/day, depending on the symptoms, as deemed appropriate by those of ordinary skill in the art of treating cancerous tumors in humans.
- [0413] The examples described above are set forth solely to assist in the understanding of the embodiments. Thus, those skilled in the art will appreciate that the methods may provide derivatives of compounds.
- [0414] One skilled in the art would readily appreciate that the present invention is well adapted to carry out the objects and obtain the ends and advantages mentioned, as well as those inherent therein. The methods and procedures described herein are presently representative of preferred embodiments and are exemplary and are not intended as limitations on the scope of the invention. Changes therein and other uses will occur to those skilled in the art which are encompassed within the spirit of the invention.
- [0415] It will be readily apparent to one skilled in the art that varying substitutions and modifications may be made to the embodiments disclosed herein without departing from the scope and spirit of the invention.

....WO 2005/002572 PCT/US2004/019543

[0416] All patents and publications mentioned in the specification are indicative of the levels of those skilled in the art to which the invention pertains.

[0417] The invention illustratively described herein suitably may be practiced in the absence of any element or elements, limitation or limitations which is not specifically disclosed herein. The terms and expressions which have been employed are used as terms of description and not of limitation, and there is no intention that in the use of such terms and expressions indicates the exclusion of equivalents of the features shown and described or portions thereof. It is recognized that various modifications are possible within the scope of the invention. Thus, it should be understood that although the present invention has been specifically disclosed by preferred embodiments and optional features, modification and variation of the concepts herein disclosed may be resorted to by those skilled in the art, and that such modifications and variations are considered to be falling within the scope of the invention, which is limited only by the following claims.

#### WHAT IS CLAIMED IS:

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1. Use of a compound having the structure of Formula I, and pharmaceutically acceptable salts and pro-drug esters thereof:

$$E_1$$
 $E_2$ 
 $E_3$ 
 $E_4$ 
 $E_4$ 

Formula I

wherein the dashed lines represent a single or a double bond, wherein  $R_1$  is separately selected from the group consisting of a hydrogen, a halogen, monosubstituted, poly-substituted or unsubstituted variants of the following residues: saturated  $C_1$ - $C_{24}$  alkyl, unsaturated  $C_2$ - $C_{24}$  alkenyl or  $C_2$ - $C_{24}$  alkynyl, acyl, acyloxy, alkyloxycarbonyloxy, aryloxycarbonyloxy, cycloalkyl, cycloalkenyl, alkoxy, cycloalkoxy, aryl, heteroaryl, arylalkoxy carbonyl, alkoxy carbonylacyl, amino, aminocarbonyl, aminocarboyloxy, nitro, azido, phenyl, cycloalkylacyl, hydroxy, alkylthio, arylthio, oxysulfonyl, carboxy, cyano, and halogenated alkyl including polyhalogenated alkyl, where n is equal to 1 or 2, and if n is equal to 2, then  $R_1$  can be the same or different;

wherein  $R_2$ , is selected from the group consisting of hydrogen, a halogen, mono-substituted, poly-substituted or unsubstituted variants of the following residues: saturated  $C_1$ - $C_{24}$  alkyl, unsaturated  $C_2$ - $C_{24}$  alkenyl or  $C_2$ - $C_{24}$  alkynyl, acyl, acyloxy, alkyloxycarbonyloxy, aryloxycarbonyloxy, cycloalkyl, cycloalkenyl, alkoxy, cycloalkoxy, aryl, heteroaryl, arylalkoxy carbonyl, alkoxy carbonylacyl, amino, aminocarbonyl, aminocarboyloxy, nitro, azido, phenyl, cycloalkylacyl, hydroxy, alkylthio, arylthio, oxysulfonyl, carboxy, cyano, and halogenated alkyl including polyhalogenated alkyl;

wherein  $R_3$  is selected from the group consisting of a halogen, monosubstituted, poly-substituted or unsubstituted variants of the following residues: saturated  $C_1$ - $C_{24}$  alkyl, unsaturated  $C_2$ - $C_{24}$  alkenyl or  $C_2$ - $C_{24}$  alkynyl, acyl, acyloxy, alkyloxycarbonyloxy, aryloxycarbonyloxy, cycloalkyl, cycloalkenyl, alkoxy, cycloalkoxy, aryl, heteroaryl, arylalkoxy carbonyl, alkoxy carbonylacyl, amino, aminocarbonyl, aminocarboyloxy, nitro, azido, phenyl, cycloalkylacyl, hydroxy,

WO 2005/002572 PCT/US2004/019543

alkylthio, arylthio, oxysulfonyl, carboxy, cyano, and halogenated alkyl including polyhalogenated alkyl; and

wherein each of  $E_1$ ,  $E_2$ ,  $E_3$  and  $E_4$  is a substituted or unsubstituted heteroatom;

in the treatment of cancer, inflammation, and infectious disease.

- 2. A method of treating a neoplastic disease in an animal, the method comprising:

  administering to the animal, a therapeutically effective amount of a compound of a formula selected from Formulae I-V, and pharmaceutically acceptable salts and pro-drug esters thereof:
- 3. The method of Claim 2, wherein the neoplastic disease is cancer.
- 4. The method of Claim 3, wherein the cancer is selected from the group consisting of breast cancer, sarcoma, leukemia, ovarian cancer, uretal cancer, bladder cancer, prostate cancer, colon cancer, rectal cancer, stomach cancer, lung cancer, lymphoma, multiple myeloma, pancreatic cancer, liver cancer, kidney cancer, endocrine cancer, skin cancer, melanoma, angioma, and brain or central nervous system (CNS) cancer.
- 5. The method of Claim 4, wherein the cancer is a multiple myeloma, a colorectal carcinoma, a prostate carcinoma, a breast adenocarcinoma, a non-small cell lung carcinoma, an ovarian carcinoma or a melanoma.
  - 6. The method of Claim 3, wherein the cancer is a drug resistant cancer.
- 7. The method of Claim 6, wherein the drug resistant cancer is a sarcoma or a leukemia.
- 8. The method of Claim 6, wherein the drug-resistant cancer displays at least one of the following: elevated levels of the P-glycoprotein efflux pump, increased expression of the multidrug-resistance associated protein 1 encoded by *MRP1*, reduced drug uptake, alteration of the drug's target or increasing repair of drug-induced DNA damage, alteration of the apoptotic pathway or the activation of cytochrome P450 enzymes.
  - 9. The method of Claim 2, wherein the animal is a mammal.
  - 10. The method of Claim 2, wherein the animal is a human.
  - 11. The method of Claim 2, wherein the animal is a rodent.
  - 12. The method of Claim 2, wherein the the compound is:

WO 2005/002572

PCT/US2004/019543

wherein R<sub>8</sub> is selected from the group consisting of H, F, Cl, Br and I.

13. The method of Claim 2, wherein the compound is:

wherein R<sub>8</sub> is selected from the group consisting of H, F, Cl, Br, and I.

14. The method of Claim 2, further comprising the steps of:

identifying a subject that would benefit from administration of an anticancer agent;

performing the method on the subject.

- 15. A pharmaceutical composition comprising a compound of a formula selected from Formulae I-V, and pharmaceutically acceptable salts and pro-drug esters thereof.
- 16. The pharmaceutical composition of Claim 15, further comprising an anti-microbial agent.
- 17. A method of inhibiting the growth of a cancer cell, comprising contacting a cancer cell with a compound of a formula selected from Formulae I-V, and pharmaceutically acceptable salts and pro-drug esters thereof.

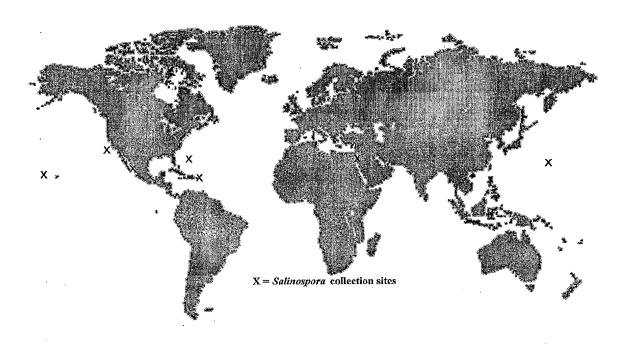
18. The method of Claim 17, wherein the cancer cell is a multiple myeloma, a colorectal carcinoma, a prostate carcinoma, a breast adenocarcinoma, a non-small cell lung carcinoma, an ovarian carcinoma and a melanoma.

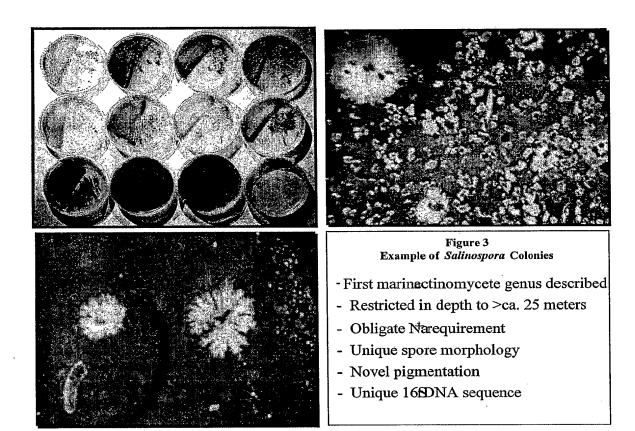
- 19. A method of inhibiting proteasome activity comprising the step contacting a cell with a compound of a formula selected from Formulae I-V, and pharmaceutically acceptable salts and pro-drug esters thereof.
- 20. A method of inhibiting NF-κB activation comprising the step contacting a cell with a compound of a formula selected from Formulae I-V, and pharmaceutically acceptable salts and pro-drug esters thereof.
- 21. A method for treating an inflammatory condition, comprising administering an effective amount of a compound of a formula selected from Formulae I-V to a patient in need thereof.
- 22. The method of Claim 21, wherein the inflammatory condition is selected from the group consisting of rheumatoid arthritis, asthma, multiple sclerosis, psoriasis, stroke, and nyocardial infarction.
- 23. A method for treating a microbial illness comprising administering an effective amount of a compound of a formula selected from Formulae I-V to a patient in need thereof.
- 24. The method of Claim 23, wherein the microbial illness is caused by a microbe selected from the group consisting of *B. anthracis*, *Plasmodium*, *Leishmania*, and *Trypanosoma*.

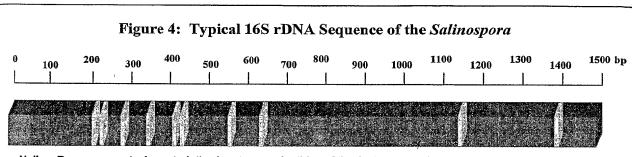
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Figure 1

Figure 2: Pan-tropical Distribution of the Salinospora



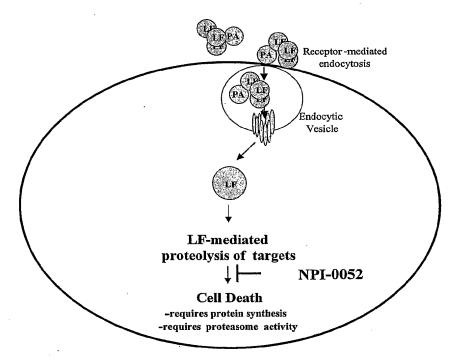




Yellow Bars represent characteristic signature nucleotides of the *Salinospora* that separate them from their nearest relatives (*Micromonospora* species). > 2% sequence divergence from nearest neighbor (*M. olivasterospora*)
Formal description being prepared by Dr. Alan Ward et al (University of Newcastle upon Tyne)

Figure 5: Omuralide, a degradation product of the microbial metabolite Lactacystin. Compound of Formula II-16 is structurally similar

Figure 6: Lethal Toxin-mediated Macrophage Cytotoxicity



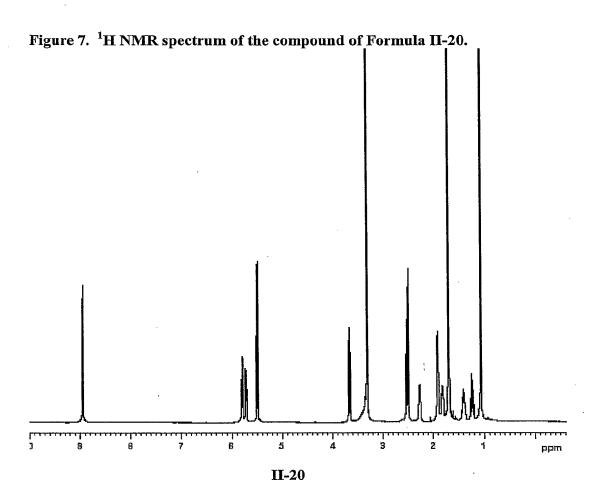
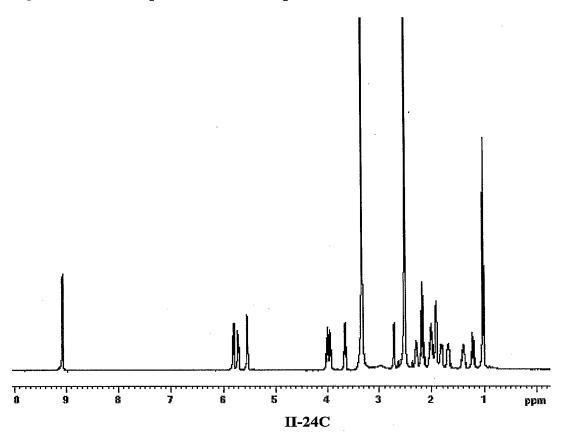
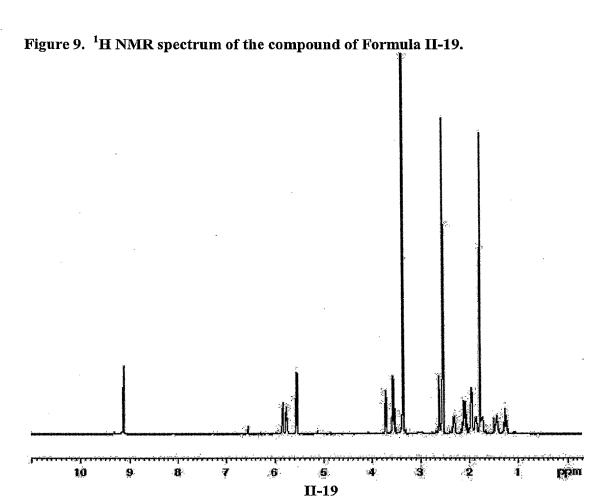


Figure 8. <sup>1</sup>H NMR spectrum of the compound of Formula II-24C.





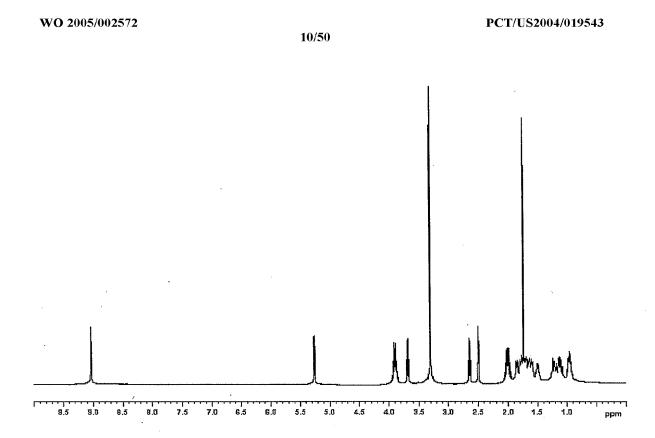


FIG. 10. <sup>1</sup>H NMR spectrum of compound of Formula II-2.

PCT/US2004/019543

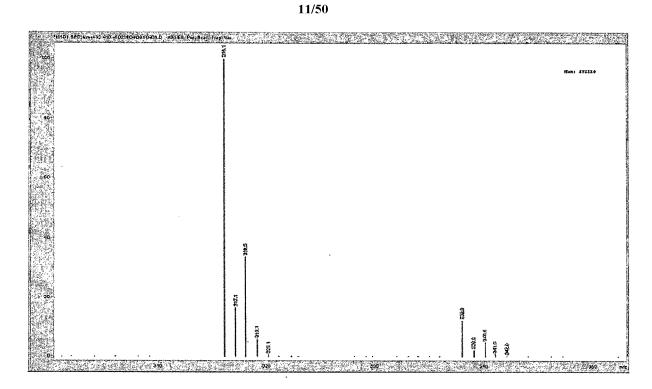


FIG. 11

WO 2005/002572 PCT/US2004/019543 12/50

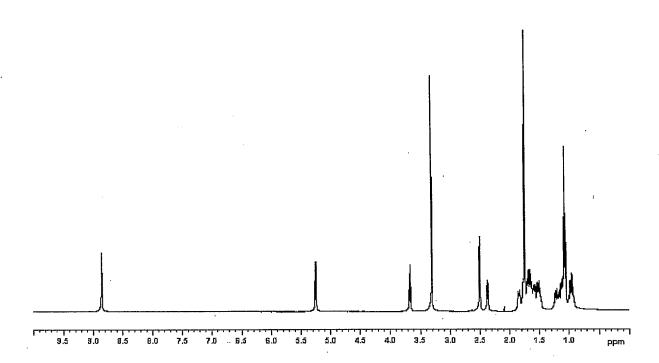


FIG. 12. <sup>1</sup>H NMR spectrum of compound of Formula II-3.

WO 2005/002572 PCT/US2004/019543

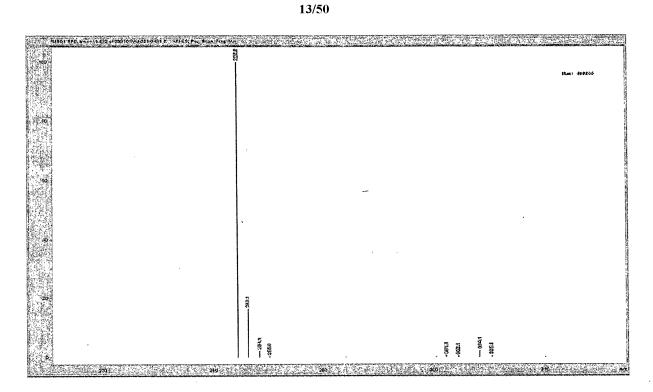


FIG. 13

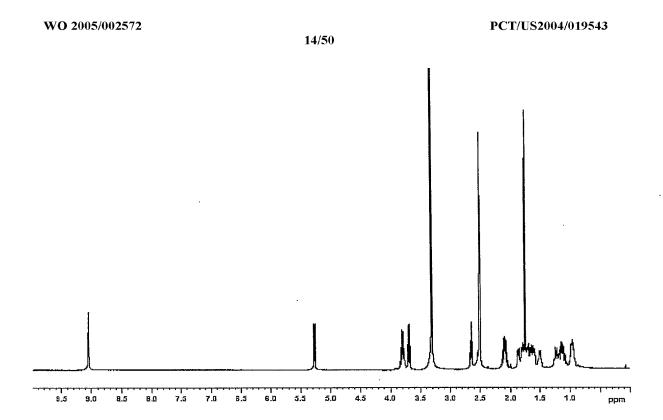


FIG. 14. <sup>1</sup>H NMR spectrum of compound of Formula II-4.

WO 2005/002572 PCT/US2004/019543

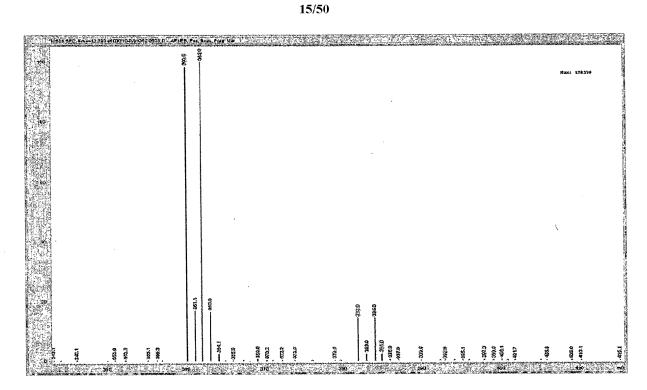
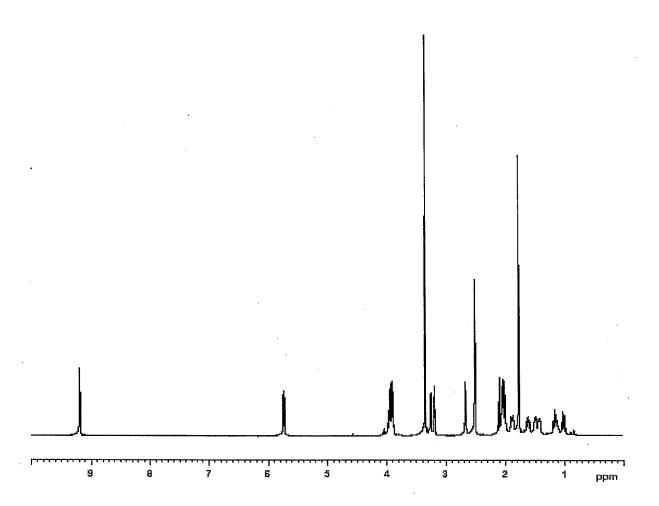


FIG. 15

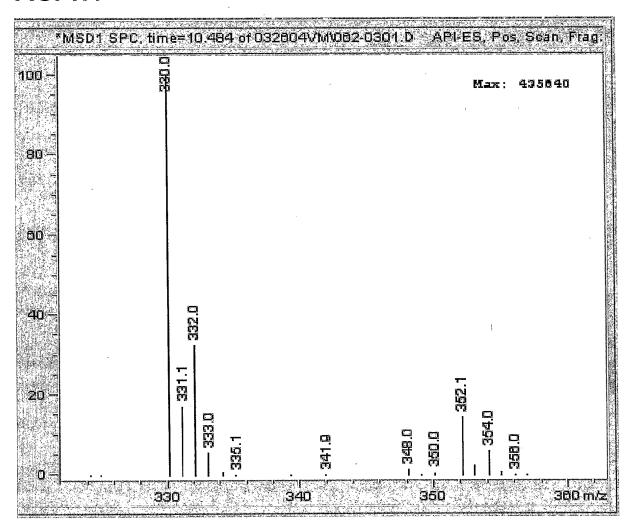
WO 2005/002572 PCT/US2004/019543 16/50

FIG. 16: <sup>1</sup>H NMR spectrum of compound of Formula II-5A.



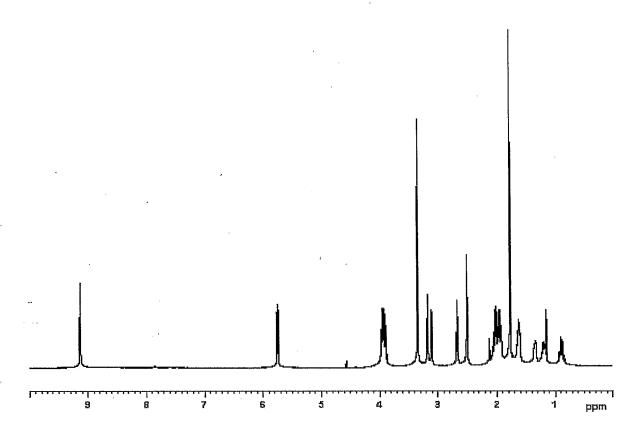
WO 2005/002572 PCT/US2004/019543 17/50

# FIG. 17:



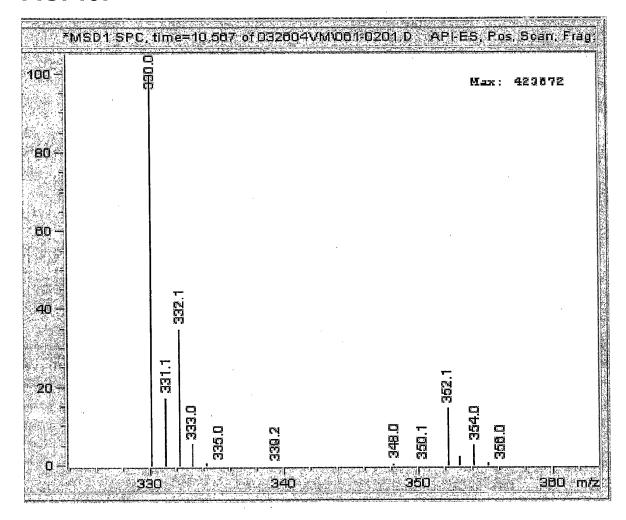
WO 2005/002572 PCT/US2004/019543 18/50

**FIG. 18:**  $^{1}$ H NMR spectrum of compound of Formula II-5B.



PCT/US2004/019543

# FIG. 19:



19/50

Figure 20. <sup>1</sup>H NMR spectrum of the compound of Formula IV-3C in DMSO-d<sub>6</sub>.

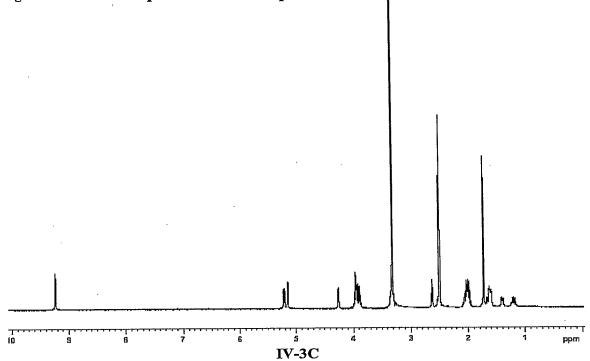
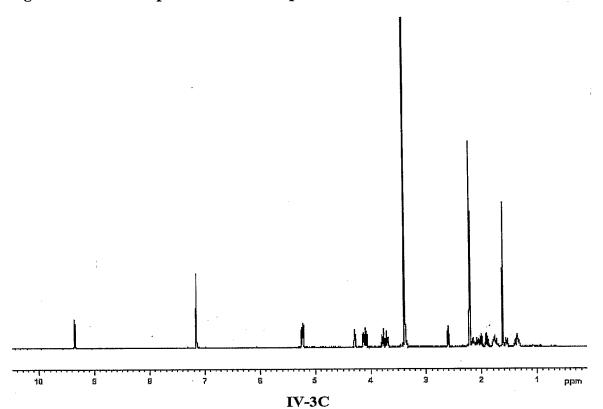


Figure 21.  $^{1}H$  NMR spectrum of the compound of Formula IV-3C in  $C_{6}D_{6}/DMSO\text{-}d_{6}$ .



WO 2005/002572 PCT/US2004/019543 22/50

Figure 22. <sup>1</sup>H NMR spectrum of the compound of Formula Π-13C.

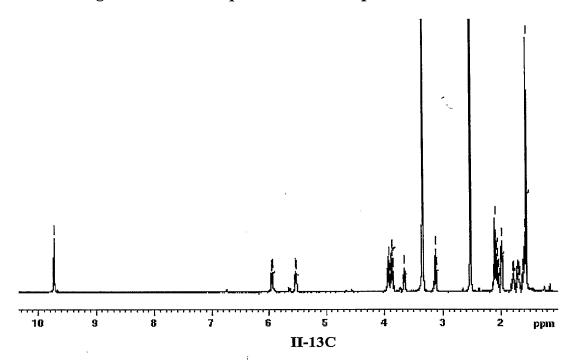


Figure 23. <sup>1</sup>H NMR spectrum of the compound of Formula II-8C.

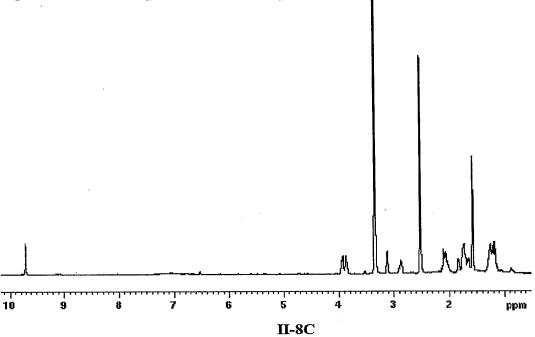
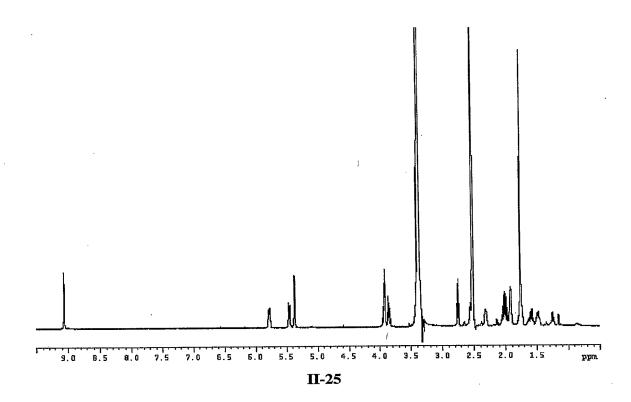
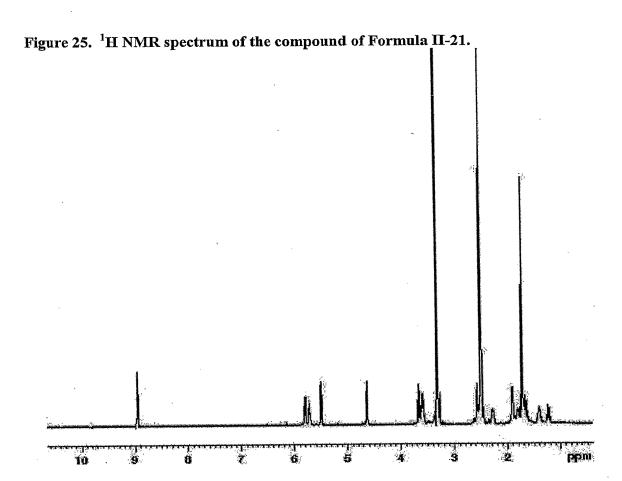


Figure 24. <sup>1</sup>H NMR spectrum of the compound of Formula II-25.



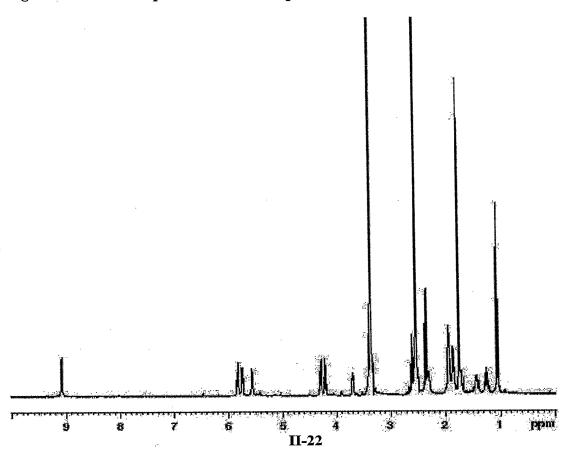


II-21

26/50

PCT/US2004/019543

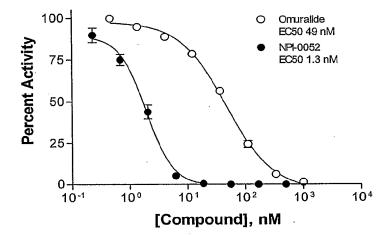
Figure 26. <sup>1</sup>H NMR spectrum of the compound of Formula II-22.



27/50

Figure 27: II-16 Inhibits the Chymotrypsin-like Activity of Rabbit Muscle Proteasomes

Substrate: Suc-LLVY-AMC



PCT/US2004/019543

Figure 28: II-16 Inhibits the PGPH Activity of Rabbit Muscle Proteasomes

Substrate: Z-LLE-AMC

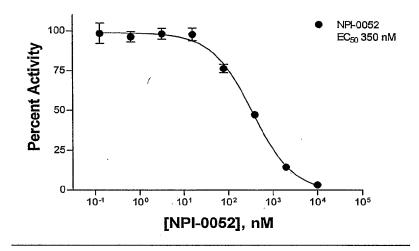
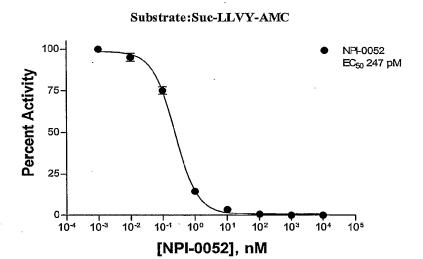
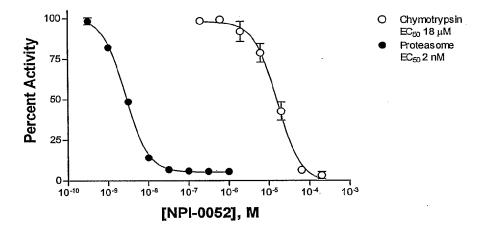


Figure 29: II-16 Inhibits the Chymotrypsin-like Activity of Human Erythrocyte Proteasomes





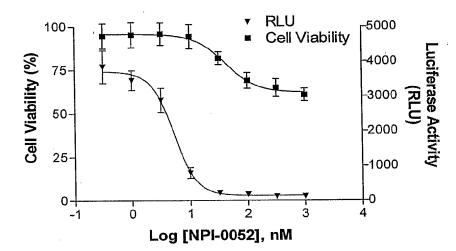
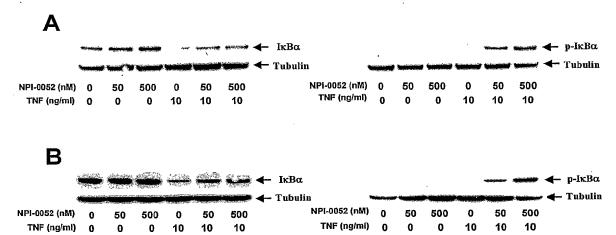


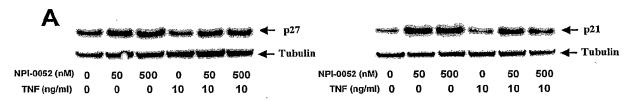
Figure 32: Reduction of IkB $\alpha$  degradation and Retention of Phosphorylated IkB $\alpha$  by II-16 in HEK293 Cells (A) and the HEK293 NF-kB/Luciferase Reporter Clone (B).



33/50

PCT/US2004/019543

Figure 33: Accumulation of Cell Cycle Regulatory Proteins, p21 and p27 by II-16 Treatment of HEK293 Cells (A) and the HEK293 NF-kB/Luciferase Reporter Clone (B).



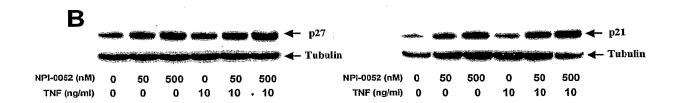


Figure 34: Activation of Caspase-3 by II-16 in Jurkat Cells

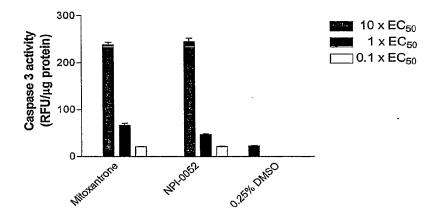


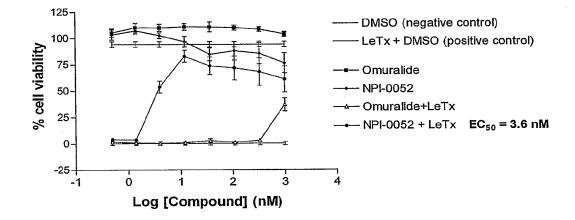
Figure 35: PARP Cleavage by II-16 in Jurkat Cells

100 nM NPI-0052						St <sup>1</sup>	
0	2	4	6	8	24	4	Treatment time (hours)
	Accession in the second	Agenta di managana ang kan					

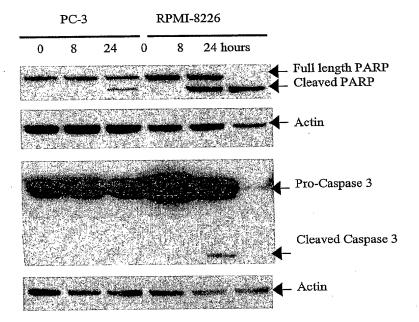
<sup>&</sup>lt;sup>1</sup> St; Staurosporine

36/50

Figure 36: Inhibition of LeTx-induced Cytotoxicity by II-16 in RAW264.7 Cells



#### 37/50



PCT/US2004/019543

#### 38/50

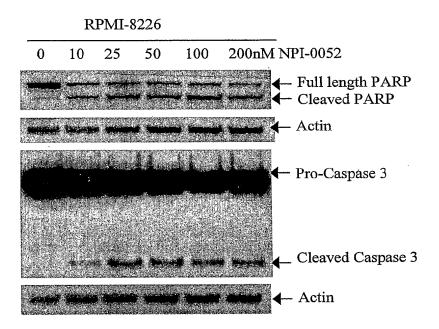
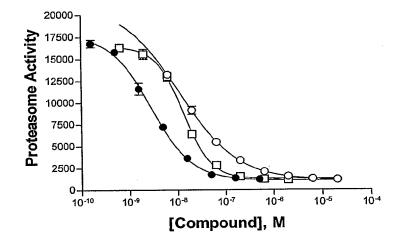
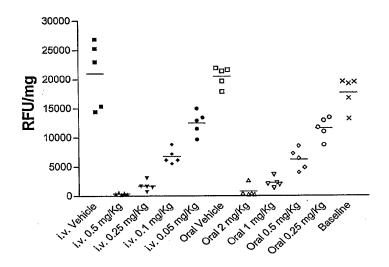


FIGURE 39: shows in vitro proteasome inhibition by II-16 (filled circle IC<sub>50</sub> 3nM), II-17 (open circle; IC<sub>50</sub> 11nM) and II-18 (open square; IC<sub>50</sub> 13 nM)



#### PCT/US2004/019543

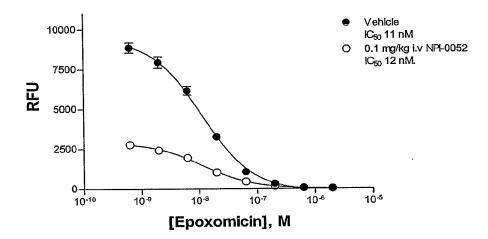
### 40/50



- i.v. Vehicle
- ▲ i.v. 0.5 mg/Kg
- ▼ i.v. 0.25 mg/Kg
- i.v. 0.1 mg/Kg
- i.v. 0.05 mg/Kg
- Oral Vehicle
- △ Oral 2 mg/Kg
- Oral 1 mg/Kg
- Oral 0.5 mg/Kg
- o Oral 0.25 mg/Kg
- < Baseline

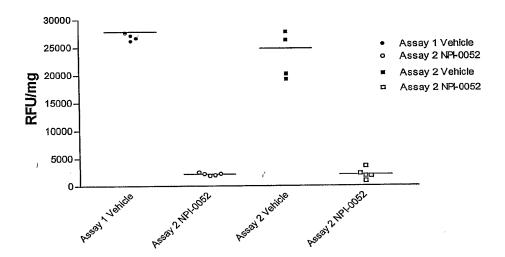
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41/50



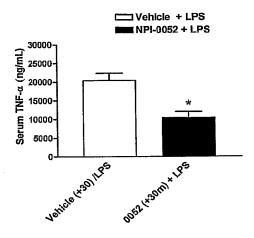
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42/50



### 43/50

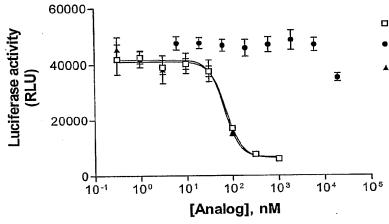
# FIGURE 43



\*Vehicle (+30m) vs. 0052(+30m) +LPS p<0.01

n=12 animals per group Saline controls treated with solutel vehicle were below detectable limits (<117pg/mi).

FIG. 44: Effect of Formula II-2, Formula II-3 and Formula II-4 on NF-κB mediated luciferase activity in HEK293 NF-κB/Luc Cells



- □ Formula II-2, EC<sub>50</sub>=73 nM
- Formula II-3, EC<sub>50</sub>> 20 μM
- Formula II-4, EC<sub>50</sub>=67 nM

FIG. 45: Effects of Formula II-5A and Formula II-5B on NF- $\kappa$ B mediated luciferase activity in HEK293 NF- $\kappa$ B/Luc Cells

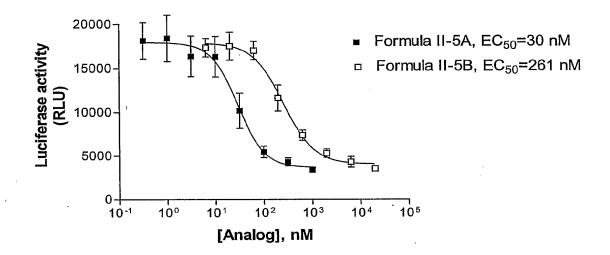


FIG. 46: The effect of Formula II-2, Formula II-3, and Formula II-4 on the chymotrypsin-like activity of rabbit 20S proteasome

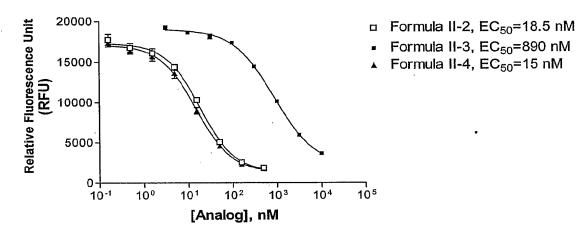
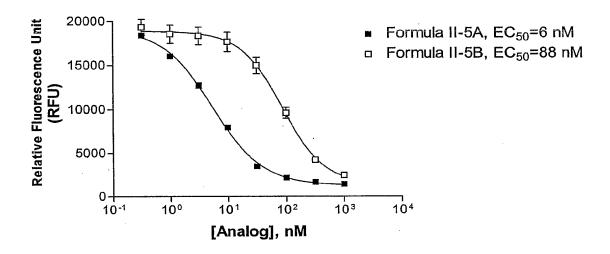


FIG. 47: The effect of Formula II-5A and Formula II-5B on the chymotrypsin-like activity of rabbit 20S proteasome



WO 2005/002572 PCT/US2004/019543 48/50

FIG. 48:

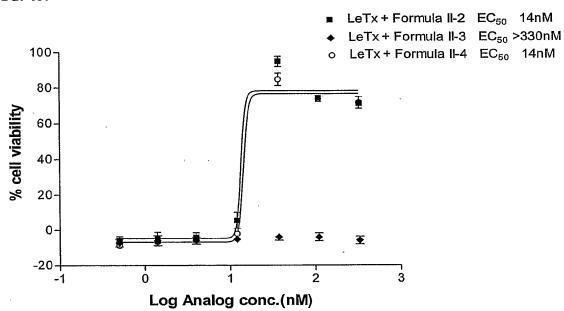
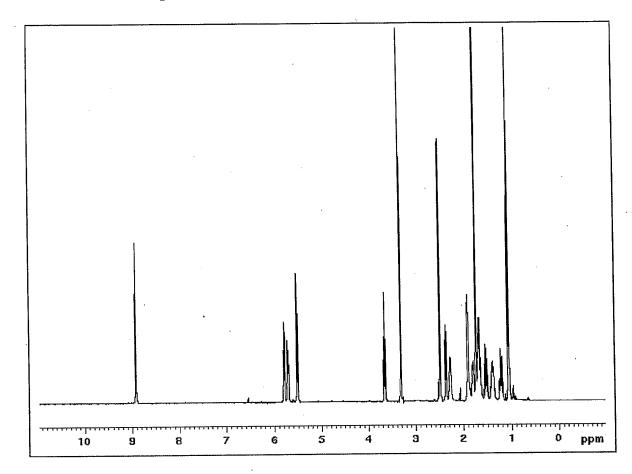


FIG. 49: <sup>1</sup>H NMR spectrum of the compound of Formula II-17.



II-17

WO 2005/002572 PCT/US2004/019543 50/50

